# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL                                    |           |  |  |  |  |  |  |  |
|---|-----------|--|--|--|--|--|--|--|
| DMB Number:                                     | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden nours per response 0.5 |           |  |  |  |  |  |  |  |
| ours per response                               |           |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Ty  | pe Response | s)   |                                  |   |                  |  |            |  |   |   |  |   |                            |                    |
|---|-------------|--|----------------------------------|---|------------------|--|------------|--|---|---|--|---|----------------------------|--------------------|
| Name and Address of Reporting Person * Ushio Misti                          |             |  |                                  | 2. Issuer Name a  |                  |  |            | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner |   |   |  |   |                            |                    |
| (Last) (First) (Middle) 1450 BROADWAY, FLOOR 24 (Street) NEW YORK, NY 10018 |             |  |                                  | 3. Date of Earliest Transaction (Month/Day/Year) 04/08/2014 |                  |  |            |  |   | X Officer (give title below) Other (specify below)  Executive Vice President  |  |   |                            |                    |
|   |             |  |                                  | 4. If Amendment, Date Original Filed(Month/Day/Year)        |                  |  |            |  |   | 6. Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting PersonForm filed by More than One Reporting Person |  |   |                            |                    |
| (City) (State) (Zip)  |             |  |                                  | Tal   | ivative S        | ecuritie   | ired. Disp | osed of, or  | Beneficially                                  | Owned   |  |   |                            |                    |
| 1.Title of Security<br>(Instr. 3)   |             | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if | 3. Transaction 4<br>f Code (Instr. 8)                       |                  | 4. Securities Acquired   |            |  |   |   | 6.<br>Ownership<br>Form:   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                     |                            |                    |
|   |             |  |                                  | (Month/Day/ Year)   | Code             | V  | Amoun      | (A) or (D) Price   |   | , ,   |  |   | or Indirect (I) (Instr. 4) |                    |
| Common  | Stock       |  | 04/08/2014                       |   | P <sup>(1)</sup> |  | 470        | A  | \$<br>3.654                                   | 118,442   |  |   | D                          |                    |
| Reminder: indirectly.   | Report on a | separate line f                            | for each class of sec            | urities beneficially (                                      | owned dire       | Pers   | sons wh    | n this f   | orm ar  | e not req   | uired to re  | nformation<br>espond unl  | ess                        | EC 1474 (9-<br>02) |
|   |             |  |                                  | Derivative Securiti<br>e.g., puts, calls, wa                |                  |  |            |  |   |   | i  |   |                            |                    |
| Security (Instr. 3)   | Conversion  | 3. Transactio<br>Date<br>(Month/Day/       | on 3A. Deemed Execution Da any   |   | 5. Number<br>of  | er 6. Date Exercisable<br>and Expiration Date<br>(Month/Day/Year)<br>s |            | 7. T<br>Am<br>Und<br>Sec   | Fitle and ount of derlying urities etr. 3 and | Derivative<br>Security<br>(Instr. 5)  | f 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction((Instr. 4) | Ownersh<br>Form of<br>Derivativ<br>Security:<br>Direct (D<br>or Indirec | /                          |                    |
|   |             |  |                                  | Code V  | (A) (D)          | Dat<br>Exe   | -          | Expirati<br>Date   | on Titl                                       | Amount<br>or<br>e Number<br>of<br>Shares  |  |   |                            |                    |
| Repor   | ting O      | wners                                      |                                  |   |                  |  |            |  |   |   |  |   |                            |                    |
|   |             |  |                                  | Relationships   |                  |  |            |  |   |   |  |   |                            |                    |
| Reporting Owner Name / Address Director 10% Own                             |             | ner Officer                                |                                  |   | Other            |  |            |  |   |   |  |   |                            |                    |
| Ushio Misti<br>1450 BROADWAY<br>FLOOR 24<br>NEW YORK, NY 10018              |             |  | Executive V                      | Vice Pres   | ident            | t  |            |  |   |   |  |   |                            |                    |
| Signa   | tures       |  |                                  |   |                  |  |            |  |   |   |  |   |                            |                    |
| /s/ Jackie Matthews by Power of Attorney                                    |             |  |                                  | 04/08/2014  | .]               |  |            |  |   |   |  |   |                            |                    |

### **Explanation of Responses:**

Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) The purchase reported in this Form 4 was effected pursuant to an Employee Stock Purchase Plan adopted by the reporting person on June 8, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.



#### POWER OF ATTORNEY

The undersigned, being a person required to file a statement under Section 16(a) of the Securities Exchange Act of 1934 (the "1934 Act") with respect to Harris & Harris Group, Inc., a New York corporation, hereby authorizes, designates and appoints Jackie Matthews, Carmen DeForest, or Sandra M. Forman to act as attorney-in-fact to execute and file statements on Form 3, Form 4 and Form 5 (including Form ID) and any successor forms adopted by the Securities Exchange Commission, as required by the 1934 Act and the Investment Company Act of 1940 and the rules thereunder, and to take such other actions as such attorney-in-fact may deem necessary or appropriate in connection with such statements, hereby confirming and ratifying all actions that such attorney-in-fact has taken or may take in reliance hereon. This power of attorney shall continue in effect until the undersigned no longer has an obligation to file statements under the section cited above, or until specifically terminated in writing by the undersigned.

IN WITNESS WHEREOF, the undersigned has duly executed this power of attorney on the 20th day of March, 2009.

By: /s/ Misti Ushio

Misti Ushio