UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPRO | OVAL |
|--------------------|-----------|
| OMB Number: | 3235-0287 |
| Estimated average | burden |
| hours per response | 0.5 |

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Print or Ty | pe Response | es) | | | | | | | | | | | | | |
|--------------------------------------------------------|-------------|----------------------------------------|--------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------------------|------------|----------------------|-------------------------------------------------------------------|------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------|
| Name and Address of Reporting Person * ROBERTS JAMES E | | | | | 2. Issuer Name and Ticker or Trading Symbol HARRIS & HARRIS GROUP INC /NY/ [TINY] | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | |
| 1450 BROADWAY, 24TH FLOOR (Middle) | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/14/2012 | | | | | Office | r (give title belo | | Other (specify b | elow) | |
| (Street) NEW YORK, NY 10018 | | | | 4. If | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) (State) (Zip) | | | | Table I - Non-Derivative Securities Acqui | | | | | ired, Disposed of, or Beneficially Owned | | | | | | |
| 1.Title of S (Instr. 3) | ecurity | 7 | 2. Transaction Date (Month/Day/Year) | Exect r) any | | (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | Beneficially Owned Following Reported Transaction(s) | | | Form: | 7. Nature of Indirect Beneficial |
| | | | | (Mon | Month/Day/Year) | Code | V | Amount | (A) or (D) | Price | (Instr. 3 | and 4) | | · / | Ownership (Instr. 4) |
| Common | Stock | | 08/14/2012 | | | P(1) | | 2,205 | A | \$ 3.7955 | 51,386 | | | D | |
| | | | Table II | | ative Securit | | cor the red, I | ntained i form dis Disposed | n this f splays of, or Bo | orm ar a curre | e not required in the second s | uired to re d OMB cor | nformation espond unl ntrol numb | ess | EC 1474 (9- 02) |
| 1 TP:// | 2 | a | 124 B | | outs, calls, w | · · | | | | | | 0 D : C | 9. Number | C 10 | 11. Nature |
| 1. Title of Derivative Security (Instr. 3) | Conversion | 3. Transaction Date (Month/Day/Y | Execution D /Year) any | Date, if | Transactior Code (Instr. 8) | of | and (M | and Expiration Date (Month/Day/Year) Get (In | | Am Und Sec | Citle and count of derlying urities etr. 3 and | | Derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4) | Ownersl Form of Derivati Security Direct (I or Indire | of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code V | (A) (I | | te ercisable | Expirati Date | ion Titl | Amount or e Number of Shares | | | | |
| Renor | ting () | wners | | | | | | | | | | | | | |

| Describer Occurs Name / Address | Relationships | | | | | | |
|----------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| ROBERTS JAMES E 1450 BROADWAY 24TH FLOOR NEW YORK, NY 10018 | X | | | | | | |

Signatures

| /s/ Jackie Matthews by Power of Attorney | 08/14/2012 |
|------------------------------------------|------------|
| Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to a Rule 10b5-1 purchase plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.



POWER OF ATTORNEY

The undersigned, being a person required to file a statement under Section 16(a) of the Securities Exchange Act of 1934 (the "1934 Act") with respect to Harris & Harris Group, Inc., a New York corporation, hereby authorizes, designates and appoints Jackie Matthews, Carmen DeForest, or Sandra M. Forman to act as attorney-in-fact to execute and file statements on Form 3, Form 4 and Form 5 (including Form ID) and any successor forms adopted by the Securities Exchange Commission, as required by the 1934 Act and the Investment Company Act of 1940 and the rules thereunder, and to take such other actions as such attorney-in-fact may deem necessary or appropriate in connection with such statements, hereby confirming and ratifying all actions that such attorney-in-fact has taken or may take in reliance hereon. This power of attorney shall continue in effect until the undersigned no longer has an obligation to file statements under the section cited above, or until specifically terminated in writing by the undersigned.

IN WITNESS WHEREOF, the undersigned has duly executed this power of attorney on the 21st day of March, 2009.

By: /s/ James E. Roberts

James E. Roberts