UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

FORM NPORT-P Monthly Portfolio Investments Report

| NPORT-P: Filer Informati | on |
|---|-------------------------------|
| Filer CIK | 0000893739 |
| Filer CCC | ****** |
| Filer Investment Company Type | |
| Is this a LIVE or TEST Filing? | © LIVE © TEST |
| Would you like a Return Copy? | |
| Is this an electronic copy of an official filing submitted in paper format? | |
| Submission Contact Information | |
| Name | |
| Phone | |
| E-Mail Address | |
| Notification Information | |
| Notify via Filing Website only? | |
| Series ID | |
| NPORT-P: Part A: Genera | al Information |
| Item A.1. Information about the Registran | t. |
| a. Name of Registrant | 180 DEGREE CAPITAL CORP. /NY/ |
| b. Investment Company Act file number for Registrant: (e.g., 811) | 811-07074 |
| c. CIK number of Registrant | 0000893739 |
| d. LEI of Registrant | 254900RA5P574LPO7H91 |
| e. Address and telephone number of Registra | ant. |
| Street Address 1 | 7 N. WILLOW STREET |
| Street Address 2 | SUITE 4B |
| City | MONTCLAIR |
| State, if applicable | NEW JERSEY |
| Foreign country, if applicable | UNITED STATES OF AMERICA |
| Zip / Postal Code | 07042 |
| Telephone number | 973-746-4500 |
| Item A.2. Information about the Series. | |
| a. Name of Series. | 180 DEGREE CAPITAL CORP. /NY/ |
| b. EDGAR series identifier (if any). | |
| c. LEI of Series. | N/A |
| Item A.3. Reporting period. | |
| a. Date of fiscal year-end. | 2022-12-31 |
| b. Date as of which information is reported. | 2022-06-30 |
| Item A.4. Final filing | |
| Does the Fund anticipate that this will be its final filing on Form N PORT? | ○ Yes ◎ No |

NPORT-P: Part B: Information About the Fund

Report the following information for the Fund and its consolidated subsidiaries.

| • | |
|----------------------|------|
| | |
| A 4 1 11 1 11141 | |

1 year.

| Item B.1. Assets and liabilities. Report am | ounts in U.S. dollars. | |
|---|---|---|
| a. Total assets, including assets attributable to miscellaneous securities reported in Part D. | 87913744 | |
| b. Total liabilities. | 1269228 | |
| c. Net assets. | 86644516 | |
| Item B.2. Certain assets and liabilities. Re | port amounts in U.S. dollars. | |
| a. Assets attributable to miscellaneous securities reported in Part D. | 981029 | |
| b. Assets invested in a Controlled Foreign Corporation for the purpose of investing in certain types of instruments such as, but not limited to, commodities. | 0 | |
| c. Borrowings attributable to amounts payab [17 CFR 210.6-04(13)(a)]. | ole for notes payable, bonds, and similar debi | t, as reported pursuant to rule 6-04(13)(a) of Regulation S-X |
| Amounts payable within one year. | | |
| Banks or other financial institutions for borrowings. | 0 | |
| Controlled companies. | 0 | |
| Other affiliates. | 0 | |
| Others. | 0 | |
| Amounts payable after one year. | | |
| Banks or other financial institutions for borrowings. | 0 | |
| Controlled companies. | 0 | |
| Other affiliates. | 0 | |
| Others. | 0 | |
| d. Payables for investments purchased eithe basis. | er (i) on a delayed delivery, when-issued, or o | other firm commitment basis, or (ii) on a standby commitment |
| (i) On a delayed delivery, when-issued, or other firm commitment basis: | 77964 | |
| (ii) On a standby commitment basis: | 0 | |
| e. Liquidation preference of outstanding preferred stock issued by the Fund. | 0 | |
| f. Cash and cash equivalents not reported in Parts C and D. | 8004055 | |
| Item B.3. Portfolio level risk metrics. | | |
| asset value, provide: | · | i, in the aggregate, exceeds 25% or more of the Fund's net |
| where the shift is applied to the option adjust following maturities: 3 month, 1 year, 5 year | sted spread, aggregated by investment grade | and non-investment grade exposures, for each of the |
| Investment grade. | | |
| Maturity period. | | |
| 3 month. | | |

| 5 years. | | |
|---|--|---|
| 10 years. | | |
| 30 years. | | |
| Non-Investment grade. | | |
| Maturity period. | | |
| 3 month. | | |
| o monar. | | |
| 1 year. | | |
| 5 years. | | |
| 10 years. | | |
| 30 years. | | |
| underlying reference asset or assets are de (iii) the notional value of each futures contra (iv) the delta-adjusted notional value of any Report zero for maturities to which the Fund | g, but not limited to, total return swaps, interest securities or an interest rate; ct for which the underlying reference asset o option for which the underlying reference asset to the security of the secur | est rate swaps, and credit default swaps, for which the or assets are debt securities or an interest rate; and set is an asset described in clause (i),(ii), or (iii). Itween any of the listed maturities in (a) and (b), use linear ide of the range of maturities listed above, include those |
| Item B.4. Securities lending. | | |
| a. For each borrower in any securities lending | ng transaction, provide the following informat | ion: |
| | | |
| b. Did any securities lending counterparty provide any non-cash collateral? | ○ Yes 		• No | |
| provide any non-cash collateral? Item B.5. Return information. | | |
| provide any non-cash collateral? Item B.5. Return information. a. Monthly total returns of the Fund for each | of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (| s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi | of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (| s a Multiple Class Fund, report returns for each class. Such |
| provide any non-cash collateral? Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applications. | of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (| s a Multiple Class Fund, report returns for each class. Such |
| provide any non-cash collateral? Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the Fund Total Return Record: 1 Monthly total returns of the Fund for each | of the preceding three months. If the Fund in the methodologies outlined in Item 26(b) (able. | s a Multiple Class Fund, report returns for each class. Such |
| provide any non-cash collateral? Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the Amount of the Pund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 1. | of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (able. | s a Multiple Class Fund, report returns for each class. Such |
| provide any non-cash collateral? Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 2. | of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (able. -1.92 | s a Multiple Class Fund, report returns for each class. Such |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated in accordance winderstand the proceding three months — Monthly Total Return Record: 1 Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 3. b. Class identification number(s) (if any) of the Class(es) for which returns are reported. c. For each of the preceding three months, and derivatives for each of the following categor contracts, and other contracts. Within each second in the contracts is sufficiently to the preceding three months, and other contracts. Within each second in the contracts is sufficiently to the following categor contracts, and other contracts. Within each second in the following categor contracts. | of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able. -1.92 -5.3 -3.51 monthly net realized gain (loss) and net chan les: commodity contracts, credit contracts, essuch asset category, further report the same | s a Multiple Class Fund, report returns for each class. Such |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated in accordance winderest and the proceeding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 3. b. Class identification number(s) (if any) of the Class(es) for which returns are reported. c. For each of the preceding three months, and derivatives for each of the following categor contracts, and other contracts. Within each instrument: forward, future, option, swaption | of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able. -1.92 -5.3 -3.51 monthly net realized gain (loss) and net chan les: commodity contracts, credit contracts, essuch asset category, further report the same | ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated in accordance winderstand the proceeding three months — Monthly Total Return Record: 1 Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 3. b. Class identification number(s) (if any) of the Class(es) for which returns are reported. c. For each of the preceding three months, and derivatives for each of the following categor contracts, and other contracts. Within each instrument: forward, future, option, swaption numbers. | of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able. -1.92 -5.3 -3.51 monthly net realized gain (loss) and net chan less: commodity contracts, credit contracts, essuch asset category, further report the same in, swap, warrant, and other. Report in U.S. do | ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated in accordance winderstand the proceeding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 3. b. Class identification number(s) (if any) of the Class(es) for which returns are reported. c. For each of the preceding three months, it derivatives for each of the following categor contracts, and other contracts. Within each instrument: forward, future, option, swaption numbers. Asset category. | of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able. -1.92 -5.3 -3.51 monthly net realized gain (loss) and net changes: commodity contracts, credit contracts, essuch asset category, further report the same in, swap, warrant, and other. Report in U.S. do | ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the proceeding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 3. b. Class identification number(s) (if any) of the Class(es) for which returns are reported. c. For each of the preceding three months, it derivatives for each of the following categor contracts, and other contracts. Within each sinstrument: forward, future, option, swaption numbers. Asset category. Monthly net realized gain(loss) — Month 1 | of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able. -1.92 -5.3 -3.51 monthly net realized gain (loss) and net chan ies: commodity contracts, credit contracts, et such asset category, further report the same I, swap, warrant, and other. Report in U.S. do Equity Contracts | ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives |
| Item B.5. Return information. a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated in accordance winderstand the proceeding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 1. Monthly total returns of the Fund for each of the preceding three months — Month 2. Monthly total returns of the Fund for each of the preceding three months — Month 3. b. Class identification number(s) (if any) of the Class(es) for which returns are reported. c. For each of the preceding three months, and derivatives for each of the following categor contracts, and other contracts. Within each instrument: forward, future, option, swaption numbers. Asset category. Monthly net change in unrealized appreciation (or depreciation) — Month 1 | of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able. -1.92 -5.3 -3.51 monthly net realized gain (loss) and net chanies: commodity contracts, credit contracts, et such asset category, further report the same in, swap, warrant, and other. Report in U.S. do Equity Contracts 0 5438 | ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives |

| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | -34065 |
|---|-----------------|
| Instrument type. | Option |
| Monthly net realized gain(loss) – Month 1 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 1 | 0 |
| Monthly net realized gain(loss) – Month 2 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 2 | 0 |
| Monthly net realized gain(loss) – Month 3 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | 0 |
| Instrument type. | Warrant |
| Monthly net realized gain(loss) – Month 1 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 1 | 5438 |
| Monthly net realized gain(loss) - Month 2 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 2 | -57856 |
| Monthly net realized gain(loss) – Month 3 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | -18095 |
| Instrument type. | Other |
| Monthly net realized gain(loss) – Month 1 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 1 | 0 |
| Monthly net realized gain(loss) - Month 2 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 2 | 0 |
| Monthly net realized gain(loss) – Month 3 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | -15970 |
| Asset category. | Other Contracts |
| Monthly net realized gain(loss) – Month 1 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 1 | 0 |
| Monthly net realized gain(loss) - Month 2 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 2 | 0 |
| Monthly net realized gain(loss) – Month 3 | 0 |
| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | 0 |
| Instrument type. | Other |
| | |

| Monthly net realized gain(loss) – Month 1 | 0 | |
|---|--|---|
| Monthly net change in unrealized appreciation (or depreciation) – Month 1 | 0 | |
| Monthly net realized gain(loss) – Month 2 | 0 | |
| Monthly net change in unrealized appreciation (or depreciation) – Month 2 | 0 | |
| Monthly net realized gain(loss) – Month 3 | 0 | |
| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | 0 | |
| d. For each of the preceding three months, investment other than derivatives. Report in Month 1 | monthly net realized gain (loss) and net char U.S. dollars. Losses and depreciation shall l | ge in unrealized appreciation (or depreciation) attributable to be reported as negative numbers. |
| Monthly net realized gain(loss) – Month 1 | 0 | |
| Monthly net change in unrealized appreciation (or depreciation) – Month 1 | -7370088 | |
| Month 2 | | |
| Monthly net realized gain(loss) – Month 2 | 3119451 | |
| Monthly net change in unrealized appreciation (or depreciation) – Month 2 | -2865243 | |
| Month 3 | | |
| Monthly net realized gain(loss) – Month 3 | 319941 | |
| Monthly net change in unrealized appreciation (or depreciation) – Month 3 | -7569262 | |
| Item B.6. Flow information. | | |
| Provide the aggregate dollar amounts for sa the Fund are held in omnibus accounts, for redemptions/repurchases from such omnibu deducted and before any deferred or contin to a registered unit investment trust. For me the assets of another investment company of shares redeemed any transaction in which t | purposes of calculating the Fund's sales, red us accounts. The amounts to be reported und gent deferred sales load or charge has been rgers and other acquisitions, include in the v or of a personal holding company in exchang he Fund liquidated all or part of its assets. E. | nares during each of the preceding three months. If shares of emptions, and repurchases, use net sales or der this Item should be after any front-end sales load has been deducted. Shares sold shall include shares sold by the Fund alue of shares sold any transaction in which the Fund acquired e for its own shares. For liquidations, include in the value of schanges are defined as the redemption or repurchase of of another Fund or series in the same family of investment |
| Month 1 | | |
| a. Total not asset value of charge cold | | |
| Total net asset value of shares sold (including exchanges but excluding reinvestment of dividends and distributions). | 0 | |
| b. Total net asset value of shares sold in connection with reinvestments of dividends and distributions. | 0 | |
| c. Total net asset value of shares redeemed or repurchased, including exchanges. | 0 | |
| Month 2 | | |
| a. Total net asset value of shares sold (including exchanges but excluding reinvestment of dividends and distributions). | 0 | |
| b. Total net asset value of shares sold in connection with reinvestments of dividends and distributions. | 0 | |
| c. Total net asset value of shares redeemed or repurchased, including exchanges. | 0 | |

| a. Total net asset value of shares sold (including exchanges but excluding reinvestment of dividends and distributions). | 0 | |
|---|---|---|
| b. Total net asset value of shares sold in connection with reinvestments of dividends and distributions. | 0 | |
| c. Total net asset value of shares redeemed or repurchased, including exchanges. | 0 | |
| Item B.7. Highly Liquid Investment Minimu | um information. | |
| a. If applicable, provide the Fund's current Highly Liquid Investment Minimum. | | |
| b. If applicable, provide the number of days that the Fund's holdings in Highly Liquid Investments fell below the Fund's Highly Liquid Investment Minimum during the reporting period. | | |
| c. Did the Fund's Highly Liquid Investment Minimum change during the reporting period? | ○ Yes ○ No ○ N/A | |
| Item B.8. Derivatives Transactions. | | |
| | | ercentage of the Fund's Highly Liquid Investments that it has ied among the following categories as specified in rule 22e-4 |
| (1) Moderately Liquid Investments | | |
| (2) Less Liquid Investments | | |
| (3) Illiquid Investments | | |
| For purposes of Item B.8, when computing t categorized by the Fund as Highly Liquid Inv | he required percentage, the denominator sho restments. | ould only include assets (and exclude liabilities) that are |
| Classification | | |
| Item B.9. Derivatives Exposure for limited | derivatives users. | |
| If the Fund is excepted from the rule 18f-4 [270.18f-4(c)(4)], provide the following inform | 7 CFR 270.18f-4] program requirement and lation: | imit on fund leverage risk under rule 18f-4(c)(4) [17 CFR |
| a. Derivatives exposure (as defined in rule 18f-4(a) [17 CFR 270.18f-4(a)]), reported as a percentage of the Fund's net asset value. | | |
| b. Exposure from currency derivatives that hedge currency risks, as provided in rule 18f-4(c)(4)(i)(B) [17 CFR 270.18f-4(c)(4)(i) (B)], reported as a percentage of the Fund's net asset value. | | |
| c. Exposure from interest rate derivatives that hedge interest rate risks, as provided in rule 18f-4(c)(4)(i)(B) [17 CFR 270.18f-4(c)(4)(i)(B)], reported as a percentage of the Fund's net asset value. | | |
| d. The number of business days, if any, in excess of the five-business-day period described in rule 18f-4(c)(4)(ii) [17 CFR 270.18f-4(c)(4)(ii)], that the Fund's derivatives exposure exceeded 10 percent of its net assets during the reporting period. | | |
| Item B.10. VaR information. | | |
| For Funds subject to the limit on fund levera determined in accordance with the requirem each business day: | ge risk described in rule 18f-4(c)(2) [17 CFR ent under rule 18f-4(c)(2)(ii) to determine the | 270.18f-4(c)(2)], provide the following information, as fund's compliance with the applicable VaR test at least once |
| a. Median daily VaR during the reporting period, reported as a percentage of the Fund's net asset value. | | |
| b. For Funds that were subject to the Relative VaR Test during the reporting period, provide: | | |
| i. As applicable, the name of the Fund's Designated Index, or a statement that the Fund's Designated Reference Portfolio is the Fund's Securities Portfolio. | | |

| ii. As applicable, the index identifier for the Fund's Designated Index. | |
|---|--|
| iii. Median VaR Ratio during the reporting period, reported as a percentage of the VaRof the Fund's Designated Reference Portfolio. | |
| c. Backtesting Results. Number of exceptions that the Fund identified as a result of its backtesting of its VaR calculation model (as described in rule 18f-4(c)(1)(iv) [17 CFR 270.18f-4(c)(1)(iv)] during the reporting period. | |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| tem C.1. Identification of investment. | |
| a. Name of issuer (if any). | AgBiome, LLC |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A-1 Convertible Preferred Units |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | : |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| tem C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 2000000 |
| Units | Other units |
| Description of other units. | Number of units |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 9772240 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 11.278544160833 |
| tem C.3. Indicate payoff profile among th he relevant payoff profile question in Iter | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ● Long ○ Short ○ N/A |
| 0 4 4 1 1 1 1 1 | and the state of t |

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
|--|--|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ● Yes ○ No |
| Item C.7. | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity v | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., idient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| | |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
|---|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | O Yes O No |
| iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| | |
| Item C.10. For repurchase and reverse rep | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerni subject to the repurchase agreement, those | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ◎ No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes 	 No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information to ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | AgBiome, LLC |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A-2 Convertible Preferred Units |
| d. CUSIP (if any). | N/A |
| | |

At least one of the following other identifiers:

| Identifier. | Other unique identifier (if ticker and ISIN are not availab | le). Indicate the type of identifier used |
|--|---|---|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Item C.2. Amount of each investment. | | |
| | sed in number of shares, principal amount, or othe | r units. For derivatives contracts, as applicable, provide |
| Balance | 417392 | |
| Units | Other units | |
| Description of other units. | Number of units | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2040567 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 2.355102312534 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For den C.11. | rivatives, respond N/A to this Item and respond to |
| Payoff profile. | ● Long ● Short ● N/A | |
| Item C.4. Asset and issuer type. Select the | category that most closely identifies the instr | ument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted So | ecurity? | |
| Is the investment a Restricted Security? | | |
| Item C.7. | | |
| a. Liquidity classification information. For po for each portfolio investment among the follo | owing categories as specified in rule 22e-4 [17 CFI | stment companies, provide the liquidity classification(s) R 270.22e-4]. For portfolio investments with multiple |
| liquidity classifications, indicate the percents | age amount attributable to each classification | • |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| iv. Illiquid Investments | |
|---|---|
| Category. | N/A |
| | ories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | se to indicate the percentage amount of a holding attributable to multiple classification categories only in the position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted lue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e ledient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | © Yes [©] No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | © Yes [©] No |
| | including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| , , | e of identifier dised. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse re | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | f counterparty. |

e. Maturity date.

O Yes No

c. Tri-party?

d. Repurchase rate.

| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are |
|--|---|
| Item C.11. For derivatives, also provide: | securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes [®] No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | AgBiome, LLC |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series B Convertible Preferred Units |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 160526 |
| **** | |
| Units | Other units |
| Description of other units. | Number of units |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 796279 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.919018348489 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |

Payoff profile.

• Long • Short • N/A

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
|--|--|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted So | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| • | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
|--|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | or o |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the | © Repurchase © Reverse repurchase |
| transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | - Nopalsitado - Novoldo Iopalsitado |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ● Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | ● Yes ● No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Alta Equipment Group, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 02128L106 |

| Identifier. | ISIN |
|--|--|
| ISIN | US02128L1061 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | ALTG |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 542957 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 4870324 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 5.621041267054 |
| Item C.3. Indicate payoff profile among the | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common |
| Issuer type (corporate, U.S. Treasury, | Corporate |
| U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | ○ Yes No |
| Item C.7. | |
| a. Liquidity classification information. For po | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) |

for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments

| iii. Less Liquid Investments | | |
|---|---|--|
| iv. Illiquid Investments | | |
| Category. | N/A | |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is | |
| Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position. | | |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted re Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient). | |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | |
| ii. Annualized rate. | | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No | |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No | |
| reference instrument, ISIN (if CUSIP is not a available). | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not | |
| If other identifier provided, indicate the type | of identifier used. | |
| v. Delta (if applicable). | | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | |
| c. Tri-party? | © Yes © No | |
| d. Repurchase rate. | | |
| | | |

| e. Maturity date. | |
|---|---|
| | ning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are a securities may be aggregated in responding to Items C.10.f.i-iii. |
| em C.11. For derivatives, also provide: em C.12. Securities lending. | |
| a. Does any amount of this investment epresent reinvestment of cash collateral eceived for loaned securities? | © Yes No |
| o. Does any portion of this investment epresent that is treated as a Fund asset and received for loaned securities? | © Yes No |
| b. Is any portion of this investment on loan by the Fund? | ○ Yes No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| or securities in an aggregate amount not e securities in Part C, provided that the secur seriod covered by this report, and have not | its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those rities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| em C.1. Identification of investment. | |
| . Name of issuer (if any). | Amgen, Inc. |
| . LEI (if any) of issuer. In the case of a olding in a fund that is a series of a eries trust, report the LEI of the series. | 62QBXGPJ34PQ72Z12S66 |
| . Title of the issue or description of the ovestment. | Rights to Milestone Payments from Acquisition of BioVex Group, Inc. |
| . CUSIP (if any). | N/A |
| t least one of the following other identifiers | S: |
| lentifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| | |
| other unique identifier (if ticker and ISIN re not available). Indicate the type of lentifier used | N/A |
| escription of other unique identifier. | Additional identifier not available. |
| em C.2. Amount of each investment. | |
| alance. Indicate whether amount is exprese e number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| alance | 548998 |
| Inits | Principal amount |
| escription of other units. | |
| currency. Indicate the currency in which he investment is denominated. | United States Dollar |
| | |
| currency of investment is not lenominated in U.S. dollars, provide the | 373329 |
| urrency of investment is not enominated in U.S. dollars, provide the xchange rate used to calculate value. | 373329 |
| urrency of investment is not lenominated in U.S. dollars, provide the exchange rate used to calculate value. | 373329 |
| Value. Report values in U.S. dollars. If surrency of investment is not lenominated in U.S. dollars, provide the exchange rate used to calculate value. Exchange rate. Percentage value compared to net assets of the Fund. | 0.430874355625 |
| urrency of investment is not enominated in U.S. dollars, provide the xchange rate used to calculate value. xchange rate. Percentage value compared to net assets f the Fund. | 0.430874355625 ne following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to |

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivative-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | OTHER |
|---|--|
| If "other", provide a brief description. | Other Financial Instrument |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ● Yes ○ No |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 © N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
|--|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, ir reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type of the state of th | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Home C 40. For your phase and you are | |
| Item C.10. For repurchase and reverse rep | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of o | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerning subject to the repurchase agreement, those | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ◎ No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securit period covered by this report, and have not I | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information to ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Arena Group Holdings, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 040044109 |

| Identifier. | ISIN | |
|--|--|--|
| ISIN | US0400441095 | |
| Identifier. | Ticker (if ISIN is not available) | |
| Ticker (if ISIN is not available). | AREN | |
| Item C.2. Amount of each investment. | | |
| | ssed in number of shares, principal amount, or other | r units. For derivatives contracts, as applicable, provide |
| Balance | 791545 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 7123905 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 8.221991799227 | |
| Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter | e following categories (long, short, N/A). For den C.11. | rivatives, respond N/A to this Item and respond to |
| Payoff profile. | ■ Long ■ Short ■ N/A | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instr | ument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted S | ecurity? | |
| Is the investment a Restricted Security? | ○ Yes No | |
| Item C.7. | | |
| | | stment companies, provide the liquidity classification(s) |

- liquidity classifications, indicate the percentage amount attributable to each classification.
- i. Highly Liquid Investments
- ii. Moderately Liquid Investments

| iii. Less Liquid Investments | | |
|---|---|--|
| iv. Illiquid Investments | | |
| Category. | N/A | |
| b. If attributing multiple classification categorapplicable. | pries to the holding, indicate which of the | three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position. | | |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Val- net asset value used as the practical expe | ue Measurement). [1/2/3] Report "N/A" | measurements fall pursuant to U.S. Generally Accepted if the investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ● 1 ○ 2 ○ 3 ○ N/A | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | |
| ii. Annualized rate. | | |
| c. Currently in default? [Y/N] | © Yes [©] No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | O Yes O No | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No | |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No | |
| iii. Description of the reference instrument, reference instrument, ISIN (if CUSIP is not available). If other identifier provided, indicate the type | available), ticker (if CUSIP and ISIN are | , and currency in which denominated, as well as CUSIP of not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | | |
| K C 10 F | | |
| Item C.10. For repurchase and reverse re | | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | |
| c. Tri-party? | O Yes O No | |
| d. Repurchase rate. | | |

| e. Maturity date. | |
|---|---|
| | ning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are e securities may be aggregated in responding to Items C.10.f.i-iii. |
| tem C.11. For derivatives, also provide: | |
| tem C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not e securities in Part C, provided that the secu period covered by this report, and have no | its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those rities so listed are not restricted, have been held for not more than one year prior to the end of the reporting the been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| tem C.1. Identification of investment. | |
| a. Name of issuer (if any). | AutoTech Ventures Management I, LLC |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | LLC Interests |
| d. CUSIP (if any). | N/A |
| At Least and of the Called to a discrete at the CC of | e: |
| At least one of the following other identifier | 3. |
| At least one of the following other identifier Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| - | |
| - | |
| Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. tem C.2. Amount of each investment. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. tem C.2. Amount of each investment. Balance. Indicate whether amount is expre | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. tem C.2. Amount of each investment. Balance. Indicate whether amount is exprethe number of contracts. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is exprethe number of contracts. Balance | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is exprette number of contracts. Balance Units | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide Other units |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is exprethe number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide Other units Number of Interests |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. tem C.2. Amount of each investment. Balance. Indicate whether amount is exprethe number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide Other units Number of Interests United States Dollar |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is exprete the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide Other units Number of Interests United States Dollar |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is exprete the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. Exchange rate. Percentage value compared to net assets of the Fund. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. sseed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide Other units Number of Interests United States Dollar 225000 0.259681755276 ne following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is exprete the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. Exchange rate. Percentage value compared to net assets of the Fund. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. sseed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide Other units Number of Interests United States Dollar 225000 0.259681755276 ne following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to |

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | OTHER |
|--|--|
| If "other", provide a brief description. | LLC Interests |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | • |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the foll | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ® 3 © N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |

| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
|---|--|
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | O Yes No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ○ No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Schede | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those littes so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Commercial Vehicle Group, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 5493006OG8I91X0K7Q22 |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 202608105 |

| Identifier. | ISIN | |
|--|---|---|
| ISIN | US2026081057 | |
| Identifier. | Ticker (if ISIN is not available) | |
| Ticker (if ISIN is not available). | CVGI | |
| Item C.2. Amount of each investment. | | |
| Balance. Indicate whether amount is express the number of contracts. | sed in number of shares, principal amount, | or other units. For derivatives contracts, as applicable, provide |
| Balance | 348101 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2032910 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 2.346265053866 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | e following categories (long, short, N/A). n C.11. | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the | e instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted So | ecurity? | |
| Is the investment a Restricted Security? | ○ Yes No | |
| Item C.7. | | |
| a. Liquidity classification information. For po | rttolio investments of open-end managemer | nt investment companies, provide the liquidity classification(s) |

i. Highly Liquid Investments

- for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.
- ii. Moderately Liquid Investments

| iii. Less Liquid Investments | |
|---|--|
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted re Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| reference instrument, ISIN (if CUSIP is not a available). | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| If other identifier provided, indicate the type | of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | © Yes © No |
| d. Repurchase rate. | |
| | |

| e. Maturity date. | |
|--|---|
| | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ® No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| tem C.1. Identification of investment. | |
| a. Name of issuer (if any). | comScore, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 20564W105 |
| At least one of the following other identifiers | : |
| Identifier. | ISIN |
| | |
| ISIN | US20564W1053 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | SCOR |
| | |
| tem C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expres | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance. Indicate whether amount is expres the number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide 2490698 |
| Balance. Indicate whether amount is expres the number of contracts. Balance | |
| | 2490698 |
| the number of contracts. Balance Units | 2490698 |
| Balance. Indicate whether amount is express the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which | 2490698 Number of shares |
| Balance. Indicate whether amount is expres the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the | 2490698 Number of shares United States Dollar |
| Balance. Indicate whether amount is express the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2490698 Number of shares United States Dollar |
| Balance. Indicate whether amount is express the number of contracts. Balance Units Description of other units. Currency. Indicate the currency in which the investment is denominated. Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. Exchange rate. Percentage value compared to net assets of the Fund. | 2490698 Number of shares United States Dollar 5130838 5.921711190584 e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to |

| Asset type (short-term investment vehicle | |
|--|---|
| (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | © Yes ® No |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| euerateryquiueetete | |
| iii Less Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| • | N/A |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each put them C.8. Indicate the level within the fair | writes to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the cortion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each place. Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value). | writes to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the cortion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each pulter C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value asset value used as the practical experiments in which the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than base reasonably anticipated trade size for each put Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuent asset value used as the practical experiments of the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each pleasonably anticipated trade size for each pleasonable si | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each put Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value asset value used as the practical experiments in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each put item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value Accounting Principles (ASC 820, Fair Value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely reflects the coupon type among the | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than base reasonably anticipated trade size for each put the counting Principles (ASC 820, Fair Valuent asset value used as the practical experimental principal principles (ASC 820, Fair Valuent asset value used as the practical experimental pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| iv. Illiquid Investments Category. b. If attributing multiple classification catego applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each pleasonably anticipated trade size for each pleasonable and trade size for each pleasonable | wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
|---|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerni subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: | |
| Item C.12. Securities lending. | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ● Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes [®] No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | D-Wave Systems, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Class A Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | - |

| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
|--|---|
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 1025045.6 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 6018616 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 6.946332298745 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iter | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long □ Short □ N/A |
| Item C.4. Asset and issuer type. Select th | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | CANADA (FEDERAL LEVEL) |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | ● Yes ◎ No |
| Item C.7. | |
| for each portfolio investment among the foll | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| 3.7 | 1 |

b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., not asset value used as the practical expedient)

| net asset value used as the practical expe | edient). |
|---|---|
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |

f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii.

Item C.11. For derivatives, also provide:

| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ● Yes ● No |
|---|--|
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ● Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes [®] No |
| NPORT-P: Part C: Schede | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | EchoPixel, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A-2 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 1471577 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated | United States Dollar |

Units

Description of other units.

Currency. Indicate the currency in which the investment is denominated.

Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.

Exchange rate.

Divided States Dollar

138364

138364

138364

Item C.3. Indicate payoff profile among the following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to the relevant payoff profile question in Item C.11.

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.

Equity-preferred

| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
|--|--|
| Item C.5. Country of investment or issuer | 1. |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | Security? |
| Is the investment a Restricted Security? | Yes No |
| Item C.7. | |
| for each portfolio investment among the foll | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) lowing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification categorapplicable. | ories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choos | se to indicate the percentage amount of a holding attributable to multiple classification categories only in the |
| multiple sub-advisers with differing liquidity | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each place. Indicate the level within the fair | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the cortion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value) | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expelindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant counting Principles (ASC 820, Fair Value asset value used as the practical expelling liquid to the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plane C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expendicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plane. Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expendicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely reflects the coupon type among the | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant counting Principles (ASC 820, Fair Value net asset value used as the practical expension of the process of the level within the fair value hierarchy in which the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). ii. Annualized rate. | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each pleasonably anticipated (ASC 820, Fair Value net asset value used as the practical experimental principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). ii. Annualized rate. c. Currently in default? [Y/N] d. Are there any interest payments in arrears or have any coupon payments | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). 1 2 3 N/A Yes No |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expension of the interaction of the interest paid in kind? [Y/N] end to substitute the office of the interest paid in kind? [Y/N] end to find the interest paid in kind? [Y/N] end to find the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient). 1 2 3 N/A Yes No Yes No |
| multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expension in the same transport of the interaction in which the fair value hierarchy in which the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities For debt securities, also provide: a. Maturity date. b. Coupon. i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). ii. Annualized rate. c. Currently in default? [Y/N] d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient). 1 2 3 N/A Yes No Yes No |

| | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
|---|--|
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | © Repurchase © Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No |
| ii. If N, provide the name and LEI (if any) of $\boldsymbol{\theta}$ | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes 		● No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | EchoPixel, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series Seed Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | : |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 4194630 |

| Units | Number of shares |
|--|---|
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 276270 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.318854571246 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) bying categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple ge amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is to indicate the percentage amount of a holding attributable to multiple classification categories only in the |

following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).

| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ® 3 © N/A |
|--|-------------------------------|
| Item C.9. For debt securities For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ● Yes ● No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | © Yes [©] No |
| iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). If other identifier provided, indicate the type of identifier used. | |
| v. Delta (if applicable). | |
| | |
| Item C.10. For repurchase and reverse repurchase agreements, also provide: | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii. | |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ◎ No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

| registration statement, application, or report | to shareholders or otherwise made available to the public. |
|--|--|
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | EchoPixel, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series Seed-2 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | : |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 1476668 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 99118 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.114396160975 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iter | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |

Item C.5. Country of investment or issuer.

| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
|---|--|
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the position of the position. |
| Item C.8. Indicate the level within the fair | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | or including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| | |

| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
|---|---|
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerning subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information keeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Envela Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 29402E102 |
| At least one of the following other identifiers | : |
| Identifier. | ISIN |
| ISIN | US29402E1029 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | ELA |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 192299 |
| | |
| Units | Number of shares |

| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
|--|---|
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 1371092 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 1.582433676471 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ○ Yes No |
| Item C.7. | |
| for each portfolio investment among the folio | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple ge amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification categor applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).

| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ● 1 ○ 2 ○ 3 ○ N/A |
|---|--|
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| • | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | © Yes [©] No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | © Yes [©] No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available). If other identifier provided, indicate the type | including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse re | purchase agreements. also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issue e securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

| registration statement, application, or report | to shareholders of otherwise made available to the public. | | | |
|--|---|--|--|--|
| Item C.1. Identification of investment. | | | | |
| a. Name of issuer (if any). | Fleet Health Alliance, LLC | | | |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A | | | |
| c. Title of the issue or description of the investment. | Unsecured Convertible Bridge Note, 0%, (acquired 4/22/16, no maturity date) | | | |
| d. CUSIP (if any). | N/A | | | |
| At least one of the following other identifiers | r. | | | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | | | |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | | | |
| Description of other unique identifier. | Additional identifier not available. | | | |
| Item C.2. Amount of each investment. | | | | |
| Balance. Indicate whether amount is express the number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide | | | |
| Balance | 225000 | | | |
| Units | Principal amount | | | |
| Description of other units. | | | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | | | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 | | | |
| Exchange rate. | | | | |
| Percentage value compared to net assets of the Fund. | 0 | | | |
| Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. | | | |
| Payoff profile. | ■ Long Short N/A | | | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: | | | |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Debt | | | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | | | |
| | | | | |

Item C.5. Country of investment or issuer.

| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
|---|--|
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the following | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity. | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the position of the position. |
| Item C.8. Indicate the level within the fair | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | 0 1 0 2 ● 3 0 N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | 2500-12-31 |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | Fixed |
| ii. Annualized rate. | 0 |
| c. Currently in default? [Y/N] | © Yes ◉ No |
| , | Yes No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | Tes Vino |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ● Yes ● No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | © Yes ● No |
| iii. Description of the reference instrument, i | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of |

III. Description of the reference instrument, including the name of issue, title of issue, and currency in which denominated, as well as COSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available).

If other identifier provided, indicate the type of identifier used.

| Name of issuer. | N/A | | | | |
|---|---|--|--|--|--|
| Title of issue. | N/A | | | | |
| Currency in which denominated. | N/A | | | | |
| At least one of the following other identifiers | : | | | | |
| | | | | | |
| Identifier. | Other identifier (if CUSIP, ISIN, and ticker are not available) | | | | |
| Other identifier (if CUSIP, ISIN, and ticker are not available). | N/A | | | | |
| Description of other identifier. | Additional identifier not available. | | | | |
| iv. Conversion ratio per US\$1000 notional, ocurrency. If there is more than one conversi | or, if bond currency is not in U.S. dollars, per 1000 units of the relevant currency, indicating the relevant on ratio, provide each conversion ratio. | | | | |
| Bond Currency Record: 1 | | | | | |
| Conversion ratio per 1000 units | N/A | | | | |
| ISO Currency Code | N/A | | | | |
| v. Delta (if applicable). | xxxx | | | | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: | | | | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | | | | |
| b. Counterparty. | | | | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | | | | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | | | | |
| c. Tri-party? | ◎ Yes ◎ No | | | | |
| d. Repurchase rate. | | | | | |
| e. Maturity date. | | | | | |
| f. Provide the following information concerni subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. | | | | |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | | | | | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No | | | | |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ● Yes ● No | | | | |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No | | | | |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments | | | | |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not registration statement, application, or report | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. | | | | |
| Item C.1. Identification of investment. | | | | | |
| a. Name of issuer (if any). | HALE.life Corporation | | | | |

b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.

N/A

| c. Title of the issue or description of the investment. | Common Stock | | |
|--|---|--|--|
| d. CUSIP (if any). | N/A | | |
| At least one of the following other identifiers | s: | | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | | |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | | |
| Description of other unique identifier. | Additional identifier not available. | | |
| Item C.2. Amount of each investment. | | | |
| Balance. Indicate whether amount is express the number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide | | |
| Balance | 1000000 | | |
| Units | Number of shares | | |
| Description of other units. | | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 8227 | | |
| Exchange rate. | | | |
| Percentage value compared to net assets of the Fund. | 0.009495119114 | | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to m C.11. | | |
| Payoff profile. | ● Long © Short © N/A | | |
| Item C.4. Asset and issuer type. Select th | e category that most closely identifies the instrument among each of the following: | | |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | | |
| Item C.5. Country of investment or issuer | | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | | |
| | | | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | | |
| issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and | ecurity? | | |

a. Liquidity classification information. For portfolio investments of open-end management investment companies, provide the liquidity classification(s) for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple

| liquidity classifications, indicate the percentage | age amount attributable to each classification. |
|---|--|
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. Instructions to Item C.7 Funds may choose | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has |
| multiple sub-advisers with differing liquidity | views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 © N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ◎ Yes ◎ No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| v. Boka (ii applicable). | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | |
| ii. If N. provide the name and I FI (if any) of | counterparty |

| c. Tri-party? | ○ Yes ○ No |
|--|---|
| d. Repurchase rate. | |
| . Make the state | |
| e. Maturity date. | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ◎ Yes ® No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ◉ No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securities of covered by this report, and have not registration statement, application, or report | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | HALE.life Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series Seed-1 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | : |
| Identifier. | |
| identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 11000000 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 234175 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.270271000186 |
| Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | |

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred Corporate | | |
|--|--|---|---|
| U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | | | |
| Item C.5. Country of investment or issuer | | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | | |
| Item C.6. Is the investment a Restricted S | ecurity? | | |
| Is the investment a Restricted Security? | Yes No | | |
| Item C.7. | | | |
| a. Liquidity classification information. For pofor each portfolio investment among the foll-liquidity classifications, indicate the percental | owing categories as specified in r | ule 22e-4 [17 CFR 270.22e-4]. For p | |
| i. Highly Liquid Investments | | | |
| ii. Moderately Liquid Investments | | | |
| iii. Less Liquid Investments | | | |
| iv. Illiquid Investments | | | |
| Category. | N/A | | |
| b. If attributing multiple classification catego applicable. | | of the three circumstances listed in | the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each page 1.5. | e position have differing liquidity to views; or (3) if the fund chooses to ing it on the sizes it would reason ortion of the position. | eatures that justify treating the portion of classify the position through evaluably anticipated trading). In (1) and | ons separately; (2) if a fund has ation of how long it would take to (2), a fund would classify using the |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expenses.) | ie Measurement). [1/2/3] Repor dient). | ir value measurements fall pursua t "N/A" if the investment does not | nt to U.S. Generally Accepted have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A | | |
| Item C.9. For debt securities | | | |
| For debt securities, also provide: | | | |
| a. Maturity date. | | | |
| b. Coupon. | | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | | |
| ii. Annualized rate. | | | |
| c. Currently in default? [Y/N] | O Yes O No | | |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
|---|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ◎ Yes ◎ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| · | |
| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | HALE.life Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series Seed-2 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | |

At least one of the following other identifiers:

Identifier.

Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used

| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
|--|--|
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 12083132 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 290494 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.335271074744 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle | Equity-preferred |
| (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | |
| Issuer type (corporate, U.S. Treasury, | Corporate |
| U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ● Yes ○ No |
| Item C.7. | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple ige amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |

b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., not asset value used as the practical expedient)

| net asset value used as the practical expe | edient). |
|---|---|
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |

f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii.

Item C.11. For derivatives, also provide:

| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | O Yes O No |
|--|------------|
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | O Yes O No |
| c. Is any portion of this investment on loan by the Fund? | O Yes O No |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those

| period covered by this report, and have not | ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
|--|--|
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Intevac, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 461148108 |
| At least one of the following other identifiers | : |
| Identifier. | ISIN |
| ISIN | US4611481080 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | IVAC |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 517096 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2502745 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 2.888520953824 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Pavoff profile | ◎ Long ◎ Short ◎ N/Δ |

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | | | | |
|--|---|--|--|--|---------------------------------------|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | | | | |
| Item C.5. Country of investment or issuer | • | | | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | | | | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | | | | |
| Item C.6. Is the investment a Restricted Se | ecurity? | | | | |
| Is the investment a Restricted Security? | O Yes O No | | | | |
| Item C.7. | | | | | |
| Liquidity classification information. For pofor each portfolio investment among the folloliquidity classifications, indicate the percentations. | owing categories as specified i | in rule 22e-4 [17 | | | |
| i. Highly Liquid Investments | | | | | |
| ii. Moderately Liquid Investments | | | | | |
| iii. Less Liquid Investments | | | | | |
| iv. Illiquid Investments | | | | | |
| Category. | N/A | | | | |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate wh | nich of the three o | circumstances listed | in the Instructions to | tem C.7 is |
| Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each p | e position have differing liquidit views; or (3) if the fund choose ing it on the sizes it would reas | ity features that just it is to classify the part is to classify the part is t | ustify treating the po position through eva | rtions separately; (2 aluation of how long |) if a fund has it would take to |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | ue Measurement). [1/2/3] Rep | fair value meas port "N/A" if the | surements fall purs investment does r | uant to U.S. Generation to the design of the | ally Accepted sociated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ● 1 ○ 2 ○ 3 ○ N/A | | | | |
| Item C.9. For debt securities | | | | | |
| For debt securities, also provide: | | | | | |
| a. Maturity date. | | | | | |
| b. Coupon. | | | | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | | | | |
| ii. Annualized rate. | | | | | |
| c. Currently in default? [Y/N] | ○ Yes ○ No | | | | |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
|--|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the | Repurchase Reverse repurchase |
| transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| ' | |
| e. Maturity date. | |
| f. Provide the following information concerning subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: | |
| Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ● Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes [®] No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tites so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Lantronix, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 516548203 |
| At least one of the following other identifiers | : |

Identifier.

ISIN

| ISIN | US5165482036 | |
|--|--|---|
| Identifier. | Ticker (if ISIN is not available) | |
| Ticker (if ISIN is not available). | LTRX | |
| It was a contract of a contract of a contract of | | |
| Item C.2. Amount of each investment. Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, c | or other units. For derivatives contracts, as applicable, provide |
| Balance | 424626 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2284488 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 2.636621572218 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ■ Long Short N/A | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the | instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted S | ecurity? | |
| Is the investment a Restricted Security? | © Yes [®] No | |
| Item C.7. | | |
| for each portfolio investment among the follo | ortfolio investments of open-end managemen owing categories as specified in rule 22e-4 [1 age amount attributable to each classification | t investment companies, provide the liquidity classification(s) 17 CFR 270.22e-4]. For portfolio investments with multiple 1. |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments

| iii. Less Liquid Investments | |
|---|---|
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification categorapplicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| reference instrument, ISIN (if CUSIP is not available). | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| If other identifier provided, indicate the type | of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse re | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |

| Maturity date. | |
|---|---|
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are |
| bject to the repurchase agreement, those m C.11. For derivatives, also provide: | e securities may be aggregated in responding to Items C.10.f.i-iii. |
| m C.12. Securities lending. | |
| Does any amount of this investment present reinvestment of cash collateral ceived for loaned securities? | |
| Does any portion of this investment present that is treated as a Fund asset nd received for loaned securities? | ● Yes ● No |
| Is any portion of this investment on loan the Fund? | © Yes [®] No |
| PORT-P: Part C: Sched | ule of Portfolio Investments |
| r securities in an aggregate amount not execurities in Part C, provided that the securities covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| m C.1. Identification of investment. | |
| Name of issuer (if any). | LS180 Acquisition Holdings, LLC |
| LEI (if any) of issuer. In the case of a olding in a fund that is a series of a ries trust, report the LEI of the series. | N/A |
| Title of the issue or description of the vestment. | Membership Units |
| CUSIP (if any). | N/A |
| least one of the following other identifiers | Σ. |
| entifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| ther unique identifier (if ticker and ISIN e not available). Indicate the type of entifier used | N/A |
| escription of other unique identifier. | Additional identifier not available. |
| m C.2. Amount of each investment. | |
| alance. Indicate whether amount is exprese number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| alance | 1300000 |
| nits | Number of shares |
| escription of other units. | |
| urrency. Indicate the currency in which e investment is denominated. | United States Dollar |
| alue. Report values in U.S. dollars. If urrency of investment is not enominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 |
| kchange rate. | |
| | |
| ercentage value compared to net assets the Fund. | 0 |
| the Fund. | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to |

| Asset time (about town investment vahiole | Equity common | |
|--|---|---|
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted Se | ecurity? | |
| Is the investment a Restricted Security? | Yes No | |
| Item C.7. | | |
| | owing categories as specified i | d management investment companies, provide the liquidity classification(s) n rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple n classification. |
| i. Highly Liquid Investments | | |
| ii. Moderately Liquid Investments | | |
| iii. Less Liquid Investments | | |
| iv. Illiquid Investments | | |
| Category. | N/A | |
| | ries to the holding, indicate wh | ich of the three circumstances listed in the Instructions to Item C.7 is |
| | | nount of a holding attributable to multiple classification categories only in the ty features that justify treating the portions separately; (2) if a fund has |
| multiple sub-advisers with differing liquidity | views; or (3) if the fund choose ing it on the sizes it would reas | s to classify the position through evaluation of how long it would take to conably anticipated trading). In (1) and (2), a fund would classify using the |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | ue Measurement). [1/2/3] Rep | fair value measurements fall pursuant to U.S. Generally Accepted ort "N/A" if the investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ® 3 © N/A | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | |
| ii. Annualized rate. | | |
| c. Currently in default? [Y/N] | ○ Yes ○ No | |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
|---|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | O Yes O No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerni subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes [®] No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | LS180 Acquisition Holdings, LLC |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Unsecured Promissory Note, 0% (acquired 1/27/22, maturing 12/31/22) |
| d. CUSIP (if any). | N/A |

At least one of the following other identifiers:

| Identifier. | Other unique identifier (if ticker and ISIN are not | available). Indicate the type of identifier used |
|--|---|--|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Item C.2. Amount of each investment. | | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, o | or other units. For derivatives contracts, as applicable, provide |
| Balance | 50000 | |
| Units | Principal amount | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2500 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0.002885352836 | |
| Item C.3. Indicate payoff profile among the | | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ● Long ● Short ● N/A | |
| , , , , , , , , , , , , , , , , , , , | • | instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Debt Debt | This trument among each of the following. |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted S | ecurity? | |
| Is the investment a Restricted Security? | Yes No | |
| Item C.7. | | |
| for each portfolio investment among the follo | | t investment companies, provide the liquidity classification(s) 17 CFR 270.22e-4]. For portfolio investments with multiple |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| iv. Illiquid Investments | | |
|---|---|--|
| Category. | N/A | |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instru | uctions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classifice position have differing liquidity features that justify treating the portions separatews; or (3) if the fund chooses to classify the position through evaluation of hing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fundantion of the position. | rately; (2) if a fund has ow long it would take to |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valu net asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S le Measurement). [1/2/3] Report "N/A" if the investment does not have a l dient). | 6. Generally Accepted evel associated with it (i.e |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 © N/A | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | 2022-12-31 | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | Fixed | |
| ii. Annualized rate. | 0 | |
| c. Currently in default? [Y/N] | ○ Yes ● No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes 		• No | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes No | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No | |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No | |
| iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP) are identifier used. | as well as CUSIP of SIP, ISIN, and ticker are not |
| , , , | n defidier dsed. | |
| v. Delta (if applicable). | | |
| Item C.10. For repurchase and reverse rep | urchase agreements, also provide: | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No | |
| ii. If N, provide the name and LEI (if any) of $\boldsymbol{\theta}$ | counterparty. | |
| c. Tri-party? | ○ Yes ○ No | |
| d. Repurchase rate. | | |

e. Maturity date.

| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. | |
|--|--|--|
| Item C.11. For derivatives, also provide: | | |
| Item C.12. Securities lending. | | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ◎ No | |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No | |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No | |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments | |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. | |
| Item C.1. Identification of investment. | | |
| a. Name of issuer (if any). | LS180 Acquisition Holdings, LLC | |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A | |
| c. Title of the issue or description of the investment. | Unsecured Promissory Note, 0% (acquired 5/10/2021, maturing 12/31/22) | |
| d. CUSIP (if any). | N/A | |
| At least one of the following other identifiers | : | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Item C.2. Amount of each investment. | | |
| | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide | |
| Balance | 50000 | |
| Units | Principal amount | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2500 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0.002885352836 | |
| tem C.3. Indicate payoff profile among the | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to | |

the relevant payoff profile question in Item C.11.

Payoff profile.

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Debt |
|--|--|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| | N/A |
| applicable. Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the |
| reasonably anticipated trade size for each p | · |
| Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | 2022-12-31 |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | Fixed |
| ii. Annualized rate. | 0 |
| c. Currently in default? [Y/N] | |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [®] No |
|---|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [®] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.12. Securities lending. | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | LS180 Acquisition Holdings, LLC |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Unsecured Promissory Note, 0% (acquired 9/7/21, maturing 12/31/22) |
| d. CUSIP (if any). | N/A |

| Identifier. | Other unique identifier (if ticker and ISIN are not | available). Indicate the type of identifier used |
|--|---|--|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Item C.2. Amount of each investment. | | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, o | or other units. For derivatives contracts, as applicable, provide |
| Balance | 50000 | |
| Units | Principal amount | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2500 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0.002885352836 | |
| Item C.3. Indicate payoff profile among the | | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ● Long ● Short ● N/A | |
| , , , , , , , , , , , , , , , , , , , | • | instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Debt Debt | This trument among each of the following. |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted S | ecurity? | |
| Is the investment a Restricted Security? | Yes No | |
| Item C.7. | | |
| for each portfolio investment among the follo | | t investment companies, provide the liquidity classification(s) 17 CFR 270.22e-4]. For portfolio investments with multiple |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| iv. Illiquid Investments | | |
|---|---|--|
| Category. | N/A | |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the thr | ee circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e position have differing liquidity features th views; or (3) if the fund chooses to classify ing it on the sizes it would reasonably antic | lding attributable to multiple classification categories only in the at justify treating the portions separately; (2) if a fund has the position through evaluation of how long it would take to pated trading). In (1) and (2), a fund would classify using the |
| Item C.8. Indicate the level within the fair v Accounting Principles (ASC 820, Fair Valunet asset value used as the practical expe | ie Measurement). [1/2/3] Report "N/A" if | easurements fall pursuant to U.S. Generally Accepted the investment does not have a level associated with it (i.e. |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ◎ 3 ○ N/A | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | 2022-12-31 | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | Fixed | |
| ii. Annualized rate. | 0 | |
| c. Currently in default? [Y/N] | © Yes [®] No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes [®] No | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes [®] No | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No | |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No | |
| iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | available), ticker (if CUSIP and ISIN are not | nd currency in which denominated, as well as CUSIP of available), or other identifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | | |
| Item C.10. For repurchase and reverse rep | ourchase agreements, also provide: | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | |
| c. Tri-party? | ○ Yes ○ No | |
| d. Repurchase rate. | | 7 |
| | | |

e. Maturity date.

| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
|---|---|
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ◎ No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securit period covered by this report, and have not lead to the security of the security | s consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Magnolia Neurosciences Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers: | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 862872 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 151002 |
| Exchange rate. | |
| | |

• Long • Short • N/A

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
|--|--|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted So | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is a to indicate the percentage amount of a holding attributable to multiple classification categories only in the |
| multiple sub-advisers with differing liquidity | e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted le Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
|---|---|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | or or or identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ● Yes ● No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerning subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | © Yes [®] No |
| NPORT-P: Part C: Schede | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Magnolia Neurosciences Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Warrants for the Purchase of Common Stock expiring 8/3/28 |
| d. CUSIP (if any). | N/A |

| Identifier. | Other unique identifier (if ticker and ISIN are not | available). Indicate the type of identifier used |
|--|---|---|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Itana C.O. Amazont of acab improvement | | |
| Item C.2. Amount of each investment. Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, o | or other units. For derivatives contracts, as applicable, provide |
| Balance | 138059 | |
| Units | Other units | |
| Description of other units. | Number of contracts/warrants | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | e following categories (long, short, N/A). In C.11. | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ■ Long Short N/A | |
| Item C.4. Asset and issuer type. Select the | · · | instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Derivative-equity | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted Se | ecurity? | |
| Is the investment a Restricted Security? | ● Yes ○ No | |
| Item C.7. | | |
| | | |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| iv. Illiquid Investments | | |
|---|--|---|
| Category. | N/A | |
| b. If attributing multiple classification categorapplicable. | ries to the holding, indicate wh | hich of the three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choos following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity | e position have differing liquid views; or (3) if the fund choose ing it on the sizes it would rea | mount of a holding attributable to multiple classification categories only in the ity features that justify treating the portions separately; (2) if a fund has es to classify the position through evaluation of how long it would take to sonably anticipated trading). In (1) and (2), a fund would classify using the |
| | ue Measurement). [1/2/3] Re _l | e fair value measurements fall pursuant to U.S. Generally Accepted port "N/A" if the investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | □ 1 □ 2 ⑨ 3 □ N/A | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | |
| ii. Annualized rate. | | |
| c. Currently in default? [Y/N] | O Yes O No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | O Yes O No | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | O Yes O No | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | O Yes O No | |
| ii. Contingent convertible? [Y/N] | O Yes O No | |
| | available), ticker (if CUSIP and | itle of issue, and currency in which denominated, as well as CUSIP of d ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | | |
| Itam C 10 For requirehoos and reverse | nurahasa saraamanta alaa | provide: |
| Item C.10. For repurchase and reverse re | | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse re | epurchase |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No | |

ii. If N, provide the name and LEI (if any) of counterparty.

c. Tri-party?

Ves No

d. Repurchase rate.

e. Maturity date.

| f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii. | |
|--|--|
| Item C.11. For derivatives, also provide: | |
| a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other). | Warrant |
| b. Counterparty.i. Provide the name and LEI (if any) of counterparty (including a central counterparty). | |
| Counterparty Record: 1 | |
| Name of counterparty. | Magnolia Neurosciences Corporation |
| LEI (if any) of counterparty. | N/A |
| i. Type, selected from among the following (put, call). Respond call for warrants. | Put Call |
| ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants. | ○ Written ● Purchased |
| 3. If the reference instrument is neither a derivative or an index, the description of the reference instrument shall include the name of issuer and title of issue, as well as CUSIP of the reference instrument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). | |
| Name of issuer. | MAGNOLIA NEUROSCIENCES CORP |
| Title of issue. | MAGNOLIA NEUROSCIENCES CORP COM |
| At least one of the following other identifiers: | |
| Identifier. | CUSIP |
| CUSIP. | N/A |
| Identifier. | ISIN (if CUSIP is not available) |
| ISIN (if CUSIP is not available). | N/A |
| Identifier. | Ticker (if CUSIP and ISIN are not available) |
| Ticker (if CUSIP and ISIN are not available). | N/A |
| iv. Number of shares or principal amount of underlying reference instrument per contract. | |
| Number of shares. | 138059 |
| v. Exercise price or rate. | 0.0003 |
| vi. Exercise Price Currency Code | United States Dollar |
| vii. Expiration date. | 2028-08-03 |
| viii. Delta. | xxxx |
| ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number. | 0 |
| Item C.12. Securities lending. | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

| registration statement, application, or report | to shareholders or otherwise made available to the public. |
|--|--|
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Nanosys, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A-1 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 496572 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 205884 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.237619193348 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |

Item C.5. Country of investment or issuer.

| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
|---|--|
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| h If attributing multiple electification catego | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| applicable. | ties to the floiding, indicate which of the three circumstances listed in the instructions to item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ® 3 © N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| II. Allitualized fate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ◎ Yes ◎ No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes ◎ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of |
| reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | |

| Item C.10. For repurchase and reverse re | ourchase agreements, also provide: |
|---|---|
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concern subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| For each investment held by the Fund and i | the constitute of the first of |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. | sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| for securities in an aggregate amount not es securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report | sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. | sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a | kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. Nanosys, Inc. Nanosys, Inc. |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the | kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A |
| for securities in an aggregate amount not essecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. | Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock |
| for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). | Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers | Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of | Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock N/A Cher unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock N/A Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock N/A Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is express | coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock N/A Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. |
| for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is express the number of contracts. | ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A |
| for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used Description of other unique identifier. Item C.2. Amount of each investment. Balance. Indicate whether amount is express the number of contracts. Balance | coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those titles so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Nanosys, Inc. N/A Series A-2 Convertible Preferred Stock N/A Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used N/A Additional identifier not available. Additional identifier not available. |

| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 858087 | |
|--|---|--|
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0.990353503734 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ● Long ○ Short ○ N/A | |
| Item C.4. Asset and issuer type. Select the | · · | e instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted S | ecurity? | |
| Is the investment a Restricted Security? | Yes No | |
| for each portfolio investment among the follo | | nt investment companies, provide the liquidity classification(s) 17 CFR 270.22e-4]. For portfolio investments with multiple n. |
| i. Highly Liquid Investments | | |
| ii. Moderately Liquid Investments | | |
| iii. Less Liquid Investments | | |
| iv. Illiquid Investments | | |
| Category. | N/A | |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three | e circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity. | e position have differing liquidity features tha views; or (3) if the fund chooses to classify th ing it on the sizes it would reasonably anticip | ding attributable to multiple classification categories only in the it justify treating the portions separately; (2) if a fund has ne position through evaluation of how long it would take to pated trading). In (1) and (2), a fund would classify using the |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | ue Measurement). [1/2/3] Report "N/A" if tl | easurements fall pursuant to U.S. Generally Accepted he investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ® 3 © N/A | |

Item C.9. For debt securities

| For debt securities, also provide: | |
|---|--|
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| | oncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| | |
| Item C.10. For repurchase and reverse rep | · |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer an esecurities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

| a. Name of issuer (if any). | NanoTerra, Inc. |
|--|--|
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Warrants for Series A-3 Preferred Stock expiring on 11/15/22 |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | : |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 47508 |
| Units | Other units |
| Description of other units. | Number of contracts/warrants |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iter | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Derivative-equity |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |

| Is the investment a Restricted Security? | ● Yes ○ No |
|---|---|
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valunet anet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | O Yes No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| | oncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |

b. Counterparty.

| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | |
|--|---|--|
| ii. If N, provide the name and LEI (if any) of counterparty. | | |
| c. Tri-party? | ○ Yes ○ No | |
| d. Repurchase rate. | | |
| e. Maturity date. | | |
| f. Provide the following information concerni subject to the repurchase agreement, those | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. | |
| Item C.11. For derivatives, also provide: | | |
| a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other). | Warrant | |
| b. Counterparty. i. Provide the name and LEI (if any) of count | terparty (including a central counterparty). | |
| Counterparty Record: 1 | | |
| Name of counterparty. | NanoTerra, Inc. | |
| LEI (if any) of counterparty. | N/A | |
| i. Type, selected from among the following (put, call). Respond call for warrants. | O Put Call | |
| ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants. | ○ Written ● Purchased | |
| 3. If the reference instrument is neither a derivative or an index, the description of the reference instrument shall include the name of issuer and title of issue, as well as CUSIP of the reference instrument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). | | |
| | | |
| | | |
| CUSIP, ISIN, and ticker are not available). | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED : | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED CUSIP | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED : CUSIP | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED : CUSIP N/A ISIN (if CUSIP is not available) | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. ISIN (if CUSIP is not available). | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED : CUSIP N/A ISIN (if CUSIP is not available) | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. ISIN (if CUSIP is not available). Identifier. Ticker (if CUSIP and ISIN are not available). | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED : CUSIP N/A ISIN (if CUSIP is not available) N/A Ticker (if CUSIP and ISIN are not available) | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. ISIN (if CUSIP is not available). Identifier. Ticker (if CUSIP and ISIN are not available). | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED CUSIP N/A ISIN (if CUSIP is not available) N/A Ticker (if CUSIP and ISIN are not available) N/A | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. ISIN (if CUSIP is not available). Identifier. Ticker (if CUSIP and ISIN are not available). iv. Number of shares or principal amount of | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED CUSIP N/A ISIN (if CUSIP is not available) N/A Ticker (if CUSIP and ISIN are not available) N/A underlying reference instrument per contract. | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. ISIN (if CUSIP is not available). Identifier. Ticker (if CUSIP and ISIN are not available). iv. Number of shares or principal amount of Number of shares. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED : CUSIP N/A ISIN (if CUSIP is not available) N/A Ticker (if CUSIP and ISIN are not available) N/A underlying reference instrument per contract. 47508 | |
| CUSIP, ISIN, and ticker are not available). Name of issuer. Title of issue. At least one of the following other identifiers Identifier. CUSIP. Identifier. ISIN (if CUSIP is not available). Identifier. Ticker (if CUSIP and ISIN are not available). iv. Number of shares or principal amount of Number of shares. v. Exercise price or rate. | trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if NANOTERRA, INC. NANOTERRA, INC. SERIES A-3 PREFERRED :: CUSIP N/A ISIN (if CUSIP is not available) N/A Ticker (if CUSIP and ISIN are not available) N/A underlying reference instrument per contract. 47508 2.1049 | |

| ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number. | 0 |
|--|--|
| Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not | its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information xceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those rities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | OpGen, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 549300ZOCQGHZ50BYX61 |
| c. Title of the issue or description of the investment. | Warrants for the Purchase of Common Stock expiring 2/17/25 |
| d. CUSIP (if any). | 68373L133 |
| At least one of the following other identifiers |] 5: |
| Identifier. | ISIN |
| | |
| ISIN | US68373L1338 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | OPGNW |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 62 |
| Units | Other units |
| Description of other units. | Number of contracts/warrants |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0 |
| Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter | ne following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to m C.11. |
| Payoff profile. | ● Long ● Short ● N/A |
| , i | the category that most closely identifies the instrument among each of the following: |

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Derivative-equity |
|--|--|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ○ Yes No |
| Item C.7. | |
| a. Liquidity classification information. For pofor each portfolio investment among the follows: | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
|---|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerni subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: | |
| a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other). | Warrant |
| b. Counterparty. i. Provide the name and LEI (if any) of coun | terparty (including a central counterparty). |
| Counterparty Record: 1 | |
| Name of counterparty. | OpGen, Inc. |
| LEI (if any) of counterparty. | N/A |
| i. Type, selected from among the following (put, call). Respond call for warrants. | ○ Put ● Call |
| ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants. | Written ● Purchased |
| | privative or an index, the description of the reference instrument shall include the name of issuer and title of strument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if |
| Name of issuer. | OPGEN INC. |
| Title of issue. | OPGEN INC. COM |
| At least one of the following other identifiers | |
| | • |

| CUSIP. | 68373L307 | |
|---|--|--|
| Identifier. | ISIN (if CUSIP is not available) | |
| ISIN (if CUSIP is not available). | US68373L3078 | |
| Identifier. | Ticker (if CUSIP and ISIN are not available) | |
| Ticker (if CUSIP and ISIN are not available). | OPGN | |
| iv. Number of shares or principal amount of | underlying reference instrument per contract. | |
| Number of shares. | 62 | |
| v. Exercise price or rate. | 3300 | |
| vi. Exercise Price Currency Code | United States Dollar | |
| vii. Expiration date. | 2025-02-17 | |
| viii. Delta. | XXXX | |
| ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number. | 0 | |
| Item C.12. Securities lending. | | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No | |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ◎ No | |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No | |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments | |
| For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public. | | |
| Item C.1. Identification of investment. | | |
| a. Name of issuer (if any). | Parabellum Acquisition Holdings, LLC | |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A | |
| c. Title of the issue or description of the investment. | Membership Units | |
| d. CUSIP (if any). | N/A | |
| At least one of the following other identifiers | | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Itom C 2 Amount of each investment | | |

tem C.2. Amount of each investment.

Balance. Indicate whether amount is expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide the number of contracts.

| Balance | 693151 |
|---------|--------|
| | |

| Units | Number of shares |
|---|---|
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 5963133 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 6.882297086176 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. Item C.5. Country of investment or issuer. | Equity-common Corporate UNITED STATES OF AMERICA |
| corresponds to the country where the issuer is organized. | on the office of the control of the |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted So | |
| Is the investment a Restricted Security? | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification categorapplicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).

| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
|---|--|
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely | |
| reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ● Yes ● No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | © Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issue securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | Yes No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

| • | to shareholders or otherwise made available to the public. |
|--|--|
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Phylagen, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | : |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 548031 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 206828 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.238708702579 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |

Item C.5. Country of investment or issuer.

| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
|---|--|
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the position of the position. |
| Item C.8. Indicate the level within the fair | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No |
| ii. Contingent convertible? [Y/N] | ◎ Yes ◎ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | or including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| | |

| Item C.10. For repurchase and reverse repurchase agreements, also provide: | | |
|---|---|--|
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | |
| c. Tri-party? | ○ Yes ○ No | |
| d. Repurchase rate. | | |
| e. Maturity date. | | |
| f. Provide the following information concernsubject to the repurchase agreement, those | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. | |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes No | |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No | |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No | |
| NPORT-P: Part C: Sched | ule of Portfolio Investments | |
| For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public. | | |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any | |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any | |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any | |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report ltem C.1. Identification of investment. | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 : | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 : | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. | coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 : | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. | coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tites so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 : ISIN | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. ISIN Identifier. | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tites so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock ISIN US73754Y1001 Ticker (if ISIN is not available) | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. ISIN Identifier. Ticker (if ISIN is not available). Item C.2. Amount of each investment. | cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tites so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock ISIN US73754Y1001 Ticker (if ISIN is not available) | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. ISIN Identifier. Ticker (if ISIN is not available). Item C.2. Amount of each investment. Balance. Indicate whether amount is express | coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock [ISIN US73754Y1001 Ticker (if ISIN is not available) | |
| for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment. a. Name of issuer (if any). b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. c. Title of the issue or description of the investment. d. CUSIP (if any). At least one of the following other identifiers Identifier. ISIN Identifier. Ticker (if ISIN is not available). Item C.2. Amount of each investment. Balance. Indicate whether amount is express the number of contracts. | coeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. Potbelly Corporation 54930027NUULUR2P5W11 Common Stock 73754Y100 : ISIN US73754Y1001 Ticker (if ISIN is not available) PBPB sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide | |

| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
|--|--|
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 8580491 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 9.903097617857 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ○ Yes ● No |
| Item C.7. | |
| for each portfolio investment among the folio | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple ige amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification categor applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).

| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ● 1 ○ 2 ○ 3 ○ N/A |
|---|--|
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| II. Allitualizeu fate. | |
| c. Currently in default? [Y/N] | © Yes [©] No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ● Yes ● No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | © Yes [©] No |
| ii. Contingent convertible? [Y/N] | © Yes [©] No |
| | including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse re | nurchase agreements, also provide: |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | © Yes [©] No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | |
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are a securities may be aggregated in responding to Items C.10.f.i-iii. |
| | |
| subject to the repurchase agreement, those | |
| subject to the repurchase agreement, those ltem C.11. For derivatives, also provide: | |
| subject to the repurchase agreement, those Item C.11. For derivatives, also provide: Item C.12. Securities lending. a. Does any amount of this investment represent reinvestment of cash collateral | e securities may be aggregated in responding to Items C.10.f.i-iii. |

NPORT-P: Part C: Schedule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

| registration statement, application, or report | to shareholders or otherwise made available to the public. |
|--|--|
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Potbelly Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 54930027NUULUR2P5W11 |
| c. Title of the issue or description of the investment. | Warrants for the Purchase of Common Stock expiring 2/12/26 |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | |
| Identifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 80605 |
| Units | Other units |
| Description of other units. | Number of contracts/warrants |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 232632 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 0.268490160415 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | ■ Long Short N/A |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Derivative-equity |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |

Item C.5. Country of investment or issuer.

| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
|---|--|
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ○ Yes No |
| Item C.7. | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| h. If attributing multiple classification catego | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| applicable. | ties to the floiding, indicate which of the three circumstances listed in the instructions to item 6.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | O Yes O No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | O Yes O No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | O Yes O No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |

| Item C.10. For repurchase and reverse rep | Item C.10. For repurchase and reverse repurchase agreements, also provide: | | |
|---|---|--|--|
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | | |
| b. Counterparty. | | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No | | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | | |
| c. Tri-party? | ○ Yes ○ No | | |
| d. Repurchase rate. | | | |
| e. Maturity date. | | | |
| f. Provide the following information concernsubject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. | | |
| Item C.11. For derivatives, also provide: | | | |
| a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other). | Warrant | | |
| b. Counterparty. i. Provide the name and LEI (if any) of coun | terparty (including a central counterparty). | | |
| Counterparty Record: 1 | | | |
| Name of counterparty. | Potbelly Corporation | | |
| LEI (if any) of counterparty. | N/A | | |
| i. Type, selected from among the following (put, call). Respond call for warrants. | ○ Put ◎ Call | | |
| ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants. | ○ Written ● Purchased | | |
| | rivative or an index, the description of the reference instrument shall include the name of issuer and title of strument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if | | |
| Name of issuer. | POTBELLY CORP | | |
| Title of issue. | POTBELLY CORP COM | | |
| At least one of the following other identifiers | · · · · · · · · · · · · · · · · · · · | | |
| Identifier. | CUSIP | | |
| CUSIP. | 73754Y100 | | |
| Identifier. | ISIN (if CUSIP is not available) | | |
| ISIN (if CUSIP is not available). | US73754Y1001 | | |
| Identifier. | Ticker (if CUSIP and ISIN are not available) | | |
| Ticker (if CUSIP and ISIN are not available). | РВРВ | | |
| iv. Number of shares or principal amount of | underlying reference instrument per contract. | | |
| Number of shares. | 80605 | | |
| v. Exercise price or rate. | 5.45 | | |

| vi. Exercise Price Currency Code | United States Dollar |
|---|--|
| vii. Expiration date. | 2026-02-12 |
| viii. Delta. | xxxx |
| ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number. | 5438 |
| Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes 		No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Quantum Corporation |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 5493007210175UIK7S88 |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 747906501 |
| At least one of the following other identifiers | |
| Identifier. | ISIN |
| ISIN | US7479065010 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | QMCO |
| Now O.O. Amount of | |
| Item C.2. Amount of each investment. Balance. Indicate whether amount is expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide the number of contracts. | |
| Balance | 2866187 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 4069986 |

4.6973382597

Exchange rate.

Percentage value compared to net assets of the Fund.

| Payoff profile. | |
|--|--|
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | ○ Yes No |
| Item C.7. | |
| for each portfolio investment among the foll | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification catego applicable. | ories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ● 1 ○ 2 ○ 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | I |
| a. Maturity date. | |
| b. Coupon. | I |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |

| c. Currently in default? [Y/N] | ○ Yes ○ No |
|---|--|
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | © Yes [©] No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | O Yes O No |
| ii. Contingent convertible? [Y/N] | O Yes O No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| | |
| Item C.10. For repurchase and reverse rep | - |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ○ Yes ○ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment | © Yes ● No |
| represent reinvestment of cash collateral received for loaned securities? | 103 - 140 |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Ravenna Pharmaceuticals, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | N/A |

At least one of the following other identifiers:

| Identifier. | Other unique identifier (if ticker and ISIN are not a | available). Indicate the type of identifier used |
|--|---|---|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| tem C.2. Amount of each investment. | | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, o | or other units. For derivatives contracts, as applicable, provide |
| Balance | 2785274 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 16414 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0.018944072582 | |
| tem C.3. Indicate payoff profile among the the relevant payoff profile question in Iten | | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ■ Long ■ Short ■ N/A | |
| tem C.4. Asset and issuer type. Select the | v | instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| tem C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| tem C.6. Is the investment a Restricted Se | ecurity? | |
| Is the investment a Restricted Security? | | |
| tem C.7. | | |
| for each portfolio investment among the follo | | t investment companies, provide the liquidity classification(s) 7 CFR 270.22e-4]. For portfolio investments with multiple |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| in Illianid Incorporate | | | | |
|---|--|--|--|--|
| iv. Illiquid Investments | | | | |
| Category. | N/A | | | |
| b. If attributing multiple classification categorapplicable. | ries to the holding, indicate wh | nich of the three ci | rcumstances listed ir | n the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choos following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each page 1.5. | e position have differing liquidi views; or (3) if the fund choose ing it on the sizes it would reas | ity features that just es to classify the p | stify treating the port osition through evalu | ions separately; (2) if a fund has uation of how long it would take to |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Val- net asset value used as the practical expe | ue Measurement). [1/2/3] Rep | | | |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | 0 1 0 2 ● 3 0 N/A | | | |
| Item C.9. For debt securities | | | | |
| For debt securities, also provide: | | | | |
| a. Maturity date. | | | | |
| b. Coupon. | | | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | | | |
| ii. Annualized rate. | | | | |
| c. Currently in default? [Y/N] | ○ Yes ○ No | | | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | Yes No | | | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | | | | |
| f. For convertible securities, also provide: | | | | |
| i. Mandatory convertible? [Y/N] | O Yes O No | | | |
| ii. Contingent convertible? [Y/N] | O Yes O No | | | |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available). If other identifier provided, indicate the type | available), ticker (if CUSIP and | itle of issue, and co d ISIN are not avai | urrency in which den lable), or other ident | ominated, as well as CUSIP of ifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | | | | |
| | | | | |
| Item C.10. For repurchase and reverse re | purchase agreements, also p | orovide: | | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse re | epurchase | | |
| b. Counterparty. | | | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No | | | |

ii. If N, provide the name and LEI (if any) of counterparty.

O Yes O No

c. Tri-party?

d. Repurchase rate.

e. Maturity date.

| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
|--|--|
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ◎ No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ◎ No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | is consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | RF Industries, Ltd. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 529900MVE73NJ5FHP926 |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 749552105 |
| At least one of the following other identifiers | |
| Identifier. | ISIN |
| ISIN | US7495521053 |
| Identifier. | Ticker (if ISIN is not available) |
| Ticker (if ISIN is not available). | RFIL |
| Item C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 227763 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 1412131 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 1.629798474493 |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. |
| Payoff profile. | |

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Equity-common Corporate |
|---|---|
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted S | ecurity? |
| Is the investment a Restricted Security? | © Yes [®] No |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity. | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
|---|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | or or identifier used. |
| v. Delta (if applicable). | |
| Hom C 10. For remurchase and reverse rea | |
| Item C.10. For repurchase and reverse rep | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ◎ Yes ◎ No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| · | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are |
| subject to the repurchase agreement, those | securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ● Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ● Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Schede | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Seaport Diagnostics, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series 1 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |

At least one of the following other identifiers:

| Identifier. | Other unique identifier (if ticker and ISIN are not available) | able). Indicate the type of identifier used |
|--|--|--|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Item C.2. Amount of each investment. | | |
| | sed in number of shares, principal amount, or otl | her units. For derivatives contracts, as applicable, provide |
| Balance | 1195315 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For on C.11. | derivatives, respond N/A to this Item and respond to |
| Payoff profile. | ■ Long ■ Short ■ N/A | |
| Item C.4. Asset and issuer type. Select the | e category that most closely identifies the ins | trument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted Se | ecurity? | |
| Is the investment a Restricted Security? | ● Yes [©] No | |
| Item C.7. | | |
| for each portfolio investment among the follo | | restment companies, provide the liquidity classification(s) FR 270.22e-4]. For portfolio investments with multiple |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| in Illianid Incorporate | | | | |
|---|--|--|--|--|
| iv. Illiquid Investments | | | | |
| Category. | N/A | | | |
| b. If attributing multiple classification categorapplicable. | ries to the holding, indicate wh | nich of the three ci | rcumstances listed ir | n the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choos following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each page 1.5. | e position have differing liquidi views; or (3) if the fund choose ing it on the sizes it would reas | ity features that just es to classify the p | stify treating the port osition through evalu | ions separately; (2) if a fund has uation of how long it would take to |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Val- net asset value used as the practical expe | ue Measurement). [1/2/3] Rep | | | |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | 0 1 0 2 ● 3 0 N/A | | | |
| Item C.9. For debt securities | | | | |
| For debt securities, also provide: | | | | |
| a. Maturity date. | | | | |
| b. Coupon. | | | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | | | |
| ii. Annualized rate. | | | | |
| c. Currently in default? [Y/N] | ○ Yes ○ No | | | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | Yes No | | | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | | | | |
| f. For convertible securities, also provide: | | | | |
| i. Mandatory convertible? [Y/N] | O Yes O No | | | |
| ii. Contingent convertible? [Y/N] | O Yes O No | | | |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available). If other identifier provided, indicate the type | available), ticker (if CUSIP and | itle of issue, and co d ISIN are not avai | urrency in which den lable), or other ident | ominated, as well as CUSIP of ifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | | | | |
| | | | | |
| Item C.10. For repurchase and reverse re | purchase agreements, also p | orovide: | | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse re | epurchase | | |
| b. Counterparty. | | | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No | | | |

ii. If N, provide the name and LEI (if any) of counterparty.

O Yes O No

c. Tri-party?

d. Repurchase rate.

e. Maturity date.

| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
|--|--|
| tem C.11. For derivatives, also provide: tem C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | © Yes [®] No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes ® No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not | its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| tem C.1. Identification of investment. | |
| a. Name of issuer (if any). | Seaport Diagnostics, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | S: |
| dentifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| tem C.2. Amount of each investment. | |
| Balance. Indicate whether amount is expressible number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 1364666 |
| Units | Number of shares |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 |
| Exchange rate. | |
| | |

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

■ Long
■ Short
■ N/A

Payoff profile.

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred |
|--|--|
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted So | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| • | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ○ Yes ○ No |
|---|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ● Yes ● No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| | or notluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | aurebase agreements, also provide: |
| | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ● Yes ● No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ◎ Yes ◎ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerning subject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: | securities may be aggregated in responding to items 6.10.1.1-iii. |
| Item C.12. Securities lending. | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | ● Yes ● No |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Seaport Diagnostics, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the investment. | Series A-2 Convertible Preferred Stock |
| d. CUSIP (if any). | N/A |

At least one of the following other identifiers:

| Identifier. | Other unique identifier (if ticker and ISIN are not a | available). Indicate the type of identifier used |
|--|---|---|
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used | N/A | |
| Description of other unique identifier. | Additional identifier not available. | |
| Item C.2. Amount of each investment. | | |
| | sed in number of shares, principal amount, c | or other units. For derivatives contracts, as applicable, provide |
| Balance | 176386 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 0 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 0 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). F | For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | | |
| Item C.4. Asset and issuer type. Select the | · · | instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-preferred | instrument among each of the following. |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| Item C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| Item C.6. Is the investment a Restricted Se | ecurity? | |
| Is the investment a Restricted Security? | â a | |
| | Yes No | |
| Item C.7. | Yes □ No | |

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

| iv. Illiquid Investments | | |
|---|--|---|
| Category. | N/A | |
| b. If attributing multiple classification categorapplicable. | ries to the holding, indicate wh | hich of the three circumstances listed in the Instructions to Item C.7 is |
| Instructions to Item C.7 Funds may choos following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity | e position have differing liquid views; or (3) if the fund choose ing it on the sizes it would rea | mount of a holding attributable to multiple classification categories only in the ity features that justify treating the portions separately; (2) if a fund has es to classify the position through evaluation of how long it would take to sonably anticipated trading). In (1) and (2), a fund would classify using the |
| | ue Measurement). [1/2/3] Re _l | e fair value measurements fall pursuant to U.S. Generally Accepted port "N/A" if the investment does not have a level associated with it (i.e., |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | □ 1 □ 2 ⑨ 3 □ N/A | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | |
| ii. Annualized rate. | | |
| c. Currently in default? [Y/N] | O Yes O No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | O Yes O No | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | O Yes O No | |
| ii. Contingent convertible? [Y/N] | O Yes O No | |
| | available), ticker (if CUSIP and | itle of issue, and currency in which denominated, as well as CUSIP of d ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not |
| v. Delta (if applicable). | | |
| Itam C 10 For requirehoos and reverse | nurahasa saraamanta alaa | provide: |
| Item C.10. For repurchase and reverse re | | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse re | epurchase |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | O Yes O No | |

ii. If N, provide the name and LEI (if any) of counterparty.

c. Tri-party?

Ves No

d. Repurchase rate.

e. Maturity date.

| | ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. | |
|--|--|--|
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | | |
| Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No | |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | ○ Yes ● No | |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes No | |
| NPORT-P: Part C: Schedu | ule of Portfolio Investments | |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. | |
| Item C.1. Identification of investment. | | |
| a. Name of issuer (if any). | Synalloy Corporation | |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 549300U2S5125001TS43 | |
| c. Title of the issue or description of the investment. | Common Stock | |
| d. CUSIP (if any). | 871565107 | |
| At least one of the following other identifiers: | | |
| Identifier. | ISIN | |
| ISIN | US8715651076 | |
| Identifier. | Ticker (if ISIN is not available) | |
| Ticker (if ISIN is not available). | SYNL | |
| Item C.2. Amount of each investment. | | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide | |
| Balance | 179208 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2519664 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 2.90804786768 | |
| Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item | e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11. | |
| Pavoff profile. | ● Long ○ Short ○ N/A | |

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

| A / 1 | |
|--|---|
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common Equity-common |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | ○ Yes No |
| Item C.7. | |
| for each portfolio investment among the follo | rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is to indicate the percentage amount of a holding attributable to multiple classification categories only in the |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity v | e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ng it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the |
| Item C.8. Indicate the level within the fair v Accounting Principles (ASC 820, Fair Valu net asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted le Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | ○ 1 ○ 2 ○ 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | © Yes [©] No |
|--|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type | ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used. |
| v. Delta (if applicable). | |
| Item C.10. For repurchase and reverse rep | purchase agreements, also provide: |
| a. Select the category that reflects the | Repurchase Reverse repurchase |
| transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repulcitase Reverse repulcitase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concerns | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ○ Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes ● No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not | ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| Item C.1. Identification of investment. | |
| a. Name of issuer (if any). | Synchronoss Technologies, Inc. |
| b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series. | 5493007Z5J8Z2TA76376 |
| c. Title of the issue or description of the investment. | Common Stock |
| d. CUSIP (if any). | 87157B103 |

At least one of the following other identifiers:

| Identifier. | ISIN | |
|--|---|---|
| ISIN | US87157B1035 | |
| Identifier. | Ticker (if ISIN is not available) | |
| Ticker (if ISIN is not available). | SNCR | |
| tem C.2. Amount of each investment. | | |
| Balance. Indicate whether amount is expres the number of contracts. | sed in number of shares, principal amount | , or other units. For derivatives contracts, as applicable, provide |
| Balance | 4990259 | |
| Units | Number of shares | |
| Description of other units. | | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar | |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 5738798 | |
| Exchange rate. | | |
| Percentage value compared to net assets of the Fund. | 6.623382834754 | |
| tem C.3. Indicate payoff profile among the | e following categories (long, short, N/A) | . For derivatives, respond N/A to this Item and respond to |
| Payoff profile. | Long □ Short □ N/A | |
| tem C.4. Asset and issuer type. Select the | e category that most closely identifies t | he instrument among each of the following: |
| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | Equity-common | |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate | |
| tem C.5. Country of investment or issuer. | | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA | |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | | |
| tem C.6. Is the investment a Restricted So | • | |
| Is the investment a Restricted Security? | ○ Yes No | |
| tem C.7. | | |
| a. Liquidity classification information. For po | rtfolio investments of open-end manageme | ent investment companies, provide the liquidity classification(s) |

i. Highly Liquid Investments

- for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.
- ii. Moderately Liquid Investments

| iii. Less Liquid Investments | | |
|---|---|--|
| iv. Illiquid Investments | | |
| Category. | N/A | |
| applicable. Instructions to Item C.7 Funds may choos | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the | |
| following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position. | | |
| | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). | |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | | |
| Item C.9. For debt securities | | |
| For debt securities, also provide: | | |
| a. Maturity date. | | |
| b. Coupon. | | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | | |
| ii. Annualized rate. | | |
| c. Currently in default? [Y/N] | ◎ Yes ◎ No | |
| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | ● Yes ● No | |
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No | |
| f. For convertible securities, also provide: | | |
| i. Mandatory convertible? [Y/N] | ◎ Yes ◎ No | |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No | |
| iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). | | |
| If other identifier provided, indicate the type | of identifier used. | |
| v. Delta (if applicable). | | |
| Item C.10. For repurchase and reverse re | ourchase agreements, also provide: | |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase | |
| b. Counterparty. | | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | | |
| ii. If N, provide the name and LEI (if any) of | counterparty. | |
| c. Tri-party? | ○ Yes ○ No | |
| d. Repurchase rate. | | |

| e. Maturity date. | |
|--|---|
| | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are a securities may be aggregated in responding to Items C.10.f.i-iii. |
| tem C.11. For derivatives, also provide: tem C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes ● No |
| c. Is any portion of this investment on loan by the Fund? | © Yes ● No |
| NPORT-P: Part C: Sched | ule of Portfolio Investments |
| for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report | its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public. |
| em C.1. Identification of investment. | Note the Martin |
| a. Name of issuer (if any). | Valo Health LLC |
| o. LEI (if any) of issuer. In the case of a nolding in a fund that is a series of a series trust, report the LEI of the series. | N/A |
| c. Title of the issue or description of the nvestment. | Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc. |
| d. CUSIP (if any). | N/A |
| At least one of the following other identifiers | S: |
| dentifier. | Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used |
| Other unique identifier (if ticker and ISIN are not available). Indicate the type of dentifier used | N/A |
| Description of other unique identifier. | Additional identifier not available. |
| em C.2. Amount of each investment. | |
| Balance. Indicate whether amount is express the number of contracts. | ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide |
| Balance | 3012461 |
| Jnits | Principal amount |
| Description of other units. | |
| Currency. Indicate the currency in which the investment is denominated. | United States Dollar |
| Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value. | 2917437 |
| Exchange rate. | |
| Percentage value compared to net assets of the Fund. | 3.367134049199 |
| tem C.3. Indicate payoff profile among th he relevant payoff profile question in Iter | ne following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to m C.11. |
| Payoff profile. | ● Long ○ Short ○ N/A |
| | e category that most closely identifies the instrument among each of the following: |

| Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivative-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description. | OTHER |
|---|---|
| If "other", provide a brief description. | Other Financial Instrument |
| Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description. | Corporate |
| Item C.5. Country of investment or issuer. | |
| Report the ISO country code that corresponds to the country where the issuer is organized. | UNITED STATES OF AMERICA |
| If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments. | |
| Item C.6. Is the investment a Restricted Se | ecurity? |
| Is the investment a Restricted Security? | |
| Item C.7. | |
| for each portfolio investment among the follo | ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification. |
| i. Highly Liquid Investments | |
| ii. Moderately Liquid Investments | |
| iii. Less Liquid Investments | |
| iv. Illiquid Investments | |
| Category. | N/A |
| b. If attributing multiple classification catego applicable. | ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is |
| following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity | e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position. |
| Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe | value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient). |
| Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). | © 1 © 2 ● 3 ○ N/A |
| Item C.9. For debt securities | |
| For debt securities, also provide: | |
| a. Maturity date. | |
| b. Coupon. | |
| i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none). | |
| ii. Annualized rate. | |
| c. Currently in default? [Y/N] | ○ Yes ○ No |

| d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N] | |
|--|--|
| e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind. | ○ Yes ○ No |
| f. For convertible securities, also provide: | |
| i. Mandatory convertible? [Y/N] | ○ Yes ○ No |
| ii. Contingent convertible? [Y/N] | ○ Yes ○ No |
| iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available). If other identifier provided, indicate the type | or o |
| v. Delta (if applicable). | |
| K C 10 F | |
| Item C.10. For repurchase and reverse re | · |
| a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. | Repurchase Reverse repurchase |
| b. Counterparty. | |
| i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. | ● Yes ● No |
| ii. If N, provide the name and LEI (if any) of | counterparty. |
| c. Tri-party? | ○ Yes ○ No |
| d. Repurchase rate. | |
| e. Maturity date. | |
| f. Provide the following information concernsubject to the repurchase agreement, those | ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii. |
| Item C.11. For derivatives, also provide: Item C.12. Securities lending. | |
| a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities? | ● Yes ● No |
| b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities? | © Yes [®] No |
| c. Is any portion of this investment on loan by the Fund? | ○ Yes No |
| NPORT-P: Part E: Explanatory Notes (if any) | |
| The Fund may provide any information it believes would be helpful in understanding the information reported in response to any Item of this Form. The Fund may also explain any assumptions that it made in responding to any Item of this Form. To the extent responses relate to a particular Item, provide the Item number(s), as applicable. | |
| Note Item | B.3.a |
| Explanatory Notes | Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more of the Fund's net asset value. |
| Note Item | B.4.a.i |
| Explanatory Notes | Not Applicable: No securities lending. |
| Note Item | B.6.a |
| | |

Explanatory Notes

Not Applicable.

| The Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized. | |
|--|---------------------------------------|
| Registrant: | 180 DEGREE CAPITAL CORP. /NY/ |
| By (Signature): | /s/ Daniel B. Wolfe |
| Name: | Daniel B. Wolfe |
| Title: | President and Chief Financial Officer |
| Date: | 2022-08-08 |