

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(f) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287

Expires: December 31, 2001

Estimated average burden
hours per response. . . . 0.5

1. Name and Address of Reporting Person*

Bauman, Phillip A.
One Rockefeller Plaza, Suite 1430
New York, NY 10020

2. Issuer Name and Ticker or Trading Symbol

Harris & Harris Group, Inc. (HHGP)

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Year

October 2000

5. If Amendment, Date of Original (Month/Year)

NA

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

- Director
 10% Owner
 Officer (give title below)
 Other (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

- Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security
(Instr. 3)

Common stock
Common stock

2. Transaction Date (Month/Day/Year)

10/27/00

3. Transaction Code
(Instr. 8)

P

4. Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4 and 5)

<TABLE>
<S> <C> <C>
Amount (A) or (D) Price
400 (A) \$4.299875
</TABLE>

5. Amount of Securities Beneficially Owned at End of Month
(Instr. 3 and 4)

7,699
5,637

6. Ownership Form: Direct (D) or Indirect (I)
(Instr. 4)

(D)
(I)

7. Nature of Indirect Beneficial Ownership
(Instr. 4)

Milbry C. Polk (Spouse)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 3)
NA

2. Conversion or Exercise Price of Derivative Security
NA

3. Transaction Date
(Month/Day/Year)
NA

4. Transaction Code
(Instr. 8)
NA

5. Number of Derivative Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4 and 5)
NA

6. Date Exercisable and Expiration Date
(Month/Day/Year)
NA

7. Title and Amount of Underlying Securities
(Instr. 3 and 4)
NA

8. Price of Derivative Security
(Instr. 5)
NA

9. Number of Derivative Securities Beneficially Owned at End of Month
(Instr. 4)
NA

10. Ownership Form of Derivative Securities Beneficially Owned at End of Month
(Instr. 4)
NA

11. Nature of Indirect Beneficial Ownership
(Instr. 4)
NA

Explanation of Responses:

/s/Phillip A. Bauman
**Signature of Reporting Person
Date: October 31, 2000