#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

### FORM NPORT-P Monthly Portfolio Investments Report

# NPORT-P: Filer Information

Filer CIK	0000893739
Filer CCC	******
Filer Investment Company Type	
Is this a LIVE or TEST Filing?	© LIVE © TEST
Would you like a Return Copy?	
Is this an electronic copy of an official	
filing submitted in paper format?  Submission Contact Information	
Name	
Phone	
E-Mail Address	
Notification Information	
Notify via Filing Website only?	
Series ID	
NPORT-P: Part A: Genera	Il Information
Item A.1. Information about the Registran	t.
a. Name of Registrant	180 DEGREE CAPITAL CORP. /NY/
b. Investment Company Act file number for Registrant: (e.g., 811)	811-07074
c. CIK number of Registrant	0000893739
d. LEI of Registrant	254900RA5P574LPO7H91
e. Address and telephone number of Registra	ont.
Street Address 1	7 N. WILLOW STREET
Street Address 2	SUITE 4B
City	MONTCLAIR
State, if applicable	NEW JERSEY
Foreign country, if applicable	UNITED STATES OF AMERICA
, , , , , , , , , , , , , , , , , , ,	
Zip / Postal Code	07042
Telephone number	973-746-4500
Item A.2. Information about the Series.	
a. Name of Series.	180 DEGREE CAPITAL CORP. /NY/
b. EDGAR series identifier (if any).	
c. LEI of Series.	N/A
Item A.3. Reporting period.	
a. Date of fiscal year-end.	2022-12-31
b. Date as of which information is reported.	2022-09-30
Item A.4. Final filing	
Does the Fund anticipate that this will be its final filing on Form N PORT?	○ Yes ● No

## **NPORT-P: Part B: Information About the Fund**

Report the following information for the Fund and its consolidated subsidiaries.

	-			
		_		

1 year.

Item B.1. Assets and liabilities. Report am	ounts in U.S. dollars.	
Total assets, including assets attributable to miscellaneous securities reported in Part D.	85450615	
b. Total liabilities.	1384580	
c. Net assets.	84066035	
Item B.2. Certain assets and liabilities. Re	port amounts in U.S. dollars.	
a. Assets attributable to miscellaneous securities reported in Part D.	0	
b. Assets invested in a Controlled Foreign Corporation for the purpose of investing in certain types of instruments such as, but not limited to, commodities.	0	
c. Borrowings attributable to amounts payab [17 CFR 210.6-04(13)(a)].	ole for notes payable, bonds, and similar deb	t, as reported pursuant to rule 6-04(13)(a) of Regulation S-X
Amounts payable within one year.		
Banks or other financial institutions for borrowings.	0	
Controlled companies.	0	
Other affiliates.	0	
Others.	0	
Amounts payable after one year.		
Banks or other financial institutions for borrowings.	0	
Controlled companies.	0	
Other affiliates.	0	
Others.	0	
d. Payables for investments purchased either basis.	er (i) on a delayed delivery, when-issued, or	other firm commitment basis, or (ii) on a standby commitment
(i) On a delayed delivery, when-issued, or other firm commitment basis:	24219	
(ii) On a standby commitment basis:	0	
e. Liquidation preference of outstanding preferred stock issued by the Fund.	0	
f. Cash and cash equivalents not reported in Parts C and D.	1752362	
Item B.3. Portfolio level risk metrics.		
asset value, provide:	·	s, in the aggregate, exceeds 25% or more of the Fund's net
<ul> <li>c. Credit Spread Risk (SDV01, CR01 or CS) where the shift is applied to the option adjust following maturities: 3 month, 1 year, 5 year</li> </ul>	sted spread, aggregated by investment grade	olio resulting from a 1 basis point change in credit spreads and non-investment grade exposures, for each of the
Investment grade.		
Maturity period.		
3 month.		

5 years.		
10 years.		
30 years.		
Non-Investment grade.		
Maturity period.		
3 month.		
1 year.		
5 years.		
10 years.		
30 years.		
underlying reference asset or assets are del (iii) the notional value of each futures contra (iv) the delta-adjusted notional value of any Report zero for maturities to which the Fund	g, but not limited to, total return swaps, interest securities or an interest rate; ct for which the underlying reference asset o option for which the underlying reference asset has no exposure. For exposures that fall be	est rate swaps, and credit default swaps, for which the or assets are debt securities or an interest rate; and set is an asset described in clause (i),(ii), or (iii).  Itween any of the listed maturities in (a) and (b), use linear ide of the range of maturities listed above, include those
Item B.4. Securities lending.		
a. For each borrower in any securities lending	ng transaction, provide the following informat	ion:
b. Did any securities lending counterparty provide any non-cash collateral?	O Yes O No	
provide any non-cash collateral?		
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each	of the preceding three months. If the Fund is th the methodologies outlined in Item 26(b) (	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi	of the preceding three months. If the Fund is th the methodologies outlined in Item 26(b) (	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applications.	of the preceding three months. If the Fund is th the methodologies outlined in Item 26(b) (	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applied Monthly Total Return Record: 1  Monthly total returns of the Fund for each	of the preceding three months. If the Fund is th the methodologies outlined in Item 26(b) ( able.	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated Monthly Total Return Record: 1  Monthly total returns of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each	of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (able.	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the following process of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 2.	of the preceding three months. If the Fund is the methodologies outlined in Item 26(b) (able.  -8.98	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated Monthly Total Return Record: 1  Monthly total returns of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 3.  b. Class identification number(s) (if any) of the Class(es) for which returns are reported.  c. For each of the preceding three months, rederivatives for each of the following categoric contracts, and other contracts. Within each second contracts, and other contracts.	of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able.  -8.98  1.03  -2.61  monthly net realized gain (loss) and net chan les: commodity contracts, credit contracts, etcsuch asset category, further report the same	s a Multiple Class Fund, report returns for each class. Such 1) of Form N-1A, Instruction 13 to sub-Item 1 of Item 4 of Form of Item 4 of Form 1 of Item 5 of Item 6 o
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated Monthly Total Return Record: 1  Monthly total returns of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 3.  b. Class identification number(s) (if any) of the Class(es) for which returns are reported.  c. For each of the preceding three months, rederivatives for each of the following categoric contracts, and other contracts. Within each instrument: forward, future, option, swaption	of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able.  -8.98  1.03  -2.61  monthly net realized gain (loss) and net chan les: commodity contracts, credit contracts, etcsuch asset category, further report the same	ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the process of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 3.  b. Class identification number(s) (if any) of the Class(es) for which returns are reported.  c. For each of the preceding three months, rederivatives for each of the following categoric contracts, and other contracts. Within each instrument: forward, future, option, swaption numbers.	of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able.  -8.98  1.03  -2.61  monthly net realized gain (loss) and net chan less commodity contracts, credit contracts, etc. such asset category, further report the same in, swap, warrant, and other. Report in U.S. do	ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives
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Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated in Accordance win N-2, or Item 26(b) (i) of Form N-3, as applicated in Accordance win N-2, or Item 26(b) (i) of Form N-3, as applicated Monthly Total Return Record: 1  Monthly total returns of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 3.  b. Class identification number(s) (if any) of the Class(es) for which returns are reported.  c. For each of the preceding three months, rederivatives for each of the following categoric contracts, and other contracts. Within each sinstrument: forward, future, option, swaption numbers.  Asset category.  Monthly net realized gain(loss) – Month 1	of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able.  -8.98  1.03  -2.61  monthly net realized gain (loss) and net changes: commodity contracts, credit contracts, et such asset category, further report the same, swap, warrant, and other. Report in U.S. do  Equity Contracts	ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives
provide any non-cash collateral?  Item B.5. Return information.  a. Monthly total returns of the Fund for each returns shall be calculated in accordance wi N-2, or Item 26(b) (i) of Form N-3, as applicated to the process of the Fund for each of the preceding three months – Month 1.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 2.  Monthly total returns of the Fund for each of the preceding three months – Month 3.  b. Class identification number(s) (if any) of the Class(es) for which returns are reported.  c. For each of the preceding three months, rederivatives for each of the following categoric contracts, and other contracts. Within each sinstrument: forward, future, option, swaption numbers.  Asset category.  Monthly net change in unrealized appreciation (or depreciation) – Month 1	of the preceding three months. If the Fund is the the methodologies outlined in Item 26(b) (able.  -8.98  1.03  -2.61  monthly net realized gain (loss) and net chan less commodity contracts, credit contracts, etcsuch asset category, further report the same in, swap, warrant, and other. Report in U.S. do  Equity Contracts  0  -55010	ge in unrealized appreciation (or depreciation) attributable to quity contracts, foreign exchange contracts, interest rate information for each of the following types of derivatives

Monthly net change in unrealized appreciation (or depreciation) – Month 3	-37616
Instrument type.	Option
Monthly net realized gain(loss) – Month 1	0
Monthly net change in unrealized appreciation (or depreciation) – Month 1	0
Monthly net realized gain(loss) – Month 2	0
Monthly net change in unrealized appreciation (or depreciation) – Month 2	0
Monthly net realized gain(loss) – Month 3	0
Monthly net change in unrealized appreciation (or depreciation) – Month 3	0
Instrument type.	Warrant
Monthly net realized gain(loss) – Month 1	0
Monthly net change in unrealized	-55010
appreciation (or depreciation) – Month 1	-55010
Monthly net realized gain(loss) – Month 2	0
Monthly net change in unrealized appreciation (or depreciation) – Month 2	21253
Monthly net realized gain(loss) – Month 3	0
Monthly net change in unrealized appreciation (or depreciation) – Month 3	-37616
Instrument type.	Other
Instrument type.  Monthly net realized gain(loss) – Month 1	Other 0
Monthly net change in unrealized	0
Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1	0
Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized	0
Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2	0 0
Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net realized gain(loss) – Month 3  Monthly net change in unrealized	0 0 0 0
Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3	0 0 0 0 0 0
Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net realized gain(loss) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Asset category.	0 0 0 0 0 0 O O O O O O O O O O O O O O
Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Asset category.  Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized	0
Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Asset category.  Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1	0 0 0 0 0 0 0 Cother Contracts 0
Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net realized gain(loss) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Asset category.  Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net realized gain(loss) – Month 2  Monthly net change in unrealized	0 0 0 0 0 0 0 Other Contracts 0 0
Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Asset category.  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 2	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net realized gain(loss) – Month 3  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Asset category.  Monthly net realized gain(loss) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 1  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 2  Monthly net change in unrealized appreciation (or depreciation) – Month 3  Monthly net change in unrealized gain(loss) – Month 3	0 0 0 0 0 0 0 Other Contracts 0 0 0

Monthly net realized gain(loss) – Month 1	0	
Monthly net change in unrealized appreciation (or depreciation) – Month 1	0	
Monthly net realized gain(loss) – Month 2	0	
Monthly net change in unrealized appreciation (or depreciation) – Month 2	0	
Monthly net realized gain(loss) – Month 3	0	
Monthly net change in unrealized appreciation (or depreciation) – Month 3	0	
d. For each of the preceding three months, investment other than derivatives. Report in Month 1	monthly net realized gain (loss) and net char U.S. dollars. Losses and depreciation shall l	nge in unrealized appreciation (or depreciation) attributable to be reported as negative numbers.
Monthly net realized gain(loss) – Month 1	95598	
Monthly net change in unrealized appreciation (or depreciation) – Month 1	-8256890	
Month 2		
Monthly net realized gain(loss) – Month 2	-587237	
Monthly net change in unrealized appreciation (or depreciation) – Month 2	1922707	
Month 3		
Monthly net realized gain(loss) – Month 3	3553	
Monthly net change in unrealized appreciation (or depreciation) – Month 3	5203593	
Item B.6. Flow information.		
Provide the aggregate dollar amounts for sa the Fund are held in omnibus accounts, for redemptions/repurchases from such omnibu deducted and before any deferred or conting to a registered unit investment trust. For me the assets of another investment company of shares redeemed any transaction in which t	purposes of calculating the Fund's sales, red us accounts. The amounts to be reported und gent deferred sales load or charge has been rgers and other acquisitions, include in the v or of a personal holding company in exchang the Fund liquidated all or part of its assets. E.	nares during each of the preceding three months. If shares of lemptions, and repurchases, use net sales or der this Item should be after any front-end sales load has been deducted. Shares sold shall include shares sold by the Fund alue of shares sold any transaction in which the Fund acquired e for its own shares. For liquidations, include in the value of exchanges are defined as the redemption or repurchase of of another Fund or series in the same family of investment
Month 1		
a. Total net asset value of shares sold	0	
(including exchanges but excluding reinvestment of dividends and distributions).	0	
b. Total net asset value of shares sold in connection with reinvestments of dividends and distributions.	0	
c. Total net asset value of shares redeemed or repurchased, including exchanges.	0	
Month 2		
a. Total net asset value of shares sold (including exchanges but excluding reinvestment of dividends and distributions).	0	
b. Total net asset value of shares sold in connection with reinvestments of dividends and distributions.	0	
c. Total net asset value of shares redeemed or repurchased, including exchanges.	0	

<ul> <li>a. Total net asset value of shares sold (including exchanges but excluding reinvestment of dividends and distributions).</li> </ul>	0	
b. Total net asset value of shares sold in connection with reinvestments of dividends and distributions.	0	
c. Total net asset value of shares redeemed or repurchased, including exchanges.	0	
Item B.7. Highly Liquid Investment Minimu	um information.	
a. If applicable, provide the Fund's current Highly Liquid Investment Minimum.		
b. If applicable, provide the number of days that the Fund's holdings in Highly Liquid Investments fell below the Fund's Highly Liquid Investment Minimum during the reporting period.		
c. Did the Fund's Highly Liquid Investment Minimum change during the reporting period?	○ Yes ○ No ○ N/A	
Item B.8. Derivatives Transactions.		
		ercentage of the Fund's Highly Liquid Investments that it has fied among the following categories as specified in rule 22e-4
(1) Moderately Liquid Investments		
(2) Less Liquid Investments		
(3) Illiquid Investments		
For purposes of Item B.8, when computing t categorized by the Fund as Highly Liquid Inv	he required percentage, the denominator sho restments.	ould only include assets (and exclude liabilities) that are
Classification		
Item B.9. Derivatives Exposure for limited	derivatives users.	
If the Fund is excepted from the rule 18f-4 [ 270.18f-4(c)(4)], provide the following inform	7 CFR 270.18f-4] program requirement and lation:	imit on fund leverage risk under rule 18f-4(c)(4) [17 CFR
a. Derivatives exposure (as defined in rule 18f-4(a) [17 CFR 270.18f-4(a)]), reported as a percentage of the Fund's net asset value.		
b. Exposure from currency derivatives that hedge currency risks, as provided in rule 18f-4(c)(4)(i)(B) [17 CFR 270.18f-4(c)(4)(i) (B)], reported as a percentage of the Fund's net asset value.		
c. Exposure from interest rate derivatives that hedge interest rate risks, as provided in rule 18f-4(c)(4)(i)(B) [17 CFR 270.18f-4(c)(4)(i)(B)], reported as a percentage of the Fund's net asset value.		
d. The number of business days, if any, in excess of the five-business-day period described in rule 18f-4(c)(4)(ii) [17 CFR 270.18f-4(c)(4)(ii)], that the Fund's derivatives exposure exceeded 10 percent of its net assets during the reporting period.		
Item B.10. VaR information.		
For Funds subject to the limit on fund levera determined in accordance with the requirem each business day:	ge risk described in rule 18f-4(c)(2) [17 CFR ent under rule 18f-4(c)(2)(ii) to determine the	270.18f-4(c)(2)], provide the following information, as fund's compliance with the applicable VaR test at least once
a. Median daily VaR during the reporting period, reported as a percentage of the Fund's net asset value.		
b. For Funds that were subject to the Relative VaR Test during the reporting period, provide:		
i. As applicable, the name of the Fund's Designated Index, or a statement that the Fund's Designated Reference Portfolio is the Fund's Securities Portfolio.		

ii. As applicable, the index identifier for the Fund's Designated Index.	
iii. Median VaR Ratio during the reporting period, reported as a percentage of the VaRof the Fund's Designated Reference Portfolio.	
c. Backtesting Results. Number of exceptions that the Fund identified as a result of its backtesting of its VaR calculation model (as described in rule 18f-4(c)(1)(iv) [17 CFR 270.18f-4(c)(1)(iv)] during the reporting period.	
NPORT-P: Part C: Sched	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
tem C.1. Identification of investment.	
a. Name of issuer (if any).	AgBiome, LLC
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series A-1 Convertible Preferred Units
d. CUSIP (if any).	N/A
At least one of the following other identifiers	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
tem C.2. Amount of each investment.	
Balance. Indicate whether amount is expresthe number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	2000000
Units	Other units
Description of other units.	Number of units
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	9411322
Exchange rate.	
Percentage value compared to net assets of the Fund.	11.195153904903
tem C.3. Indicate payoff profile among th he relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	■ Long    Short    N/A

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity v	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., idient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	O Yes O No
iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerni subject to the repurchase agreement, those	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes ◎ No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   No
c. Is any portion of this investment on loan by the Fund?	○ Yes ● No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information to ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	AgBiome, LLC
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series A-2 Convertible Preferred Units
d. CUSIP (if any).	N/A

At least one of the following other identifiers:

Identifier.	Other unique identifier (if ticker and ISIN are not avai	lable). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A	
Description of other unique identifier.	Additional identifier not available.	
Item C.2. Amount of each investment.		
	sed in number of shares, principal amount, or o	ther units. For derivatives contracts, as applicable, provide
Balance	417392	
Units	Other units	
Description of other units.	Number of units	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	1965207	
Exchange rate.		
Percentage value compared to net assets of the Fund.	2.337694408925	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For n C.11.	derivatives, respond N/A to this Item and respond to
Payoff profile.	Long □ Short □ N/A	
Item C.4. Asset and issuer type. Select the	category that most closely identifies the in	strument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted So	ecurity?	
Is the investment a Restricted Security?	Yes ○ No	
Item C.7.		
a. Liquidity classification information. For po for each portfolio investment among the follo	owing categories as specified in rule 22e-4 [17 (	vestment companies, provide the liquidity classification(s) CFR 270.22e-4]. For portfolio investments with multiple
liquidity classifications, indicate the percents	an amount attributable to each classification	·

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

iv. Illiquid Investments	
Category.	N/A
	ories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	se to indicate the percentage amount of a holding attributable to multiple classification categories only in the position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted lue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e ledient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	© Yes <sup>©</sup> No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	© Yes <sup>©</sup> No
	including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not
, ,	e of identifier dised.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse re	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
<ul> <li>i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.</li> </ul>	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	f counterparty.

e. Maturity date.

O Yes No

c. Tri-party?

d. Repurchase rate.

f. Provide the following information concerni	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are
subject to the repurchase agreement, those	securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes ® No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes ● No
c. Is any portion of this investment on loan by the Fund?	© Yes ® No
NPORT-P: Part C: Schede	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	AgBiome, LLC
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series B Convertible Preferred Units
d. CUSIP (if any).	N/A
At least one of the following other identifiers	:
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	160526
Units	Other units
Description of other units.	Number of units
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	766907
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.912267362199
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.

Payoff profile.

© Long © Short © N/A

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted So	ecurity?
Is the investment a Restricted Security?	
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
•	
iv. Illiquid Investments	
Category.	N/A
applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	or o
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the	© Repurchase © Reverse repurchase
transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	- Nopalsitado - Novoldo Isparsitado
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	● Yes ● No
c. Is any portion of this investment on loan by the Fund?	● Yes ● No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Alta Equipment Group, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	02128L106

Identifier.	ISIN	
ISIN	US02128L1061	
Identifier.	Ticker (if ISIN is not available)	
Ticker (if ISIN is not available).	ALTG	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount,	or other units. For derivatives contracts, as applicable, provide
Balance	492335	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	5420608	
Exchange rate.		
Percentage value compared to net assets of the Fund.	6.44803576141	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten	e following categories (long, short, N/A). n C.11.	For derivatives, respond N/A to this Item and respond to
Payoff profile.		
Item C.4. Asset and issuer type. Select the	e category that most closely identifies th	e instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted So	-	
Is the investment a Restricted Security?	○ Yes   No	
Item C.7.		
a. Liquidity classification information. For po	rttolio investments of open-end manageme	nt investment companies, provide the liquidity classification(s)

i. Highly Liquid Investments

- for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.
- ii. Moderately Liquid Investments

iii. Less Liquid Investments			
iv. Illiquid Investments			
Category.	N/A		
<ul> <li>b. If attributing multiple classification catego applicable.</li> </ul>	b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.		
following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.		
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted re Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient).		
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).			
Item C.9. For debt securities			
For debt securities, also provide:			
a. Maturity date.			
b. Coupon.			
<ul> <li>i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).</li> </ul>			
ii. Annualized rate.			
c. Currently in default? [Y/N]	◎ Yes ◎ No		
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No		
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No		
f. For convertible securities, also provide:			
i. Mandatory convertible? [Y/N]	○ Yes ○ No		
ii. Contingent convertible? [Y/N]	○ Yes ○ No		
iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available).			
If other identifier provided, indicate the type	of identifier used.		
v. Delta (if applicable).			
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:		
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase		
b. Counterparty.			
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No		
ii. If N, provide the name and LEI (if any) of	counterparty.		
c. Tri-party?	© Yes © No		
d. Repurchase rate.			

	I
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are a securities may be aggregated in responding to Items C.10.f.i-iii.
tem C.11. For derivatives, also provide: tem C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes   No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes ● No
c. Is any portion of this investment on loan by the Fund?	© Yes ● No
NPORT-P: Part C: Sched	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report	its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information xceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those rities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
em C.1. Identification of investment.	
a. Name of issuer (if any).	Amgen, Inc.
b. LEI (if any) of issuer. In the case of a nolding in a fund that is a series of a series trust, report the LEI of the series.	62QBXGPJ34PQ72Z12S66
c. Title of the issue or description of the nvestment.	Rights to Milestone Payments from Acquisition of BioVex Group, Inc.
d. CUSIP (if any).	N/A
At least one of the following other identifiers	3:
dentifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
em C.2. Amount of each investment.	
Balance. Indicate whether amount is expresible number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	548998
Jnits	Principal amount
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
	l
currency of investment is not denominated in U.S. dollars, provide the	161695
currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	161695
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.	0.192342840958
currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.	0.192342840958  ne following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivative-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	OTHER
If "other", provide a brief description.	Other Financial Instrument
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 © N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, ir reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type of the state of th	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Home C 40. For your phase and you are	
Item C.10. For repurchase and reverse rep	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of o	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerning subject to the repurchase agreement, those	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes   No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   No
c. Is any portion of this investment on loan by the Fund?	○ Yes ◎ No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securit period covered by this report, and have not I	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information to ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Arena Group Holdings, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	040044109

Identifier.	ISIN	
ISIN	US0400441095	
Identifier.	Ticker (if ISIN is not available)	
Ticker (if ISIN is not available).	AREN	
Item C.2. Amount of each investment.		
	sed in number of shares, principal amount, o	r other units. For derivatives contracts, as applicable, provide
Balance	775358	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	10157190	
Exchange rate.		
Percentage value compared to net assets of the Fund.	12.082394512837	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). F n C.11.	or derivatives, respond N/A to this Item and respond to
Payoff profile.	Long   Short   N/A	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the	instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.	•	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted S	ecurity?	
Is the investment a Restricted Security?	○ Yes ● No	
Item C.7.		
a. Liquidity classification information. For po	rtfolio investments of open-end management	investment companies, provide the liquidity classification(s)

- a. Liquidity classification information. For portfolio investments of open-end management investment companies, provide the liquidity classification(s) for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.
- i. Highly Liquid Investments
- ii. Moderately Liquid Investments

iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.		
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e position have differing liquidity features views; or (3) if the fund chooses to class sing it on the sizes it would reasonably ar	holding attributable to multiple classification categories only in the that justify treating the portions separately; (2) if a fund has fy the position through evaluation of how long it would take to ticipated trading). In (1) and (2), a fund would classify using the
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Val- net asset value used as the practical expe	ue Measurement). [1/2/3] Report "N/A"	measurements fall pursuant to U.S. Generally Accepted if the investment does not have a level associated with it (i.e.,
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	● 1 ○ 2 ○ 3 ○ N/A	
Item C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).		
ii. Annualized rate.		
c. Currently in default? [Y/N]	© Yes <sup>©</sup> No	
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	O Yes O No	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	Yes       No	
f. For convertible securities, also provide:		
i. Mandatory convertible? [Y/N]	○ Yes ○ No	
ii. Contingent convertible? [Y/N]	○ Yes ○ No	
iii. Description of the reference instrument, reference instrument, ISIN (if CUSIP is not available).  If other identifier provided, indicate the type	available), ticker (if CUSIP and ISIN are	, and currency in which denominated, as well as CUSIP of not available), or other identifier (if CUSIP, ISIN, and ticker are not
v. Delta (if applicable).		
K C 10 F		
Item C.10. For repurchase and reverse re		
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase	
b. Counterparty.		
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No	
ii. If N, provide the name and LEI (if any) of	counterparty.	
c. Tri-party?	O Yes O No	
d. Repurchase rate.		

e. Maturity date.	
f. Provide the following information concersubject to the repurchase agreement, those	rning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are se securities may be aggregated in responding to Items C.10.f.i-iii.
tem C.11. For derivatives, also provide:	
tem C.12. Securities lending.  a. Does any amount of this investment	⊚ Yes ● No
represent reinvestment of cash collateral received for loaned securities?	Tes S INU
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	© Yes ® No
NPORT-P: Part C: Scheo	dule of Portfolio Investments
for securities in an aggregate amount not of securities in Part C, provided that the secuperiod covered by this report, and have no	It its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those urities so listed are not restricted, have been held for not more than one year prior to the end of the reporting of the previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any or to shareholders or otherwise made available to the public.
tem C.1. Identification of investment.	
a. Name of issuer (if any).	Ascent Industries Co.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	549300U2S5125001TS43
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	871565107
At least one of the following other identified	rs:
Identifier.	ISIN
ISIN	US8715651076
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	ACNT
tem C.2. Amount of each investment.	
	essed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance. Indicate whether amount is expre	essed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  215000
Balance. Indicate whether amount is exprete the number of contracts.	
Balance. Indicate whether amount is exprete the number of contracts.  Balance	215000
Balance. Indicate whether amount is exprete the number of contracts.  Balance  Units	215000
Balance. Indicate whether amount is exprete the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which	215000  Number of shares
Balance. Indicate whether amount is exprete the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the	215000  Number of shares  United States Dollar
Balance. Indicate whether amount is exprete the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	215000  Number of shares  United States Dollar  3012150
Balance. Indicate whether amount is exprete the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.	215000  Number of shares  United States Dollar  3012150  3.583076090123  the following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	○ Yes ● No
Item C.7.	
a. Liquidity classification information. For pofor each portfolio investment among the follows:	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
J ,	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	

	d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	
	e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
	f. For convertible securities, also provide:	
	i. Mandatory convertible? [Y/N]	○ Yes ○ No
	ii. Contingent convertible? [Y/N]	O Yes O No
	iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
	v. Delta (if applicable).	
ı	tem C.10. For repurchase and reverse rep	purchase agreements, also provide:
	a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
	b. Counterparty.	
	i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No
	ii. If N, provide the name and LEI (if any) of	counterparty.
	c. Tri-party?	○ Yes ○ No
	d. Repurchase rate.	
	e. Maturity date.	
	f. Provide the following information concerni subject to the repurchase agreement, those	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
ı	tem C.11. For derivatives, also provide:	
	tem C.12. Securities lending.  a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes ● No
	b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   No
	c. Is any portion of this investment on loan by the Fund?	○ Yes ● No
ĺ	NPORT-P: Part C: Schedu	ule of Portfolio Investments
	for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
ı	tem C.1. Identification of investment.	
	a. Name of issuer (if any).	AutoTech Ventures Management I, LLC
	b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
	c. Title of the issue or description of the investment.	LLC Interests
	d. CUSIP (if any).	N/A

At least one of the following other identifiers:

Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used		
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A		
Description of other unique identifier.	Additional identifier not available.		
Item C.2. Amount of each investment.  Balance. Indicate whether amount is expres the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide		
Balance	0		
Units	Other units		
Description of other units.	Number of Interests		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar		
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	225000		
Exchange rate.			
Percentage value compared to net assets of the Fund.	0.267646737472		
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.		
Payoff profile.	■ Long  Short  N/A		
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:		
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	OTHER		
If "other", provide a brief description.	LLC Interests		
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate		
Item C.5. Country of investment or issuer.			
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA		
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.			
Item C.6. Is the investment a Restricted S	ecurity?		
Is the investment a Restricted Security?			
Item C.7.			
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.		

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments

iii. Less Liquid Investments			
iv. Illiquid Investments			
Category.	N/A		
b. If attributing multiple classification categorapplicable.	ries to the holding, indicate wh	ch of the three circumstances listed in the Instructions to Item C.7 is	
Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.			
Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).			
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ® 3 © N/A		
Item C.9. For debt securities			
For debt securities, also provide:  a. Maturity date.			
,			
b. Coupon.			
<ul> <li>i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).</li> </ul>			
ii. Annualized rate.			
c. Currently in default? [Y/N]	O Yes O No		
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	O Yes O No		
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes O No		
f. For convertible securities, also provide:			
i. Mandatory convertible? [Y/N]	O Yes O No		
ii. Contingent convertible? [Y/N]	O Yes O No		
reference instrument, ISIN (if CUSIP is not available).	available), ticker (if CUSIP and	le of issue, and currency in which denominated, as well as CUSIP of ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not	
If other identifier provided, indicate the type	of identifier used.		
v. Delta (if applicable).			
Item C.10. For repurchase and reverse re	ourchase agreements, also p	rovide:	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse re	purchase	
b. Counterparty.			
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No		
ii. If N, provide the name and LEI (if any) of	counterparty.		
c. Tri-party?	O Yes O No		
d. Repurchase rate.			

e. Maturity date.			
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.		
Item C.11. For derivatives, also provide: Item C.12. Securities lending.			
Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?			
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No		
c. Is any portion of this investment on loan by the Fund?	© Yes ● No		
NPORT-P: Part C: Sched	ule of Portfolio Investments		
For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.			
Item C.1. Identification of investment.			
a. Name of issuer (if any).	Commercial Vehicle Group, Inc.		
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	5493006OG8I91X0K7Q22		
c. Title of the issue or description of the investment.	Common Stock		
d. CUSIP (if any).	202608105		
At least one of the following other identifiers	:		
Identifier.	ISIN		
identifier.	ISH		
ISIN	US2026081057		
Item C.2. Amount of each investment.			
Balance. Indicate whether amount is express the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide		
Balance	515248		
Units			
	Number of shares		
Description of other units.	Number of shares		
Description of other units.  Currency. Indicate the currency in which the investment is denominated.	Number of shares  United States Dollar		
Currency. Indicate the currency in which			
Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the	United States Dollar		
Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	United States Dollar		
Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.	United States Dollar  2318616  2.758088923784  e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to		
Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.	United States Dollar  2318616  2.758088923784  e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to		
Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.  Item C.3. Indicate payoff profile among the relevant payoff profile question in Item Payoff profile.	United States Dollar  2318616  2.758088923784  e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.		
Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.  Item C.3. Indicate payoff profile among the relevant payoff profile question in Item Payoff profile.	United States Dollar  2318616  2.758088923784  e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.  © Long © Short © N/A		

<b>A</b> / 1		
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	○ Yes   No	
Item C.7.		
for each portfolio investment among the follo	rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.	
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
·		
iv. Illiquid Investments	True	
Category.	N/A	
b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.  Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the		
reasonably anticipated trade size for each p	ortion of the position.	
Item C.8. Indicate the level within the fair of Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expenses.)	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ne Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient).	
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).		
Item C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).		
ii. Annualized rate.		
c. Currently in default? [Y/N]	○ Yes ○ No	

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	or identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	● Yes ● No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
·	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are
subject to the repurchase agreement, those	securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes ● No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	© Yes <sup>®</sup> No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information keeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	comScore, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	20564W105

At least one of the following other identifiers:

Identifier.	ISIN
ISIN	US20564W1053
Item C.2. Amount of each investment.	
	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	2763171
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	4559232
Exchange rate.	
Percentage value compared to net assets of the Fund.	5.423393645245
Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to m C.11.
Payoff profile.	● Long ○ Short ○ N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	ecurity?
Is the investment a Restricted Security?	○ Yes ● No
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) lowing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
-	

b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.

**Instructions to Item C.7** Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).

net asset value used as the practical expe	edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	

f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii.

Item C.11. For derivatives, also provide:

a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	O Yes O No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	O Yes O No
c. Is any portion of this investment on loan by the Fund?	O Yes O No

### **NPORT-P: Part C: Schedule of Portfolio Investments**

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those

period covered by this report, and have not	ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	D-Wave Quantum, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	5493001U37G4PRD4GW95
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	26740W109
At least one of the following other identifiers	:
Identifier.	ISIN
ISIN	US26740W1099
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	QBTS
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is expres the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	911938
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	6834428
Exchange rate.	
Percentage value compared to net assets of the Fund.	8.129832696403
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	● Long ○ Short ○ N/A

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common			
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate			
Item C.5. Country of investment or issuer	! - -			
Report the ISO country code that corresponds to the country where the issuer is organized.	CANADA (FEDERAL LEVEL)			
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.				
Item C.6. Is the investment a Restricted S	ecurity?			
Is the investment a Restricted Security?	Yes       No			
Item C.7.				
Liquidity classification information. For pofor each portfolio investment among the foll liquidity classifications, indicate the percentations.	owing categories as specified i	in rule 22e-4 [17 (		
i. Highly Liquid Investments				
ii. Moderately Liquid Investments				
iii. Less Liquid Investments				
iv. Illiquid Investments				
Category.	N/A	1		
		ich of the three c	circumstances listed in	the Instructions to Item C.7 is
b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.  Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in th following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.				
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	ue Measurement). [1/2/3] Rep	fair value meas port "N/A" if the	urements fall pursua investment does no	ant to U.S. Generally Accepted t have a level associated with it (i.e
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ® 3 © N/A			
Item C.9. For debt securities				
For debt securities, also provide:				
a. Maturity date.				
b. Coupon.				
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).				
ii. Annualized rate.				
c. Currently in default? [Y/N]	○ Yes ○ No			

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]		
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	● Yes ● No	
f. For convertible securities, also provide:		
i. Mandatory convertible? [Y/N]	○ Yes ○ No	
ii. Contingent convertible? [Y/N]	○ Yes ○ No	
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	or o	
v. Delta (if applicable).		
Item C.10. For repurchase and reverse re	ourchase agreements, also provide:	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase	
b. Counterparty.		
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No	
ii. If N, provide the name and LEI (if any) of	counterparty.	
c. Tri-party?	◎ Yes ◎ No	
d. Repurchase rate.		
e. Maturity date.		
·	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are	
subject to the repurchase agreement, those	securities may be aggregated in responding to Items C.10.f.i-iii.	
Item C.11. For derivatives, also provide: Item C.12. Securities lending.		
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No	
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?		
c. Is any portion of this investment on loan by the Fund?	© Yes <sup>®</sup> No	
NPORT-P: Part C: Schedule of Portfolio Investments		
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information keeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.	
Item C.1. Identification of investment.		
a. Name of issuer (if any).	EchoPixel, Inc.	
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A	
c. Title of the issue or description of the investment.	Series Seed Convertible Preferred Stock	
d. CUSIP (if any).	N/A	
At least one of the following other identifiers		

Identifier.

Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A	
Description of other unique identifier.	Additional identifier not available.	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide the number of contracts.		
Balance	4194630	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	157785	
Exchange rate.		
Percentage value compared to net assets of the Fund.	0.187691735431	
Item C.3. Indicate payoff profile among the following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to the relevant payoff profile question in Item C.11.		
Payoff profile.		
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:	
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or	Equity-preferred	
other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.		
Issuer type (corporate, U.S. Treasury,	Corporate	
U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.		
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	● Yes ○ No	
Item C.7.		
a. Liquidity classification information. For portfolio investments of open-end management investment companies, provide the liquidity classification(s) for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.		
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	

b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., not asset value used as the practical expedient)

net asset value used as the practical expe	edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	

f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii.

Item C.11. For derivatives, also provide:

<ul> <li>a. Does any amount of this investme represent reinvestment of cash colla received for loaned securities?</li> </ul>	
b. Does any portion of this investme represent that is treated as a Fund a and received for loaned securities?	
c. Is any portion of this investment oby the Fund?	on loan Yes No
NPORT-P: Part C: So	chedule of Portfolio Investments
for securities in an aggregate amour securities in Part C, provided that th period covered by this report, and h	nd and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information nt not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those he securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting ave not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any or report to shareholders or otherwise made available to the public.
Item C.1. Identification of investme	ent.
a. Name of issuer (if any).	EchoPixel, Inc.
b. LEI (if any) of issuer. In the case holding in a fund that is a series of a series trust, report the LEI of the ser	
c. Title of the issue or description of investment.	the Series Seed-2 Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other id	lentifiers:
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and are not available). Indicate the type identifier used	
Description of other unique identified	Additional identifier not available.
Description of other unique identified Item C.2. Amount of each investment	
Item C.2. Amount of each investment	
Item C.2. Amount of each investment is Balance. Indicate whether amount is	ent.
Item C.2. Amount of each investment in the number of contracts.	ent. s expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Item C.2. Amount of each investment in Balance. Indicate whether amount is the number of contracts.  Balance	ent. s expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Item C.2. Amount of each investment in the number of contracts.  Balance Units	ent. s expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  1476668  Number of shares
Item C.2. Amount of each investment of each investment of each investment is the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in washingtoness.	ent. s expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  1476668  Number of shares  United States Dollar  56720  e the
Item C.2. Amount of each investment of Balance. Indicate whether amount is the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in with the investment is denominated.  Value. Report values in U.S. dollars currency of investment is not denominated in U.S. dollars, provided.	ent. s expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  1476668  Number of shares  United States Dollar  56720  e the
Item C.2. Amount of each investment Balance. Indicate whether amount is the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in with the investment is denominated.  Value. Report values in U.S. dollars currency of investment is not denominated in U.S. dollars, provide exchange rate used to calculate value.	ent. s expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide    1476668

Item C.3. Indicate payoff profile among the following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to the relevant payoff profile question in Item C.11.

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-oreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.

Equity-preferred

Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	1.
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	Security?
Is the investment a Restricted Security?	Yes      No
Item C.7.	
for each portfolio investment among the foll	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) lowing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification categorapplicable.	ories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choos	se to indicate the percentage amount of a holding attributable to multiple classification categories only in the
multiple sub-advisers with differing liquidity	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each place. Indicate the level within the fair	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the cortion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e.,
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value)	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expelindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant counting Principles (ASC 820, Fair Value asset value used as the practical expelling liquid to the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plane C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expendicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plane. Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expendicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant counting Principles (ASC 820, Fair Value net asset value used as the practical expension of the process of the level within the fair value hierarchy in which the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).  ii. Annualized rate.	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each pleasonably anticipated (ASC 820, Fair Value net asset value used as the practical experimental principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).  ii. Annualized rate.  c. Currently in default? [Y/N]  d. Are there any interest payments in arrears or have any coupon payments	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A  Yes No
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expension of the interaction of the interest paid in kind? [Y/N] end to substitute the office of the interest paid in kind? [Y/N] end to find the interest paid in kind? [Y/N] end to find the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient).  1 2 3 N/A  Yes No  Yes No
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expension in the same tasset value used as the practical expension in the same transport of the size of the same in the same transport in the same transpor	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient).  1 2 3 N/A  Yes No  Yes No

iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of $\ensuremath{I}$	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerni subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes  No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	○ Yes   No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	EchoPixel, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series A-2 Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	:
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	1471577

Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	85827
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.102094740164
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-	Equity-preferred
equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	•
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
	N/A
Category.	N/A
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choose	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).

Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ® 3 © N/A
Item C.9. For debt securities For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	● Yes ● No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	© Yes <sup>©</sup> No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are e securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide:	
Item C.12. Securities lending.  a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

registration statement, application, or report	to snareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Envela Corporation
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	29402E102
At least one of the following other identifiers	
Identifier.	ISIN
ISIN	US29402E1029
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	ELA
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	164383
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	831778
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.989434080006
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	● Long
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate

Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	ecurity?
Is the investment a Restricted Security?	© Yes <sup>®</sup> No
Item C.7.	
for each portfolio investment among the foll	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
applicable.	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the
following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity	e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Val net asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	◎ Yes ◎ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
	or identifier used.
v. Delta (if applicable).	

Item C.10. For repurchase and reverse re	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concern subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	● Yes ● No
NPORT-P: Part C: Sched	ule of Portfolio Investments
For each investment hold by the Fund and i	
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.	sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
for securities in an aggregate amount not es securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report	sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.	sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    HALE.life Corporation   HALE
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    HALE.life Corporation   N/A
for securities in an aggregate amount not essecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    HALE.life Corporation   N/A
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    HALE.life Corporation   N/A
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    HALE.life Corporation   N/A
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of	cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  HALE.life Corporation  N/A  Common Stock  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  HALE.life Corporation  N/A  Common Stock  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.	coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  HALE.life Corporation  N/A  Common Stock  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express	Additional identifier not available.
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.	coeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those titles so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  HALE.life Corporation  N/A  Common Stock  N/A  Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A  Additional identifier not available.
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance	coeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those titles so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    HALE.life Corporation

Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	3628	
Exchange rate.		
Percentage value compared to net assets of the Fund.	0.004315654949	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iter		For derivatives, respond N/A to this Item and respond to
Payoff profile.	● Long ○ Short ○ N/A	
Item C.4. Asset and issuer type. Select the	· ·	instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer	•	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted S	ecurity?	
Is the investment a Restricted Security?	Yes      No	
Item C.7.		
for each portfolio investment among the follo		t investment companies, provide the liquidity classification(s) 17 CFR 270.22e-4]. For portfolio investments with multiple .
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the thre	e circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e position have differing liquidity features tha views; or (3) if the fund chooses to classify th ing it on the sizes it would reasonably anticip	ding attributable to multiple classification categories only in the t justify treating the portions separately; (2) if a fund has ne position through evaluation of how long it would take to pated trading). In (1) and (2), a fund would classify using the
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	ue Measurement). [1/2/3] Report "N/A" if t	easurements fall pursuant to U.S. Generally Accepted the investment does not have a level associated with it (i.e.,
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	0 1 0 2 ● 3 0 N/A	

Item C.9. For debt securities

For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
	oncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	·
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer an esecurities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	© Yes ● No

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

a. Name of issuer (if any).	HALE.life Corporation
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series Seed-1 Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	11000000
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	118358
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.140791700238
Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	■ Long    Short    N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	•
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	

Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valunet anet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
	oncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase

b. Counterparty.

i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerni subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   No
c. Is any portion of this investment on loan by the Fund?	© Yes <sup>®</sup> No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not registration statement, application, or report	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those titles so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	HALE.life Corporation
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series Seed-2 Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	:
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.  Balance. Indicate whether amount is expres the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	12083132
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	147994
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.176044938957

Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	■ Long  Short  N/A
Item C.4. Asset and issuer type. Select the	category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	rtfolio investments of open-end management investment companies, provide the liquidity classification(s) wing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple ge amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification categor applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.	
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted e Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 © N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	

ii. Annualized rate.		
c. Currently in default? [Y/N]	◎ Yes ◎ No	
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No	
f. For convertible securities, also provide:		
i. Mandatory convertible? [Y/N]	© Yes <sup>©</sup> No	
ii. Contingent convertible? [Y/N]	● Yes ● No	
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available). If other identifier provided, indicate the type	of including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.	
v. Delta (if applicable).		
Item C.10. For repurchase and reverse re	nurchase agreements, also provide:	
a. Select the category that reflects the	Repurchase Reverse repurchase	
transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Nepulcilase Vicevelse repulcilase	
b. Counterparty.		
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.		
ii. If N, provide the name and LEI (if any) of	counterparty.	
c. Tri-party?	© Yes <sup>©</sup> No	
d. Repurchase rate.		
e. Maturity date.		
f. Provide the following information concern	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are	
subject to the repurchase agreement, those	e securities may be aggregated in responding to Items C.10.f.i-iii.	
Item C.11. For derivatives, also provide: Item C.12. Securities lending.		
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes ● No	
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?		
c. Is any portion of this investment on loan by the Fund?	© Yes ® No	
NPORT-P: Part C: Sched	ule of Portfolio Investments	
For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.		
Item C.1. Identification of investment.		
a. Name of issuer (if any).	Intevac, Inc.	
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A	
c. Title of the issue or description of the investment.	Common Stock	

d. CUSIP (if any).

461148108

At least one of the following other identifiers		
Identifier.	ISIN	
ISIN	US4611481080	
Identifier.	Ticker (if ISIN is not available)	
Ticker (if ISIN is not available).	IVAC	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide	
Balance	1083786	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not	5039605	
denominated in U.S. dollars, provide the exchange rate used to calculate value.		
Exchange rate.		
Percentage value compared to net assets of the Fund.	5.994817050667	
Item C.3. Indicate payoff profile among the following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to		
the relevant payoff profile among the	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C 11	
the relevant payoff profile question in Iter Payoff profile.	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.  ■ Long ■ Short ■ N/A	
the relevant payoff profile question in Iter Payoff profile.	© Long O Short N/A	
the relevant payoff profile question in Iter Payoff profile.	n C.11.	
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select th Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other,"	n C.11.  ■ Long ■ Short ■ N/A  = category that most closely identifies the instrument among each of the following:	
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select th Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide	© Long © Short © N/A  e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate	
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select th Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	© Long © Short © N/A  e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate	
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select th Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-cother, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.  Item C.5. Country of investment or issuer Report the ISO country code that corresponds to the country where the	© Long © Short © N/A  e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate	
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivative-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-cother, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.  Item C.5. Country of investment or issuer Report the ISO country code that corresponds to the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.  Item C.6. Is the investment a Restricted S	Long Short N/A e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate  UNITED STATES OF AMERICA	
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select th Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.  Item C.5. Country of investment or issuer Report the ISO country code that corresponds to the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	Long Short N/A e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate  UNITED STATES OF AMERICA	

a. Liquidity classification information. For portfolio investments of open-end management investment companies, provide the liquidity classification(s) for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.

ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
b. If attributing multiple classification catego applicable.	es to the holding, indicate which of the three circumstan	ces listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the	to indicate the percentage amount of a holding attributa position have differing liquidity features that justify treatiews; or (3) if the fund chooses to classify the position thing it on the sizes it would reasonably anticipated trading intributed treating in the position.	ng the portions separately; (2) if a fund has rough evaluation of how long it would take to
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	alue hierarchy in which the fair value measurements e Measurement). [1/2/3] Report "N/A" if the investme dient).	fall pursuant to U.S. Generally Accepted nt does not have a level associated with it (i.e
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	● 1 ○ 2 ○ 3 ○ N/A	
Item C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
<ul> <li>i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).</li> </ul>		
ii. Annualized rate.		
c. Currently in default? [Y/N]	○ Yes ○ No	
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	● Yes ● No	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	● Yes ● No	
f. For convertible securities, also provide:		
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No	
ii. Contingent convertible? [Y/N]	© Yes ◎ No	
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available).  If other identifier provided, indicate the type	cluding the name of issuer, title of issue, and currency in vailable), ticker (if CUSIP and ISIN are not available), or of identifier used.	n which denominated, as well as CUSIP of other identifier (if CUSIP, ISIN, and ticker are not
v. Delta (if applicable).		
Item C.10. For repurchase and reverse re	urchase agreements, also provide:	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase	
b. Counterparty.		
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No	
ii. If N, provide the name and LEI (if any) of	ounterparty.	
c. Tri-party?	© Yes <sup>©</sup> No	

d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes ● No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	● Yes ● No
c. Is any portion of this investment on loan by the Fund?	○ Yes   ● No
NPORT-P: Part C: Sched	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Jerash Holdings US, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	482738101
At least one of the following other identifiers	
Identifier.	ISIN
ISIN	US4827381017
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	JRSH
	seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
the number of contracts.	
Balance	135617
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	575016
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.684005139531
Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	■ Long    Short    N/A

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury,	Corporate	
U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.		
Item C.5. Country of investment or issuer		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the		
issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	© Yes <sup>®</sup> No	
Item C.7.		
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.	
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
	To the second se	
Category.	N/A	
applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is	
Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.		
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).	
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	● 1 ○ 2 ○ 3 ○ N/A	
Item C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).		
ii. Annualized rate.		

arrears or have any coupon payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	● Yes ● No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, in reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerni	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes   No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes ● No
c. Is any portion of this investment on loan by the Fund?	○ Yes ◎ No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	is consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Lantronix, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
	F1071000
d. CUSIP (if any).	516548203

At least one of the following other identifiers:

Identifier.	ISIN	
ISIN	US5165482036	
Identifier.	Ticker (if ISIN is not available)	
Ticker (if ISIN is not available).	LTRX	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, c	or other units. For derivatives contracts, as applicable, provide
Balance	514132	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	2472975	
Exchange rate.		
Percentage value compared to net assets of the Fund.	2.94170529156	
Item C.3. Indicate payoff profile among the	e following categories (long, short, N/A). F n C.11.	For derivatives, respond N/A to this Item and respond to
Payoff profile.		
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the	instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted S	ecurity?	
Is the investment a Restricted Security?	○ Yes   No	
Item C.7.		
a. Liquidity classification information. For po	rtfolio investments of open-end managemen	t investment companies, provide the liquidity classification(s)

- for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.
- i. Highly Liquid Investments
- ii. Moderately Liquid Investments

iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
<ul> <li>b. If attributing multiple classification catego applicable.</li> </ul>	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is	
Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.		
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted re Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., dient).	
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).		
Item C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
<ul> <li>i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).</li> </ul>		
ii. Annualized rate.		
c. Currently in default? [Y/N]	◎ Yes ◎ No	
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No	
f. For convertible securities, also provide:		
i. Mandatory convertible? [Y/N]	○ Yes ○ No	
ii. Contingent convertible? [Y/N]	○ Yes ○ No	
iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available).		
If other identifier provided, indicate the type	of identifier used.	
v. Delta (if applicable).		
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase	
b. Counterparty.		
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No	
ii. If N, provide the name and LEI (if any) of	counterparty.	
c. Tri-party?	© Yes © No	
d. Repurchase rate.		

. Maturity date.	
	ning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are se securities may be aggregated in responding to Items C.10.f.i-iii.
m C.11. For derivatives, also provide: m C.12. Securities lending.	
Does any amount of this investment epresent reinvestment of cash collateral eceived for loaned securities?	© Yes <sup>®</sup> No
Does any portion of this investment epresent that is treated as a Fund asset nd received for loaned securities?	© Yes ● No
Is any portion of this investment on loan y the Fund?	© Yes <sup>®</sup> No
IPORT-P: Part C: Scheo	lule of Portfolio Investments
or securities in an aggregate amount not ecurities in Part C, provided that the secu eriod covered by this report, and have no egistration statement, application, or repo	I its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those urities so listed are not restricted, have been held for not more than one year prior to the end of the reporting to been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any art to shareholders or otherwise made available to the public.
m C.1. Identification of investment.	
. Name of issuer (if any).	Magnolia Neurosciences Corporation
LEI (if any) of issuer. In the case of a olding in a fund that is a series of a eries trust, report the LEI of the series.	N/A
Title of the issue or description of the vestment.	Series A Convertible Preferred Stock
. CUSIP (if any).	N/A
t least one of the following other identifie	rs:
lentifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
ther unique identifier (if ticker and ISIN re not available). Indicate the type of entifier used	N/A
escription of other unique identifier.	Additional identifier not available.
m C.2. Amount of each investment.	
alance. Indicate whether amount is exprese number of contracts.	essed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
alance	862872
nits	Number of shares
escription of other units.	
urrency. Indicate the currency in which le investment is denominated.	United States Dollar
alue. Report values in U.S. dollars. If	151002
urrency of investment is not enominated in U.S. dollars, provide the exchange rate used to calculate value.	
xchange rate.	
ercentage value compared to net assets f the Fund.	0.179623078452
	he following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to
m C.3. Indicate payoff profile among t e relevant payoff profile question in Ito	em C.11.

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.	•	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	● Yes ○ No	
Item C.7.		
a. Liquidity classification information. For portfolio investments of open-end management investment companies, provide the liquidity classification(s) for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.		
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is	
Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.		
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).	
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ● 3 ○ N/A	
Item C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).		
ii. Annualized rate.		
c. Currently in default? [Y/N]	◎ Yes ◎ No	

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	or or or identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	● Yes ● No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerning subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes ● No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	© Yes <sup>®</sup> No
NPORT-P: Part C: Schede	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Magnolia Neurosciences Corporation
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Warrants for the Purchase of Common Stock expiring 8/3/28
d. CUSIP (if any).	N/A

Identifier.	Other unique identifier (if ticker and ISIN are not	available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A	
Description of other unique identifier.	Additional identifier not available.	
Itana C.O. Amazont of acab improvement		
Item C.2. Amount of each investment.  Balance. Indicate whether amount is expres the number of contracts.	sed in number of shares, principal amount, o	or other units. For derivatives contracts, as applicable, provide
Balance	138059	
Units	Other units	
Description of other units.	Number of contracts/warrants	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	0	
Exchange rate.		
Percentage value compared to net assets of the Fund.	0	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten	e following categories (long, short, N/A). In C.11.	For derivatives, respond N/A to this Item and respond to
Payoff profile.	■ Long  Short  N/A	
Item C.4. Asset and issuer type. Select the	· ·	instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Derivative-equity	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	● Yes ○ No	
Item C.7.		

- i. Highly Liquid Investments
- ii. Moderately Liquid Investments
- iii. Less Liquid Investments

iv. Illiquid Investments						
Category.	N/A					
b. If attributing multiple classification categorapplicable.	ries to the holding, indicate wh	nich of the thre	e circumsta	nces listed in the	ne Instructions to	o Item C.7 is
<b>Instructions to Item C.7</b> Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity valiquidate the entire position (rather than basi reasonably anticipated trade size for each position).	e position have differing liquidi views; or (3) if the fund choose ing it on the sizes it would reas	ty features tha es to classify th	nt justify trea ne position t	iting the portior hrough evaluat	ns separately; (2 tion of how long	) if a fund has it would take to
Item C.8. Indicate the level within the fair v Accounting Principles (ASC 820, Fair Valu net asset value used as the practical expe	ie Measurement). [1/2/3] Rep					
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ⑨ 3 ○ N/A					
Item C.9. For debt securities						
For debt securities, also provide:						
a. Maturity date.						
b. Coupon.						
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).		]				
ii. Annualized rate.			]			
O						
c. Currently in default? [Y/N]	○ Yes ○ No					
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	O Yes O No					
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes O No					
f. For convertible securities, also provide:						
i. Mandatory convertible? [Y/N]	O Yes O No					
ii. Contingent convertible? [Y/N]	○ Yes ○ No					
iii. Description of the reference instrument, ir reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	available), ticker (if CUSIP and					
1 2	or identifier used.		1			
v. Delta (if applicable).						
Item C.10. For repurchase and reverse rep	ourchase agreements, also p	provide:				
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse re	epurchase				
b. Counterparty.						
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	O Yes O No					
ii. If N, provide the name and LEI (if any) of	counterparty.					
c. Tri-party?	O Yes O No					
d. Repurchase rate.						
e. Maturity date.						

f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are

subject to the repurchase agreement, those	securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide:	
a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other).	Warrant
b. Counterparty. i. Provide the name and LEI (if any) of coun	terparty (including a central counterparty).
Counterparty Record: 1	
Name of counterparty.	Magnolia Neurosciences Corporation
LEI (if any) of counterparty.	N/A
i. Type, selected from among the following (put, call). Respond call for warrants.	© Put ● Call
ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants.	○ Written ● Purchased
3. If the reference instrument is neither a de issue, as well as CUSIP of the reference ins CUSIP, ISIN, and ticker are not available).	rivative or an index, the description of the reference instrument shall include the name of issuer and title of trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if
Name of issuer.	MAGNOLIA NEUROSCIENCES CORP
Title of issue.	MAGNOLIA NEUROSCIENCES CORP COM
At least one of the following other identifiers	:
Identifier.	CUSIP
CUSIP.	N/A
Identifier.	ISIN (if CUSIP is not available)
ISIN (if CUSIP is not available).	N/A
Identifier.	Ticker (if CUSIP and ISIN are not available)
Ticker (if CUSIP and ISIN are not available).	N/A
iv. Number of shares or principal amount of	underlying reference instrument per contract.
Number of shares.	138059
v. Exercise price or rate.	0.0003
vi. Exercise Price Currency Code	United States Dollar
vii. Expiration date.	2028-08-03
viii. Delta.	xxxx
ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number.	0
Item C.12. Securities lending.	
Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes   No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   No
c. Is any portion of this investment on loan by the Fund?	○ Yes ● No

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

registration statement, application, or report	to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Nanosys, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series A-1 Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	496572
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	207709
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.247078383083
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate

Item C.5. Country of investment or issuer.

Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	ecurity?
Is the investment a Restricted Security?	
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the position of the position.
Item C.8. Indicate the level within the fair	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e.,
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	or including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	

Item C.10. For repurchase and reverse re	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
<ul> <li>i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.</li> </ul>	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concern subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	© Yes ● No
NPORT-P: Part C: Sched	ule of Portfolio Investments
For each investment held by the Fund and i	the constitute of the first of
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.	sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
for securities in an aggregate amount not es securities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report	sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.	sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the	kceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A
for securities in an aggregate amount not essecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.	Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).	Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers	Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of	Receeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those lities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock  N/A  Cher unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.	cceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those tities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
for securities in an aggregate amount not exsecurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express	coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A  Additional identifier not available.
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.	ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    Nanosys, Inc.   N/A
for securities in an aggregate amount not execurities in Part C, provided that the secur period covered by this report, and have not registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance	coeeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those titles so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Nanosys, Inc.  N/A  Series A-2 Convertible Preferred Stock  N/A  Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A  Additional identifier not available.  Seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide

Value Depart values in LLC dellars If		
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	867442	
Exchange rate.		
Percentage value compared to net assets of the Fund.	1.031857872207	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item		For derivatives, respond N/A to this Item and respond to
Payoff profile.	● Long ○ Short ○ N/A	
Item C.4. Asset and issuer type. Select the	ŭ	instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred	This trument among each of the following.
	Compareto	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	Yes       No	
for each portfolio investment among the follo		t investment companies, provide the liquidity classification(s) 17 CFR 270.22e-4]. For portfolio investments with multiple
i. Highly Liquid Investments	•	
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the thre	e circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e position have differing liquidity features tha views; or (3) if the fund chooses to classify th ing it on the sizes it would reasonably anticip	ding attributable to multiple classification categories only in the t justify treating the portions separately; (2) if a fund has be position through evaluation of how long it would take to least trading). In (1) and (2), a fund would classify using the
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valuet asset value used as the practical expe	ue Measurement). [1/2/3] Report "N/A" if t	asurements fall pursuant to U.S. Generally Accepted he investment does not have a level associated with it (i.e.,
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ● 3 ○ N/A	

Item C.9. For debt securities

For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	O Yes O No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available).  If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse re	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer ar securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes <sup>®</sup> No
c. Is any portion of this investment on loan	○ Yes ● No

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

a. Name of issuer (if any).	NanoTerra, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Warrants for Series A-3 Preferred Stock expiring on 11/15/22
d. CUSIP (if any).	N/A
At least one of the following other identifiers	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	47508
Units	Other units
Description of other units.	Number of contracts/warrants
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	0
Exchange rate.	
Percentage value compared to net assets of the Fund.	0
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	● Long ○ Short ○ N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Derivative-equity
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	•
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	

Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Valunet anet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
	oncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase

b. Counterparty.

i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concerni subject to the repurchase agreement, those	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide:	
a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other).	Warrant
b. Counterparty. i. Provide the name and LEI (if any) of count	terparty (including a central counterparty).
Counterparty Record: 1	
Name of counterparty.	NanoTerra, Inc.
LEI (if any) of counterparty.	N/A
i. Type, selected from among the following (put, call). Respond call for warrants.	O Put  Call
ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants.	○ Written ● Purchased
3. If the reference instrument is neither a de	rivative or an index, the description of the reference instrument shall include the name of issuer and title of
issue, as well as CUSIP of the reference ins CUSIP, ISIN, and ticker are not available).	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if
CUSIP, ISIN, and ticker are not available).	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if
CUSIP, ISIN, and ticker are not available).  Name of issuer.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  :
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  CUSIP
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  :  CUSIP
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  :  CUSIP  N/A  ISIN (if CUSIP is not available)
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.  ISIN (if CUSIP is not available).	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  :  CUSIP  N/A  ISIN (if CUSIP is not available)
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.  ISIN (if CUSIP is not available).  Identifier.  Ticker (if CUSIP and ISIN are not available).	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  :  CUSIP  N/A  ISIN (if CUSIP is not available)  N/A  Ticker (if CUSIP and ISIN are not available)
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.  ISIN (if CUSIP is not available).  Identifier.  Ticker (if CUSIP and ISIN are not available).	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  CUSIP  N/A  ISIN (if CUSIP is not available)  N/A  Ticker (if CUSIP and ISIN are not available)  N/A
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.  ISIN (if CUSIP is not available).  Identifier.  Ticker (if CUSIP and ISIN are not available).  iv. Number of shares or principal amount of	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  CUSIP  N/A  ISIN (if CUSIP is not available)  N/A  Ticker (if CUSIP and ISIN are not available)  N/A  underlying reference instrument per contract.
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.  ISIN (if CUSIP is not available).  Identifier.  Ticker (if CUSIP and ISIN are not available).  iv. Number of shares or principal amount of Number of shares.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  :  CUSIP  N/A  ISIN (if CUSIP is not available)  N/A  Ticker (if CUSIP and ISIN are not available)  N/A  underlying reference instrument per contract.  47508
CUSIP, ISIN, and ticker are not available).  Name of issuer.  Title of issue.  At least one of the following other identifiers Identifier.  CUSIP.  Identifier.  ISIN (if CUSIP is not available).  Identifier.  Ticker (if CUSIP and ISIN are not available).  iv. Number of shares or principal amount of Number of shares.  v. Exercise price or rate.	trument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if  NANOTERRA, INC.  NANOTERRA, INC. SERIES A-3 PREFERRED  ::  CUSIP  N/A  ISIN (if CUSIP is not available)  N/A  Ticker (if CUSIP and ISIN are not available)  N/A  underlying reference instrument per contract.  47508  2.1049

ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number.	0
Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   ● No
c. Is any portion of this investment on loan by the Fund?	○ Yes <sup>®</sup> No
NPORT-P: Part C: Schede	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	OpGen, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	549300ZOCQGHZ50BYX61
c. Title of the issue or description of the investment.	Warrants for the Purchase of Common Stock expiring 2/17/25
d. CUSIP (if any).	68373L133
At least one of the following other identifiers	:
Identifier.	ISIN
ISIN	US68373L1338
ISIN Identifier.	US68373L1338  Ticker (if ISIN is not available)
Identifier.	Ticker (if ISIN is not available)
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.	Ticker (if ISIN is not available)
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is expres	Ticker (if ISIN is not available)  OPGNW
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.	Ticker (if ISIN is not available)  OPGNW  sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance	Ticker (if ISIN is not available)  OPGNW  seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance  Units	Ticker (if ISIN is not available)  OPGNW  seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  62  Other units
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which	Ticker (if ISIN is not available)  OPGNW  seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  62  Other units  Number of contracts/warrants
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the	Ticker (if ISIN is not available)  OPGNW  seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  62  Other units  Number of contracts/warrants  United States Dollar
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	Ticker (if ISIN is not available)  OPGNW  seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  62  Other units  Number of contracts/warrants  United States Dollar
Identifier.  Ticker (if ISIN is not available).  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance  Units  Description of other units.  Currency. Indicate the currency in which the investment is denominated.  Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.  Exchange rate.  Percentage value compared to net assets of the Fund.	Ticker (if ISIN is not available)  OPGNW  sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  62  Other units  Number of contracts/warrants  United States Dollar  0  et following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to

Item C.4. Asset and issuer type. Select the category that most closely identifies the instrument among each of the following:

Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Derivative-equity
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	○ Yes   No
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification category	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity v	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
Item C.8. Indicate the level within the fair v Accounting Principles (ASC 820, Fair Valunet asset value used as the practical expe	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
<ul> <li>iii. Description of the reference instrument, reference instrument, ISIN (if CUSIP is not available).</li> <li>If other identifier provided, indicate the type</li> </ul>	including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse re	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes ◎ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are e securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide:	I
a. Type of derivative instrument that most closely represents the investment, selected from among the following (forward, future, option, swaption, swap (including but not limited to total return swaps, credit default swaps, and interest rate swaps), warrant, other).	Warrant
b. Counterparty. i. Provide the name and LEI (if any) of cour	nterparty (including a central counterparty).
Counterparty Record: 1	
Name of counterparty.	OpGen, Inc.
LEI (if any) of counterparty.	N/A
i. Type, selected from among the following (put, call). Respond call for warrants.	© Put ● Call
ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants.	© Written <sup>®</sup> Purchased
<ol><li>If the reference instrument is neither a de issue, as well as CUSIP of the reference in CUSIP, ISIN, and ticker are not available).</li></ol>	erivative or an index, the description of the reference instrument shall include the name of issuer and title of strument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if
Name of issuer.	OPGEN INC.
Title of issue.	OPGEN INC. COM
At least one of the following other identifiers	3:
Identifier.	CUSIP

CUSIP.	68373L307
Identifier.	ISIN (if CUSIP is not available)
ISIN (if CUSIP is not available).	US68373L3078
Identifier.	Ticker (if CUSIP and ISIN are not available)
Ticker (if CUSIP and ISIN are not	OPGN
available).	OFGIN
iv. Number of shares or principal amount of	underlying reference instrument per contract.
Number of shares.	62
v. Exercise price or rate.	3300
vi. Exercise Price Currency Code	United States Dollar
vii. Expiration date.	2025-02-17
viii. Delta.	XXXX
ix. Unrealized appreciation or depreciation. Depreciation shall be	
reported as a negative number.	
Item C.12. Securities lending.	
Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes ● No
c. Is any portion of this investment on loan	© Yes ◉ No
by the Fund?	
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Parabellum Acquisition Partners, LLC
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a	N/A
series trust, report the LEI of the series.	
c. Title of the issue or description of the investment.	Membership Units
d. CUSIP (if any).	N/A
At least one of the following other identifiers	:
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN	N/A
are not available). Indicate the type of identifier used	
Description of other unique identifier.	Additional identifier not available.

### Item C.2. Amount of each investment.

Balance. Indicate whether amount is expressed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide the number of contracts.

Balance	693151

Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	5653288	
Exchange rate.		
Percentage value compared to net assets of the Fund.	6.724818174188	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item		For derivatives, respond N/A to this Item and respond to
Payoff profile.	■ Long  ■ Short  ■ N/A	
Item C.4. Asset and issuer type. Select the	category that most closely identifies the	instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
tem C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?		
Item C.7.	utfalia invastments of anon and managemen	t investment companies mayide the liquidity election(s)
a. Liquidity classification information. For pol for each portfolio investment among the follo liquidity classifications, indicate the percenta	owing categories as specified in rule 22e-4 [1	t investment companies, provide the liquidity classification(s) 7 CFR 270.22e-4]. For portfolio investments with multiple .
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
b. If attributing multiple classification categor applicable.	ies to the holding, indicate which of the three	e circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choose	to indicate the percentage amount of a hold	ding attributable to multiple classification categories only in the

following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
a. Matarity date.	
b. Coupon.	
<ul> <li>i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).</li> </ul>	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	© Yes <sup>©</sup> No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse re	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
•	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are
subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
subject to the repurchase agreement, those Item C.11. For derivatives, also provide:	
subject to the repurchase agreement, those Item C.11. For derivatives, also provide: Item C.12. Securities lending.	securities may be aggregated in responding to Items C.10.f.i-iii.
subject to the repurchase agreement, those Item C.11. For derivatives, also provide: Item C.12. Securities lending.  a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	
subject to the repurchase agreement, those  Item C.11. For derivatives, also provide:  Item C.12. Securities lending.  a. Does any amount of this investment represent reinvestment of cash collateral	securities may be aggregated in responding to Items C.10.f.i-iii.

## **NPORT-P: Part C: Schedule of Portfolio Investments**

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

registration statement, application, or report	to snarenoiders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Phylagen, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series A Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	<u>'</u>
	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	548031
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	175017
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.208189906898
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to m C.11.
Payoff profile.	■ Long  ■ Short  ■ N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide	Corporate

Item C.5. Country of investment or issuer.

a brief description.

Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted So	ecurity?
Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	
for each portfolio investment among the follo	rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification catego applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity with the control of	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
Item C.8. Indicate the level within the fair	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e.,
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	○ 1 ○ 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	O Yes O No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	O Yes O No
ii. Contingent convertible? [Y/N]	○ Yes ○ No

iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). If other identifier provided, indicate the type of identifier used.

v. Delta (if applicable).	
Item C.10. For repurchase and reverse re	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are e securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes ● No
c. Is any portion of this investment on loan by the Fund?	© Yes ● No
NPORT-P: Part C: Sched	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not	its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Potbelly Corporation
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	54930027NUULUR2P5W11
c. Title of the issue or description of the investment.	Warrants for the Purchase of Common Stock expiring 2/12/26
d. CUSIP (if any).	N/A
At least one of the following other identifiers	<u> </u>
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
	The state of the s
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	80605
Units	Other units
Description of other units.	Number of contracts/warrants

Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	161259	
Exchange rate.		
Percentage value compared to net assets of the Fund.	0.191824201058	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten		, N/A). For derivatives, respond N/A to this Item and respond to
Payoff profile.	■ Long  ■ Short  ■ N/A	
Item C.4. Asset and issuer type. Select the	category that most closely identifie	fies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Derivative-equity	
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate	
Item C.5. Country of investment or issuer.		
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
Item C.6. Is the investment a Restricted Se	ecurity?	
Is the investment a Restricted Security?	○ Yes   No	
Item C.7.		
<ul> <li>a. Liquidity classification information. For po for each portfolio investment among the folk liquidity classifications, indicate the percenta</li> </ul>	owing categories as specified in rule 22	agement investment companies, provide the liquidity classification(s) 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple ification.
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
b. If attributing multiple classification categorapplicable.	ries to the holding, indicate which of the	the three circumstances listed in the Instructions to Item C.7 is

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 © N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	● Yes ● No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes O No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
	including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not
	of identifier used.
If other identifier provided, indicate the type v. Delta (if applicable).	of identifier used.
If other identifier provided, indicate the type v. Delta (if applicable).	
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse repurchase	purchase agreements, also provide:
If other identifier provided, indicate the type v. Delta (if applicable).	
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse rep a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the	purchase agreements, also provide:
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse re a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	purchase agreements, also provide:
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse rep a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. b. Counterparty.  i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central	purchase agreements, also provide:  Repurchase Reverse repurchase  Yes No
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse reparents a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.  b. Counterparty.  i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	purchase agreements, also provide:  Repurchase Reverse repurchase  Yes No
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse reparts a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.  b. Counterparty.  i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.  ii. If N, provide the name and LEI (if any) of	purchase agreements, also provide:  Repurchase Reverse repurchase  Yes No  counterparty.
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse repurchase and reverse repurchase). Select the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. b. Counterparty. i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. ii. If N, provide the name and LEI (if any) of c. Tri-party?	purchase agreements, also provide:  Repurchase Reverse repurchase  Yes No  counterparty.
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse rep a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. b. Counterparty. i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. ii. If N, provide the name and LEI (if any) of c. Tri-party? d. Repurchase rate. e. Maturity date. f. Provide the following information concerns	purchase agreements, also provide:  Repurchase Reverse repurchase  Yes No  counterparty.
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse rep a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. b. Counterparty. i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. ii. If N, provide the name and LEI (if any) of c. Tri-party? d. Repurchase rate. e. Maturity date. f. Provide the following information concerns	purchase agreements, also provide: Repurchase Reverse repurchase  Yes No  counterparty. Yes No  ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are
If other identifier provided, indicate the type v. Delta (if applicable).  Item C.10. For repurchase and reverse rep a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral. b. Counterparty. i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty. ii. If N, provide the name and LEI (if any) of c. Tri-party? d. Repurchase rate. e. Maturity date. f. Provide the following information concerns subject to the repurchase agreement, those	purchase agreements, also provide: Repurchase Reverse repurchase  Yes No  counterparty. Yes No  ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are

Counterparty Record: 1

Name of counterparty.	Potbelly Corporation
LEI (if any) of counterparty.	N/A
i. Type, selected from among the following (put, call). Respond call for warrants.	○ Put ◎ Call
ii. Payoff profile, selected from among the following (written, purchased). Respond purchased for warrants.	○ Written ● Purchased
	rivative or an index, the description of the reference instrument shall include the name of issuer and title of strument, ISIN (if CUSIP is not available), ticker if (CUSIP and ISIN are not available), or other identifier (if
Name of issuer.	POTBELLY CORP
Title of issue.	POTBELLY CORP COM
At least one of the following other identifiers	:
Identifier.	CUSIP
CUSIP.	73754Y100
Identifier.	ISIN (if CUSIP is not available)
ISIN (if CUSIP is not available).	US73754Y1001
Identifier.	Ticker (if CUSIP and ISIN are not available)
Ticker (if CUSIP and ISIN are not available).	PBPB
iv. Number of shares or principal amount of	underlying reference instrument per contract.
Number of shares.	80605
v. Exercise price or rate.	5.45
vi. Exercise Price Currency Code	United States Dollar
vii. Expiration date.	2026-02-12
viii. Delta.	xxxx
ix. Unrealized appreciation or depreciation. Depreciation shall be reported as a negative number.	-55010
Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes ◎ No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes   No
c. Is any portion of this investment on loan by the Fund?	○ Yes ● No
NPORT-P: Part C: School	ule of Portfolio Investments

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

#### Item C.1. Identification of investment.

a. Name of issuer (if any).

**Potbelly Corporation** 

b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	54930027NUULUR2P5W11
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	73754Y100
At least one of the following other identifiers	:
Identifier.	ISIN
ISIN	US73754Y1001
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	PBPB
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	1585989
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not	7184530
denominated in U.S. dollars, provide the exchange rate used to calculate value.	
Exchange rate.	
Percentage value compared to net assets of the Fund.	8.546293398992
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	

Is the investment a Restricted Security?	○ Yes ◎ No
Item C.7.	
for each portfolio investment among the follo	rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification categorapplicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity v	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the ortion of the position.
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted le Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., idient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	<ul><li></li></ul>
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	O Yes O No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	O Yes O No
reference instrument, ISIN (if CUSIP is not a available).	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not
If other identifier provided, indicate the type	of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	

i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No	
ii. If N, provide the name and LEI (if any) of counterparty.		
c. Tri-party?	◎ Yes ◎ No	
d. Repurchase rate.		
e. Maturity date.		
	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.	
Item C.11. For derivatives, also provide: Item C.12. Securities lending.		
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes   ● No	
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes ● No	
c. Is any portion of this investment on loan by the Fund?	© Yes ● No	
NPORT-P: Part C: Schedu	ule of Portfolio Investments	
For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.		
Item C.1. Identification of investment.		
a. Name of issuer (if any).	Quantum Corporation	
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	5493007210175UIK7S88	
c. Title of the issue or description of the investment.	Common Stock	
d. CUSIP (if any).	747906501	
At least one of the following other identifiers	:	
Identifier.	ISIN	
ISIN	US7479065010	
Identifier.	Ticker (if ISIN is not available)	
Ticker (if ISIN is not available).	<b>QMCO</b>	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is expres the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide	
Balance	3102812	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	3351037	
Exchange rate.		

Percentage value compared to net assets of the Fund.	3.986196089776
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	● Long ○ Short ○ N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	ecurity?  © Yes ® No
Is the investment a Restricted Security?  Item C.7.	© Yes <sup>®</sup> No
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folional security.	
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folional security.	Yes No  ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folk liquidity classifications, indicate the percentage.	Yes No  ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folk liquidity classifications, indicate the percentain. Highly Liquid Investments	Yes No  ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folkliquidity classifications, indicate the percentai. Highly Liquid Investments  ii. Moderately Liquid Investments	Yes No  ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folk liquidity classifications, indicate the percentai. Highly Liquid Investments  ii. Moderately Liquid Investments  iii. Less Liquid Investments	Yes No  ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For portor each portfolio investment among the folk liquidity classifications, indicate the percentain. Highly Liquid Investments  ii. Moderately Liquid Investments  iii. Less Liquid Investments  iv. Illiquid Investments  Category.	Yes No  ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folk liquidity classifications, indicate the percentai. Highly Liquid Investments  ii. Moderately Liquid Investments  iii. Less Liquid Investments  iv. Illiquid Investments  Category.  b. If attributing multiple classification catego applicable.  Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	Prefolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.  N/A  pries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For portion for each portfolio investment among the folking liquidity classifications, indicate the percentain. Highly Liquid Investments  ii. Highly Liquid Investments  iii. Less Liquid Investments  iii. Less Liquid Investments  iv. Illiquid Investments  Category.  b. If attributing multiple classification categorapplicable.  Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the level within the fair size for each put let m. C.8. Indicate the le	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.  N/A  wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is  e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e.,
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For portion of each portfolio investment among the folking investment among the folking investment among the folking investment investments investments investments investments investments investments investments  It is a Liquid Investments  Category.  b. If attributing multiple classification categorapplicable.  Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity ilquidate the entire position (rather than bas reasonably anticipated trade size for each put in the fair investments in the counting Principles (ASC 820, Fair Value Accounting Principles (ASC 820, Fair Value	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.  N/A  wries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is  e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted us Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e.,
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For pofor each portfolio investment among the folkiliquidity classifications, indicate the percentain. Highly Liquid Investments  ii. Highly Liquid Investments  iii. Less Liquid Investments  iii. Less Liquid Investments  iv. Illiquid Investments  Category.  b. If attributing multiple classification categorapplicable.  Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity in liquidate the entire position (rather than bas reasonably anticipated trade size for each position that the fair value asset value used as the practical expensions.  Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement).  [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.  N/A  pries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the exposition have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ising it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  The position of the position.  The position of the position.  The position of the position.
Is the investment a Restricted Security?  Item C.7.  a. Liquidity classification information. For portion for each portfolio investment among the folking investment among the folking investment among the folking investments investments investments investments investments  ii. Moderately Liquid Investments  iii. Less Liquid Investments  iii. Less Liquid Investments  iv. Illiquid Investments  Category.  b. If attributing multiple classification categorapplicable.  Instructions to Item C.7 Funds may choose following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity liquidate the entire position (rather than base reasonably anticipated trade size for each put in the fair value asset value used as the practical expension in the substantial pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.  N/A  pries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is the to indicate the percentage amount of a holding attributable to multiple classification categories only in the exposition have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ising it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  The position of the position.  The position of the position.  The position of the position.

b. Coupon.

i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	© Yes ◎ No
ii. Contingent convertible? [Y/N]	© Yes <sup>©</sup> No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not available).  If other identifier provided, indicate the type	including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used
v. Delta (if applicable).	
Item C.10. For repurchase and reverse re	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	© Yes <sup>©</sup> No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	Yes      No
c. Is any portion of this investment on loan by the Fund?	© Yes ● No
NPORT-P: Part C: Sched	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the secur period covered by this report, and have not	its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information acceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Ravenna Pharmaceuticals, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A

c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	:
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	2785274
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	16414
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.019525126883
Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter Payoff profile.	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.  © Long © Short © N/A
the relevant payoff profile question in Iter Payoff profile.	n C.11.
the relevant payoff profile question in Iter Payoff profile.	n C.11.
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other,"	n C.11. <ul> <li>■ Long</li> <li>■ Short</li> <li>■ N/A</li> <li>e category that most closely identifies the instrument among each of the following:</li> </ul>
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivative-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide	© Long © Short © N/A  e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	© Long © Short © N/A  e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.  Item C.5. Country of investment or issuer Report the ISO country code that corresponds to the country where the	© Long Short N/A  e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.  Item C.5. Country of investment or issuer Report the ISO country code that corresponds to the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and	Long Short N/A e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate  UNITED STATES OF AMERICA
the relevant payoff profile question in Iter Payoff profile.  Item C.4. Asset and issuer type. Select the Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.  Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.  Item C.5. Country of investment or issuer Report the ISO country code that corresponds to the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	Long Short N/A e category that most closely identifies the instrument among each of the following:  Equity-common  Corporate  UNITED STATES OF AMERICA

liquidity classifications, indicate the percentage	age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
applicable.  Instructions to Item C.7 Funds may choose	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the e position have differing liquidity features that justify treating the portions separately; (2) if a fund has
multiple sub-advisers with differing liquidity	views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the
	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 © N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	◎ Yes ◎ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). If other identifier provided, indicate the type of identifier used.	
v. Delta (if applicable).	
v. Boka (ii applicable).	
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	
ii. If N. provide the name and I FI (if any) of	counterparty

c. Tri-party?	○ Yes ○ No	
d. Repurchase rate.		
. Malagraphy		
e. Maturity date.		
	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.	
Item C.11. For derivatives, also provide: Item C.12. Securities lending.		
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	○ Yes ◎ No	
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes ◎ No	
c. Is any portion of this investment on loan by the Fund?	○ Yes ● No	
NPORT-P: Part C: Schedu	ule of Portfolio Investments	
For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.		
Item C.1. Identification of investment.		
a. Name of issuer (if any).	RF Industries, Ltd.	
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	529900MVE73NJ5FHP926	
c. Title of the issue or description of the investment.	Common Stock	
d. CUSIP (if any).	749552105	
At least one of the following other identifiers		
Identifier.	ISIN	
ION		
ISIN	US7495521053	
Identifier.	Ticker (if ISIN is not available)	
Ticker (if ISIN is not available).	RFIL	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is expres the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide	
Balance	336470	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	1934703	
Exchange rate.		
Percentage value compared to net assets of the Fund.	2.30140864857	
Item C.3. Indicate payoff profile among the	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to	

the relevant payoff profile question in Item C.11.

Item C.4. Asset and issuer type. Select th	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S.	Corporate
government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	
Item C.5. Country of investment or issuer	<b>.</b>
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	Security?
Is the investment a Restricted Security?	© Yes   No
Item C.7.	
for each portfolio investment among the foll	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) lowing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
<b>.</b>	pries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choos following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity	se to indicate the percentage amount of a holding attributable to multiple classification categories only in the ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.
Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Val net asset value used as the practical exp	value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	● 1 ○ 2 ○ 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	© Yes <sup>©</sup> No

d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	● Yes ● No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	○ Yes   No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Seaport Diagnostics, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series A Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	

At least one of the following other identiliers

Identifier.

Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used

Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	ssed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	1364666
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	0
Exchange rate.	
Percentage value compared to net assets of the Fund.	0
Item C.3. Indicate payoff profile among th the relevant payoff profile question in Iter	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	■ Long  ○ Short  ○ N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	ecurity?
Is the investment a Restricted Security?	● Yes ◎ No
Item C.7.	
for each portfolio investment among the foll	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
	<del></del>

b. If attributing multiple classification categories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is applicable.

Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., not asset value used as the practical expedient)

net asset value used as the practical expe	edient).
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ● 3 ○ N/A
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	○ Yes ○ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	○ Yes ○ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	○ Yes ○ No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	○ Yes ○ No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	

f. Provide the following information concerning the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are subject to the repurchase agreement, those securities may be aggregated in responding to Items C.10.f.i-iii.

Item C.11. For derivatives, also provide:

a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No	
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	○ Yes ● No	
c. Is any portion of this investment on loan by the Fund?	© Yes ● No	
NPORT-P: Part C: Schedu	ule of Portfolio Investments	
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.	
Item C.1. Identification of investment.		
a. Name of issuer (if any).	Seaport Diagnostics, Inc.	
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A	
c. Title of the issue or description of the investment.	Series A-2 Convertible Preferred Stock	
d. CUSIP (if any).	N/A	
At least one of the following other identifiers	:	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A	
Description of other unique identifier.	Additional identifier not available.	
Item C.2. Amount of each investment.		
Balance. Indicate whether amount is expres the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide	
Balance	176386	
Units	Number of shares	
Description of other units.		
Currency. Indicate the currency in which the investment is denominated.	United States Dollar	
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	0	
Exchange rate.		
Percentage value compared to net assets of the Fund.	0	
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.	
Payoff profile.	● Long    ○ Short    ○ N/A	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:	
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common,	Equity-preferred	

(e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.

Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer	1.
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	Security?
Is the investment a Restricted Security?	Yes      No
Item C.7.	
for each portfolio investment among the foll	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) lowing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification categorapplicable.	ories to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C.7 Funds may choos	se to indicate the percentage amount of a holding attributable to multiple classification categories only in the
multiple sub-advisers with differing liquidity	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each place. Indicate the level within the fair	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the cortion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e.,
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value)	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expelindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant counting Principles (ASC 820, Fair Value asset value used as the practical expelling liquid to the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plane C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expendicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient). Item C.9. For debt securities	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plane. Item C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expendicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plant counting Principles (ASC 820, Fair Value net asset value used as the practical expension of the process of the level within the fair value hierarchy in which the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expellindicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).  ii. Annualized rate.	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each pleasonably anticipated (ASC 820, Fair Value net asset value used as the practical experimental principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).  ii. Annualized rate.  c. Currently in default? [Y/N]  d. Are there any interest payments in arrears or have any coupon payments	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted ue Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., edient).  1 2 3 N/A  Yes No
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expension of the interaction of the interest paid in kind? [Y/N] end to substitute of the interest paid in kind? [Y/N] end to substitute of the interest paid in kind? [Y/N] end to substitute of the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient).  1 2 3 N/A  Yes No  Yes No
multiple sub-advisers with differing liquidity liquidate the entire position (rather than bas reasonably anticipated trade size for each plem C.8. Indicate the level within the fair Accounting Principles (ASC 820, Fair Value net asset value used as the practical expension of the level within the fair value hierarchy in which the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).  Item C.9. For debt securities  For debt securities, also provide:  a. Maturity date.  b. Coupon.  i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).  ii. Annualized rate.  c. Currently in default? [Y/N]  d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]  e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	ne position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to sing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the portion of the position.  value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted use Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e. edient).  1 2 3 N/A  Yes No  Yes No

	ncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	urchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
	ng the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	O Yes O No
c. Is any portion of this investment on loan by the Fund?	○ Yes ● No
NPORT-P: Part C: Schedu	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the securi period covered by this report, and have not	s consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information ceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ties so listed are not restricted, have been held for not more than one year prior to the end of the reporting been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Seaport Diagnostics, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Series 1 Convertible Preferred Stock
d. CUSIP (if any).	N/A
At least one of the following other identifiers	
Identifier.	Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	N/A
Description of other unique identifier.	Additional identifier not available.
Item C.2. Amount of each investment.	
	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
the number of contracts.	sed in number of shares, principal amount, of other units. For derivatives contracts, as applicable, provide

Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	0
Exchange rate.	
Percentage value compared to net assets of the Fund.	0
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Iten	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-preferred
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal,	Corporate
non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Se	ecurity?
Is the investment a Restricted Security?	● Yes ○ No
Item C.7.	Market and the second
for each portfolio investment among the follo	rtfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
b. If attributing multiple classification categorapplicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
Instructions to Item C 7 Funds may choose	e to indicate the percentage amount of a holding attributable to multiple classification categories only in the

**Instructions to item C.7** Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ® 3 © N/A
Item C.9. For debt securities For debt securities, also provide:	
a. Maturity date.	
·	
<ul> <li>b. Coupon.</li> <li>i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).</li> </ul>	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	● Yes ● No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	◎ Yes ◎ No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available). If other identifier provided, indicate the type	oncluding the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	purchase agreements, also provide:  □ Repurchase □ Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	● Yes ● No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are e securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	
c. Is any portion of this investment on loan by the Fund?	© Yes <sup>®</sup> No

# **NPORT-P: Part C: Schedule of Portfolio Investments**

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

registration statement, application, or report	to shareholders of otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Synchronoss Technologies, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	5493007Z5J8Z2TA76376
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	87157B103
At least one of the following other identifiers	:
Identifier.	ISIN
ISIN	US87157B1035
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	SNCR
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	4546606
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	5183131
Exchange rate.	
Percentage value compared to net assets of the Fund.	6.165547120189
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate

Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA	
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.		
tem C.6. Is the investment a Restricted Se	curity?	
Is the investment a Restricted Security?	○ Yes   No	
Item C.7.		
for each portfolio investment among the follo		investment companies, provide the liquidity classification(s) CFR 270.22e-4]. For portfolio investments with multiple
i. Highly Liquid Investments		
ii. Moderately Liquid Investments		
iii. Less Liquid Investments		
iv. Illiquid Investments		
Category.	N/A	
h. If attributing multiple classification categor	ries to the holding indicate which of the three	circumstances listed in the Instructions to Item C.7 is
applicable.	ies to the notating, indicate which of the three v	circumstances listed in the instituctions to term 0.7 is
following circumstances: (1) if portions of the multiple sub-advisers with differing liquidity v	e position have differing liquidity features that j riews; or (3) if the fund chooses to classify the ng it on the sizes it would reasonably anticipat	ng attributable to multiple classification categories only in the justify treating the portions separately; (2) if a fund has position through evaluation of how long it would take to ted trading). In (1) and (2), a fund would classify using the
Item C.8. Indicate the level within the fair v Accounting Principles (ASC 820, Fair Valu net asset value used as the practical expe	e Measurement). [1/2/3] Report "N/A" if the	surements fall pursuant to U.S. Generally Accepted e investment does not have a level associated with it (i.e.,
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).		
tem C.9. For debt securities		
For debt securities, also provide:		
a. Maturity date.		
b. Coupon.		
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).		
ii. Annualized rate.		
c. Currently in default? [Y/N]	○ Yes ○ No	
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	O Yes O No	
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	O Yes O No	
f. For convertible securities, also provide:		
i. Mandatory convertible? [Y/N]	○ Yes ○ No	
ii. Contingent convertible? [Y/N]	○ Yes ○ No	
reference instrument, ISIN (if CUSIP is not a available).	available), ticker (if CUSIP and ISIN are not ava	currency in which denominated, as well as CUSIP of ailable), or other identifier (if CUSIP, ISIN, and ticker are not
If other identifier provided, indicate the type	of identifier used.	
v. Delta (if applicable).		

Item C.10. For repurchase and reverse re	ourchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concern subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	○ Yes ◎ No
NPORT-P: Part C: Sched	ule of Portfolio Investments
for securities in an aggregate amount not ex securities in Part C, provided that the secur	ts consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information sceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those ities so listed are not restricted, have been held for not more than one year prior to the end of the reporting
registration statement, application, or report	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
registration statement, application, or report Item C.1. Identification of investment.	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
registration statement, application, or report	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any
registration statement, application, or report Item C.1. Identification of investment.	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.
registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC
registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A
registration statement, application, or report  Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.
registration statement, application, or report  Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.
registration statement, application, or report  Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.  N/A
registration statement, application, or report  Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.  N/A  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used
registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.  N/A  Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A
registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.  N/A  Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A
registration statement, application, or report  Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.    Valo Health LLC
registration statement, application, or report Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.  N/A  Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A  Additional identifier not available.
registration statement, application, or report  Item C.1. Identification of investment.  a. Name of issuer (if any).  b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.  c. Title of the issue or description of the investment.  d. CUSIP (if any).  At least one of the following other identifiers Identifier.  Other unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  Description of other unique identifier.  Item C.2. Amount of each investment.  Balance. Indicate whether amount is express the number of contracts.  Balance	been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any to shareholders or otherwise made available to the public.  Valo Health LLC  N/A  Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc.  N/A  Cother unique identifier (if ticker and ISIN are not available). Indicate the type of identifier used  N/A  Additional identifier not available.  Additional identifier not available.  Seed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide  3012461

Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	2962893
Exchange rate.	
Percentage value compared to net assets of the Fund.	3.524482866356
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	■ Long   Short   N/A
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	OTHER
If "other", provide a brief description.	Other Financial Instrument
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate
Item C.5. Country of investment or issuer.	
Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted S	ecurity?
Is the investment a Restricted Security?	
Item C.7.	
for each portfolio investment among the follo	ortfolio investments of open-end management investment companies, provide the liquidity classification(s) owing categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple age amount attributable to each classification.
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
applicable.	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is e to indicate the percentage amount of a holding attributable to multiple classification categories only in the

**Instructions to Item C.7** Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the following circumstances: (1) if portions of the position have differing liquidity features that justify treating the portions separately; (2) if a fund has multiple sub-advisers with differing liquidity views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to liquidate the entire position (rather than basing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the reasonably anticipated trade size for each portion of the position.

Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	© 1 © 2 ® 3 © N/A
Item C.9. For debt securities For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	● Yes ● No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	◎ Yes ◎ No
ii. Contingent convertible? [Y/N]	© Yes <sup>©</sup> No
iii. Description of the reference instrument, i reference instrument, ISIN (if CUSIP is not a available).  If other identifier provided, indicate the type	including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not of identifier used.
v. Delta (if applicable).	
Item C.10. For repurchase and reverse rep	
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	© Yes <sup>©</sup> No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	○ Yes ○ No
d. Repurchase rate.	
e. Maturity date.	
	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are e securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide:	
Item C.12. Securities lending.  a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	

# **NPORT-P: Part C: Schedule of Portfolio Investments**

For each investment held by the Fund and its consolidated subsidiaries, disclose the information requested in Part C. A Fund may report information for securities in an aggregate amount not exceeding five percent of its total assets as miscellaneous securities in Part D in lieu of reporting those securities in Part C, provided that the securities so listed are not restricted, have been held for not more than one year prior to the end of the reporting period covered by this report, and have not been previously reported by name to the shareholders of the Fund or to any exchange, or set forth in any registration statement, application, or report to shareholders or otherwise made available to the public.

registration statement, application, or report	to snarenoiders or otherwise made available to the public.
Item C.1. Identification of investment.	
a. Name of issuer (if any).	Whole Earth Brands, Inc.
b. LEI (if any) of issuer. In the case of a holding in a fund that is a series of a series trust, report the LEI of the series.	N/A
c. Title of the issue or description of the investment.	Common Stock
d. CUSIP (if any).	96684W100
At least one of the following other identifiers	:
Identifier.	ISIN
ISIN	US96684W1009
Identifier.	Ticker (if ISIN is not available)
Ticker (if ISIN is not available).	FREE
Item C.2. Amount of each investment.	
Balance. Indicate whether amount is express the number of contracts.	sed in number of shares, principal amount, or other units. For derivatives contracts, as applicable, provide
Balance	122989
Units	Number of shares
Description of other units.	
Currency. Indicate the currency in which the investment is denominated.	United States Dollar
Value. Report values in U.S. dollars. If currency of investment is not denominated in U.S. dollars, provide the exchange rate used to calculate value.	472278
Exchange rate.	
Percentage value compared to net assets of the Fund.	0.561794070577
Item C.3. Indicate payoff profile among the the relevant payoff profile question in Item	e following categories (long, short, N/A). For derivatives, respond N/A to this Item and respond to n C.11.
Payoff profile.	
Item C.4. Asset and issuer type. Select the	e category that most closely identifies the instrument among each of the following:
Asset type (short-term investment vehicle (e.g., money market fund, liquidity pool, or other cash management vehicle), repurchase agreement, equity-common, equity-preferred, debt, derivative-commodity, derivative-credit, derivative-equity, derivative-foreign exchange, derivative-interest rate, derivatives-other, structured note, loan, ABS-mortgage backed security, ABS-asset backed commercial paper, ABS-collateralized bond/debt obligation, ABS-other, commodity, real estate, other). If "other," provide a brief description.	Equity-common
Issuer type (corporate, U.S. Treasury, U.S. government agency, U.S. government sponsored entity, municipal, non-U.S. sovereign, private fund, registered fund, other). If "other", provide a brief description.	Corporate

Report the ISO country code that corresponds to the country where the issuer is organized.	UNITED STATES OF AMERICA
If different from the country where the issuer is organized, also report the ISO country code that corresponds to the country of investment or issuer based on the concentrations of the risk and economic exposure of the investments.	
Item C.6. Is the investment a Restricted Security?	
Is the investment a Restricted Security?	© Yes <sup>®</sup> No
Item C.7.	
a. Liquidity classification information. For portfolio investments of open-end management investment companies, provide the liquidity classification(s) for each portfolio investment among the following categories as specified in rule 22e-4 [17 CFR 270.22e-4]. For portfolio investments with multiple liquidity classifications, indicate the percentage amount attributable to each classification.	
i. Highly Liquid Investments	
ii. Moderately Liquid Investments	
iii. Less Liquid Investments	
iv. Illiquid Investments	
Category.	N/A
	ries to the holding, indicate which of the three circumstances listed in the Instructions to Item C.7 is
applicable.  Instructions to Item C.7 Funds may choose to indicate the percentage amount of a holding attributable to multiple classification categories only in the	
following circumstances: (1) if portions of th multiple sub-advisers with differing liquidity	e position have differing liquidity features that justify treating the portions separately; (2) if a fund has views; or (3) if the fund chooses to classify the position through evaluation of how long it would take to ing it on the sizes it would reasonably anticipated trading). In (1) and (2), a fund would classify using the
Item C.8. Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles (ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	
Indicate the level within the fair value hierarchy in which the fair value measurements fall pursuant to U.S. Generally Accepted Accounting Principles 7(ASC 820, Fair Value Measurement). [1/2/3] Report "N/A" if the investment does not have a level associated with it (i.e., net asset value used as the practical expedient).	
Item C.9. For debt securities	
For debt securities, also provide:	
a. Maturity date.	
b. Coupon.	
i. Select the category that most closely reflects the coupon type among the following (fixed, floating, variable, none).	
ii. Annualized rate.	
c. Currently in default? [Y/N]	◎ Yes ◎ No
d. Are there any interest payments in arrears or have any coupon payments been legally deferred by the issuer? [Y/N]	◎ Yes ◎ No
e. Is any portion of the interest paid in kind? [Y/N] Enter "N" if the interest may be paid in kind but is not actually paid in kind or if the Fund has the option of electing in-kind payment and has elected to be paid in-kind.	© Yes <sup>©</sup> No
f. For convertible securities, also provide:	
i. Mandatory convertible? [Y/N]	○ Yes ○ No
ii. Contingent convertible? [Y/N]	○ Yes ○ No
iii. Description of the reference instrument, including the name of issuer, title of issue, and currency in which denominated, as well as CUSIP of reference instrument, ISIN (if CUSIP is not available), ticker (if CUSIP and ISIN are not available), or other identifier (if CUSIP, ISIN, and ticker are not available). If other identifier provided, indicate the type of identifier used.	
v. Delta (if applicable).	

item C. 10. For repurchase and reverse re	purchase agreements, also provide:
a. Select the category that reflects the transaction (repurchase, reverse repurchase). Select "repurchase agreement" if the Fund is the cash lender and receives collateral. Select "reverse repurchase agreement" if the Fund is the cash borrower and posts collateral.	Repurchase Reverse repurchase
b. Counterparty.	
i. Cleared by central counterparty? [Y/N] If Y, provide the name of the central counterparty.	● Yes ● No
ii. If N, provide the name and LEI (if any) of	counterparty.
c. Tri-party?	◎ Yes ◎ No
d. Repurchase rate.	
e. Maturity date.	
f. Provide the following information concern subject to the repurchase agreement, those	ing the securities subject to the repurchase agreement (i.e., collateral). If multiple securities of an issuer are securities may be aggregated in responding to Items C.10.f.i-iii.
Item C.11. For derivatives, also provide: Item C.12. Securities lending.	
a. Does any amount of this investment represent reinvestment of cash collateral received for loaned securities?	© Yes <sup>®</sup> No
b. Does any portion of this investment represent that is treated as a Fund asset and received for loaned securities?	© Yes <sup>®</sup> No
c. Is any portion of this investment on loan by the Fund?	© Yes ● No
NPORT-P: Part E: Explan	atory Notes (if any)
Fund may also explain any assumptions that	lieves would be helpful in understanding the information reported in response to any Item of this Form. The at it made in responding to any Item of this Form. To the extent responses relate to a particular Item, provide
the Item number(s), as applicable.	at it made in responding to any item of this rount. To the extent responses relate to a particular item, provide
Note Item number(s), as applicable.	B.3.a
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
Note Item	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset
Note Item Explanatory Notes	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.
Note Item  Explanatory Notes  Note Item	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i
Note Item  Explanatory Notes  Note Item  Explanatory Notes	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i  Not Applicable: No securities lending.
Note Item  Explanatory Notes  Note Item  Explanatory Notes  Note Item	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i  Not Applicable: No securities lending.  B.6.a
Note Item Explanatory Notes  Note Item Explanatory Notes  Note Item Explanatory Notes  NPORT-P: Signatures	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i  Not Applicable: No securities lending.  B.6.a
Note Item Explanatory Notes  Note Item Explanatory Notes  Note Item Explanatory Notes  NPORT-P: Signatures	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i  Not Applicable: No securities lending.  B.6.a  Not Applicable.
Note Item  Explanatory Notes  Note Item  Explanatory Notes  Note Item  Explanatory Notes  NPORT-P: Signatures  The Registrant has duly caused this report	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i  Not Applicable: No securities lending.  B.6.a  Not Applicable.  To be signed on its behalf by the undersigned hereunto duly authorized.
Note Item  Explanatory Notes  Note Item  Explanatory Notes  Note Item  Explanatory Notes  NPORT-P: Signatures  The Registrant has duly caused this report Registrant:	B.3.a  Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.  B.4.a.i  Not Applicable: No securities lending.  B.6.a  Not Applicable.  to be signed on its behalf by the undersigned hereunto duly authorized.  180 DEGREE CAPITAL CORP. /NY/
Note Item  Explanatory Notes  Note Item  Explanatory Notes  Note Item  Explanatory Notes  NPORT-P: Signatures  The Registrant has duly caused this report Registrant:  By (Signature):	B.3.a     Not Applicable: No debt position (convertible bridge notes, secured debt) exceeds 25% or more the Fund's net asset value.   B.4.a.i     Not Applicable: No securities lending.     B.6.a     Not Applicable.     Not Applicable.     Not Applicable     Not Applicable

Method of Valuation (1) Industry Shares/Principal Cost Value

Investments in Unaffiliated Equity and Equity-Related Securities (2) -

61.0% of net assets at value

Unaffiliated Publicly Traded Equity and Equity-Related Securities - 44.6% of net assets at value					
Alta Equipment Group, Inc.  Operates as an industrial and construction equipment company		Trading Companies & Distributors			
Common Stock (acquired 3/3/20-7/1/22)	(M)(L1)		492,335 \$	4,085,338 \$	5,420,608
Ascent Industries Co. (3)  Manufactures metals and chemicals		Steel			
Common Stock (acquired 12/7/20-8/10/22)	(M)(L1)		215,000	2,350,117	3,012,150
Commercial Vehicle Group, Inc. (3) Supplier of vehicle components		Construction Machinery & Heavy Trucks			
Common Stock (acquired 12/10/21-9/26/22)	(M) (L1)		515,248	3,348,583	2,318,616
comScore, Inc. (3) Provides technology and services that measure audiences, brands and consumer behavior		Advertising			
Common Stock (acquired 3/15/21-9/29/22)	(M)(L1)		2,763,171	8,070,275	4,559,232
D-Wave Quantum, Inc. (3)(5)(6)(7) Develops high-performance quantum computing systems		Technology Hardware, Storage & Peripherals			
Common Stock (acquired 8/5/22)	(M)(L3)		911,938	1,238,050	6,834,428
Envela Corporation (3) Wholesaler and retailer of jewelry and precious bullion		Specialty Stores			
Common Stock (acquired 7/28/21-2/9/22)	(M)(L1)		164,383	709,067	831,778
Intevac, Inc. (3)  Develops solutions for the application and engineering of thin-films		Technology Hardware, Storage & Peripherals			
Common Stock (acquired 1/20/22-9/28/22)	(M)(L1)		1,083,786	5,410,338	5,039,605

Industry

Shares/Principal

Cost

Value

37,478,388

Method of Valuation (1)

Investments in Unaffiliated Equity and Equity-Related Securities (2) -61.0% of net assets at value (cont.) **Unaffiliated Publicly Traded Equity and Equity-Related** 44.6% of net assets at value (cont.) Apparel, Accessories & Jerash Holdings US, Inc. Luxury Goods Manufactures read-made sport and outerwear in Jordan Common Stock (acquired 9/30/21-9/14/22) (M)(L1)135,617 \$ 862,705 \$ 575,016 Lantronix, Inc. (3) Communications Equipment Provides secure data access and management solutions Common Stock (acquired 9/18/18-9/23/22) (M)(L1)514,132 2,896,688 2,472,975 Technology Hardware, Quantum Corporation (3) Storage & Peripherals Provides high-density data storage and high-speed data processing solutions Common Stock (acquired 2/4/19-9/7/22) 3,102,812 8,843,263 3,351,037 (M)(L1)Electronic Manufacturing RF Industries, Ltd. (3) Services Provides products that enable wired and wireless communications Common Stock (acquired 4/12/21-9/16/22) (M)(L1)336,470 2,333,739 1,934,703 Whole Earth Brands, Inc. (3) Packaged Foods & Meats Sells natural alternatives, plant-based, clean label, and nonsugar products Common Stock (acquired 9/2/22-9/7/22) (M)(L1)122,989 602,470 472,278 Miscellaneous Common Stocks (4) (M)(L1)689,122 655,962

The accompanying notes are an integral part of this unaudited consolidated schedule of investments.

Total Unaffiliated Publicly Traded Equity and Equity-

Related Securities (cost: \$41,439,755)

Method of
Valuation (1) Industry Shares/Principal Cost Value

Investments in Unaffiliated Equity and Equity-Related Securities (2) -

Legacy Privately Held Equity and Equity-Related Securities

61.0% of net assets at value (cont.)

16.4% of net assets at value					
AgBiome, LLC (3)(5)		Fertilizers & Agricultural Chemicals			
Develops agricultural products that reduce risk and improve yield					
Series A-1 Convertible Preferred Units (acquired 1/30/13)	(H)(L3)		2,000,000	\$ 2,000,000	\$ 9,411,322
Series A-2 Convertible Preferred Units (acquired 4/9/13-10/15/13)	(H) (L3)		417,392	521,740	1,965,207
Series B Convertible Preferred Units (acquired 8/7/15)	(H)(L3)		160,526	500,006	766,907
				3,021,746	 12,143,436

AutoTech Ventures Management I, LLC (3)(5)(8)		Custody Banks			
Venture capital investing in automotive-related companies					
LLC Interests (acquired 12/1/17)	(M) (L3)		0	0	225,000
Magnolia Neurosciences Corporation (3)(5)(7)(9)		Pharmaceuticals			
Develops novel therapeutics for treatment of neurodegeneration					
Series A Convertible Preferred Stock (acquired 8/3/18)	(I) (L3)		862,872	862,872	151,002

Asset Management &

Nanosys, Inc. (3)(5)(7)(10)		Specialty Chemicals			
Develops inorganic nanowires and quantum dots for use in					
LED-backlit devices					
Series A-1 Convertible Preferred Stock (acquired 5/22/21)	(H) (L3)		496,572	496,573	207,709
Series A-2 Convertible Preferred Stock (acquired 5/22/21)	(H) (L3)		2,232,001	4,500,003	867,442
				4,996,576	1.075.151

Method of Valuation (1) Industry Shares/Principal Cost Value

Investments in Unaffiliated Equity and Equity-Related Securities (2) -

61.0% of net assets at value (cont.)

Legacy Privately H	leld Equity	and Equity-Related
Securities -		

16.4% of net assets at value (cont.)

10.4% of het assets at value (cont.)					
Phylagen, Inc. (3)(5)(7) Develops technology to improve human health and business productivity Series A Convertible Preferred Stock (acquired 11/14/18)	(M) (L3)	Research & Consulting Services	548,031 <u>\$</u>	233,845 \$	5 175,017
Ravenna Pharmaceuticals, Inc. (3)(5)(7)(9)(11)		Pharmaceuticals			
Holding company for intellectual property in oncology therapeutics					
Common Stock (acquired 5/14/20-8/26/21)	(M) (L3)		2,785,274	108,258	16,414
Seaport Diagnostics, Inc. (3)(5)(7)(9)(12) Develops consumer focused genetic tests Series 1 Convertible Preferred Stock (acquired 2/5/15-		Health Care Technology			
8/5/15)	(I) (L3)		1,195,315	500,000	0
Series A Convertible Preferred Stock (acquired 11/25/15-9/7/16)	(I) (L3)		1,364,666	1,500,000	0
Series A-2 Convertible Preferred Stock (acquired 5/11/17-2/8/18)	(I) (L3)		176,386	200,002	0
			_	2,200,002	0
Total Unaffiliated Legacy Privately Held Equity and Equity-Related Securities (cost: \$11,423,299)				\$	3 13,786,020

Total Investments in Unaffiliated Equity and Equity-Related Securities (cost: \$52,863,054)

51,264,408

Industry

Shares/Principal

Cost

2,100,000

300,332

Value

Method of Valuation (1)

Investments in Non-Controlled Affiliated Equity and **Equity-Related Securities (2)** -27.5% of net assets at value Non-Controlled Affiliated Publicly Traded Equity and **Equity-Related Securities -**26.8% of net assets at value Interactive Media & Arena Group Holdings, Inc. (3)(13) Services Provides a shared digital publishing, advertising and distribution platform Common Stock (acquired 12/18/20-1/24/22) (M)(L1)8,923,050 10,157,190 775,358 \$ Potbelly Corporation (3)(13) Restaurants Operates a chain of sandwich shops Common Stock (acquired 6/24/19-9/30/22) (M)(L1)7,062,228 7,184,530 1,585,989 Synchronoss Technologies, Inc. (3)(13) Application Software Provides white-label cloud storage, messaging and other digital analytic services Common Stock (acquired 7/17/20-9/19/22) (M)(L1)4,546,606 10,804,924 5,183,131 **Total Non-Controlled Affiliated Publicly Traded Equity** and Equity-Related Securities (cost: \$26,790,202) 22,524,851 Legacy Privately Held Equity and Equity-Related Securities -0.7% of net assets at value Health Care Equipment EchoPixel, Inc. (3)(5)(7) Develops virtual reality 3-D visualization software for life sciences and health care applications Series Seed Convertible Preferred Stock (acquired 6/21/13-(I)(L3)4,194,630 \$ 1,250,000 157,785 6/30/14) 1,476,668 500,000 56,720 Series Seed-2 Convertible Preferred Stock (acquired 1/22/16) (I)(L3)Series A-2 Convertible Preferred Stock (acquired 3/23/17) (I)(L3)1,471,577 350,000 85,827

Valuation (1) Industry Shares/Principal Cost Value

Method of

Investments in Non-Controlled Affiliated Equity and Equity-Related Securities (2) - 27.5% of net assets at value (cont.)

Legacy Privately	Held	Equity	and	<b>Equity-Related</b>	
Securities -					

Legacy Privately Held Equity and Equity-Related Securities -						
0.7% of net assets at value (cont.)						
HALE.life Corporation (3)(5)(7) Develops a platform to facilitate precision health and medicine		Health Care Technology				
Common Stock (acquired 3/1/16) Series Seed-1 Convertible Preferred Stock (acquired 3/28/17)	(I) (L3) (I) (L3)		1,000,000 11,000,000	\$ 10 1,896,920	\$	3,628 118,358
Series Seed-2 Convertible Preferred Stock (acquired 12/28/18)	(I) (L3)		12,083,132	2,500,000 4,396,930	_	147,994 269,980
Total Non-Controlled Affiliated Legacy Privately Held Equity and Equity-Related Securities (cost: \$6,496,930)					\$	570,312
Total Investments in Non-Controlled Affiliated Equity and Equity-Related Securities (cost: \$33,287,132)					\$	23,095,163
Controlled Affiliated Equity and Equity-Related Securities (2) - 6.7% of net assets at value						
Parabellum Acquisition Partners, LLC (3)(5) Holding company for Special Purpose Acquisition Company (SPAC) interests		Specialized Finance				
Membership Units (acquired 4/22/21)	(M) (L3)		693,151	\$ 2,717,646	\$	5,653,288
Total Controlled Affiliated Equity and Equity-Related Securities (cost: \$2,717,646)					\$	5,653,288
Total Investments in Publicly Traded Equity and Equity- Related Securities and Legacy Privately Held Equity and Equity-Related Securities (cost: \$88,867,832)					\$	80,012,859

Industry

Shares/Principal

Cost

Value

Method of Valuation (1)

Derivative Securities - 0.2% of net assets at value					
Unaffiliated Derivative Securities (2) - 0.0% of net assets at value					
Magnolia Neurosciences Corporation (3)(5)(7)(9)  Develops novel therapeutics for treatment of neurodegeneration  Warrants for the Purchase of Common Stock expiring 8/3/28 (acquired 8/26/21)	(I) (L3)	Pharmaceuticals	138,059	\$ 112,854	\$ 0
NanoTerra, Inc. (3)(5)(9) Develops surface chemistry and nano-manufacturing solutions		Research & Consulting Services			
Warrants for Series A-3 Preferred Stock expiring on 11/15/22 (acquired 11/15/12)	(I) (L3)		47,508	\$ 35,403	\$ 0
OpGen, Inc. (3) Developing tools for genomic sequence assembly and analysis Warrants for the Purchase of Common Stock expiring 2/17/25	(I) (L3)	Biotechnology	62	785	0
(acquired 5/5/15)  Total Unaffiliated Derivative Securities (cost: \$149,042)	(I) (L3)		02	 763	\$ 0
Non-Controlled Affiliated Derivative Securities (2) - 0.2% of net assets at value					
Potbelly Corporation (3)(13) Operates a chain of sandwich shops		Restaurants			
Warrants for the Purchase of Common Stock expiring 2/12/26 (acquired 2/10/21)	(I) (L3)		80,605	\$ 224,849	\$ 161,259
Total Non-Controlled Affiliated Derivative Securities (cost: \$224,849)					\$ 161,259
Total Derivative Securities (cost: \$373,891)					\$ 161,259
Total Investments (cost: \$89,241,723)					\$ 80,174,118

Method of				
Valuation (1)	Industry	Shares/Principal	Cost	Value

### Other Financial Instruments (14) -

Unaffiliated Rights to Payments (Illiquid) (2) - 3.7% of net assets at value					
Rights to Milestone Payments from Acquisition of BioVex Group, Inc. (acquired 3/4/11) (3)(5)(7)(15)	(I) (L3)	Biotechnology	\$ 548,998	\$ 548,998	\$ 161,695
Rights to Milestone Payments from Acquisition of TARA Biosystems, Inc. (acquired 4/1/22) (3)(5)(7)(16)	(I) (L3)	Pharmaceuticals	\$ 3,012,461	3,012,461	 2,962,893
Total Unaffiliated Rights to Payments (cost: \$3,561,459)					\$ 3,124,588
Total Investments in Publicly Traded and Privately Held Equity and Equity-Related Securities, Derivative Securities and Other Financial Instruments (cost: \$92,803,182)					\$ 83,298,706
OTHER ASSETS (INCLUDING CASH) MINUS LIABILITIES					\$ 767,329
NET ASSETS (equivalent to \$8.10 per share based on 10,373,820 shares of common stock outstanding)					\$ 84,066,035

Notes to Consolidated Schedule of Investments

- (1) See Note 1. <u>Investment Valuation Procedures.</u>
- (2) Investments in unaffiliated securities consist of investments in which the Company owns less than five percent of the voting shares of the portfolio company. Investments in non-controlled affiliated securities consist of investments in which the Company owns five percent or more, but less than 25 percent, of the voting shares of the portfolio company, or where the Company controls one or more seats on the portfolio company's board of directors but do not control the company. Investments in controlled affiliated securities consist of investments in which the Company owns 25 percent or more of the outstanding voting rights of the portfolio company or otherwise control the company, including control of a majority of the seats on the board of directors, or more than 25 percent of the seats on the board of directors, with no other entity or person in control of more director seats than us.
- (3) Represents a non-income producing investment. Investments that have not paid dividends or interest within the last 12 months or are on non-accrual status for at least 12 consecutive months are considered to be non-income producing.
- (4) The identities of these securities have been concealed while the Company completes a purchase or selling program for these securities.
- (5) The Company is subject to legal restrictions on the sale of all or a portion of our investment(s) in this company. The total amount of restricted securities held is \$29,968,636, or 35.6 percent of net assets.
- (6) D-Wave Quantum Inc., was formed through the merger of D-Wave Systems, Inc., and DPCM Capital, Inc., a special purpose acquisition company (SPAC). D-Wave Systems, Inc. was a legacy private portfolio holding of the Company. The Company initially invested in D-Wave Systems, Inc. starting in 2008 and through 2014, acquiring various classes of preferred stock.
- (7) These securities are held by the Company's wholly owned subsidiary, 180 Degree Private Holdings, LLC ("180PH"), which were transferred from the Company to 180PH in the fourth quarter of 2020. The acquisition dates of the securities reflect the dates such securities were obtained by the Company rather than the transfer date.
- (8) The Company received LLC Interests of 1.25 percent in AutoTech Ventures Management I, LLC ("AutoTech") pursuant to an Administrative Services Agreement between us and AutoTech and due to us following the termination of a former employee of the Company. These LLC Interests were separate from the compensation received for providing the administrative services under the agreement that were paid in cash. The LLC interests have a capital percentage of 0 percent.
- (9) Represents a non-operating entity that exists to collect future payments from licenses or other engagements, monetize assets for future distributions to investors and debt holders, or is in the process of shutting down and distributing remaining assets according to a liquidation waterfall.
- (10) The Company invested in Nanosys, Inc. in rounds of financing that occurred in 2003, 2004 and 2005. In May 2021, Nanosys completed a restructuring of its capitalization table that resulted in shares of Series C and Series D Preferred Stock being converted into shares of Series A-2 Preferred Stock and Series E Preferred Stock being converted into shares of Series A-1 Preferred Stock. The date of acquisition listed reflects the date of this conversion rather than the date of our investment in the company.
- (11) The Company received shares of Ravenna Pharmaceuticals, Inc., as part of the consideration of the acquisition of Petra Pharma Corporation.
- (12) ORIG3N, Inc., changed its name to Seaport Diagnostics, Inc. in April 2021.
- (13) The Company is the Investment Manager of a separately managed account ("SMA") that owns shares of these portfolio companies. Under our investment management agreement for this SMA, the Company has the right to control the votes of the securities held by the SMA. The Company has voting ownership between 5 percent and 25 percent in these companies when the shares held by us and our SMA are aggregated.

- (14) Other financial instruments are holdings of the Company that do not meet the definition of a security or a derivative.
- (15) If all the remaining milestones are met, the Company would receive \$4.1 million. There can be no assurance as to how much of these amounts the Company will ultimately realize or when they will be realized, if at all.
- (16) If all the remaining milestones are met and the time-based payments are completed, the Company would receive approximately \$5.9 million. Of this amount, approximately \$2.3 million are solely based on time between this statement date and April 2024. There can be no assurance as to how much of the milestone-based payments will ultimately be realized or when they will be realized, if at all.

#### **NOTE 1. INVESTMENT VALUATION PROCEDURES**

Investments are stated at "value" as defined in the 1940 Act and in the applicable regulations of the SEC and in accordance with GAAP. Value, as defined in Section 2(a)(41) of the 1940 Act, is (i) the market price for those securities for which a market quotation is readily available and (ii) the fair value as determined in good faith by, or under the direction of, the Board of Directors for all other assets. The Valuation Committee, comprised of all of the independent Board members, is responsible for determining the valuation of the Company's assets within the guidelines established by the Board of Directors. The Valuation Committee receives information and recommendations from management. An independent valuation firm also reviews select portfolio company valuations. The independent valuation firm does not provide independent valuations. The fair values assigned to these investments are based on available information and do not necessarily represent amounts that might ultimately be realized when that investment is sold, as such amounts depend on future circumstances and cannot reasonably be determined until the individual investments are actually liquidated or become readily marketable. The Valuation Committee values the Company's investment assets as of the end of each calendar quarter and as of any other time requested by the Board of Directors.

Accounting Standards Codification Topic 820, "Fair Value Measurements," ("ASC 820") defines fair value as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date (exit price). It applies fair value terminology to all valuations whereas the 1940 Act applies market value terminology to readily marketable assets and fair value terminology to other assets.

The main approaches to measuring fair value utilized are the market approach, the income approach and the hybrid approach.

- Market Approach (M): The market approach focuses on inputs and not techniques. The market approach may use quantitative inputs such as prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities and the values of market multiples derived from a set of comparable companies. The market approach may also use qualitative inputs such as progress toward milestones, the long-term potential of the business, current and future financing requirements and the rights and preferences of certain securities versus those of other securities. The selection of the relevant inputs used to derive value under the market approach requires judgment considering factors specific to the significance and relevance of each input to deriving value.
- Income Approach (I): The income approach focuses on techniques and not inputs. The income approach uses valuation techniques to convert future amounts (for example, revenue, cash flows or earnings) to a single present value amount (discounted). The measurement is based on the value indicated by current market expectations about those future amounts. Those valuation techniques include present value techniques; option-pricing models, such as the Black-Scholes-Merton formula (a closed-form model) and a binomial model (a lattice model), which incorporate present value techniques; and the multi-period excess earnings method, which is used to measure the fair value of certain assets.
- Hybrid Approach (H): The hybrid approach uses elements of both the market approach and the income approach. The hybrid approach calculates values using the
  market and income approach, individually. The resulting values are then distributed among the share classes based on probability of exit outcomes.

ASC Topic 820 classifies the inputs used to measure fair value by these approaches into the following hierarchy:

- Level 1 (L1): Unadjusted quoted prices in active markets for identical assets or liabilities. An active market for an asset or liability is a market in which transactions for the asset or liability occur with sufficient frequency and volume to provide pricing information on an ongoing basis.;
- <u>Level 2 (L2)</u>: Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly. These include quoted prices in active markets for similar assets or liabilities, or quoted prices for identical or similar assets or liabilities in markets that are not active, or inputs other than quoted prices that are observable for the asset or liability, either directly or indirectly, for substantially the full term of the financial instrument. Level 2 inputs are in those markets for which there are few transactions, the prices are not current, little public information exists or instances where prices vary substantially over time or among brokered market makers; and

• <u>Level 3 (L3):</u> Inputs to the valuation methodology are unobservable and significant to the fair value measurement. Unobservable inputs are those inputs that reflect our own assumptions that market participants would use to price the asset or liability based upon the best available information.

Financial assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement and are not necessarily an indication of risks associated with the investment.

## NOTE 2. FAIR VALUE OF INVESTMENTS

At September 30, 2022, our financial assets valued at fair value were categorized as follows in the fair value hierarchy:

		Fa	ir Value Measurement	at R	eporting Date Using:	
Description	ted Quoted Prices in Iarkets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)			Significant Unobservable Inputs (Level 3)	September 30, 2022
Legacy Privately Held Equity and Equity-Related Securities:						
Preferred Stock / Units	\$ 0	\$	0	\$	14,111,290	\$ 14,111,290
Common Stock / Membership Units	0		0		20,042	20,042
Warrants	0		0		0	0
LLC Interests	0		0		225,000	225,000
Publicly Traded Equity and Equity- Related Securities:						
Common Stock / Membership Units	\$ 53,168,811	\$	0	\$	12,487,716	\$ 65,656,527
Warrants	0		0		161,259	161,259
Total Investments:	\$ 53,168,811	\$	0	\$	27,005,307	\$ 80,174,118
Other Financial Instruments:						
Rights to Milestone Payments	\$ 0	\$	0	\$	3,124,588	\$ 3,124,588
Total Financial Assets:	\$ 53,168,811	\$	0	\$	30,129,895	\$ 83,298,706

### Significant Unobservable Inputs

The table below presents the valuation technique and quantitative information about the significant unobservable inputs utilized by the Company in the fair value measurements of Level 3 assets. Unobservable inputs are those inputs for which little or no market data exists and, therefore, require an entity to develop its own assumptions.

Value as of September 30, 2022		Valuation Approach(es)	Unobservable Input(s)	Range(s) (Weighted Average <sup>(a)</sup> )				
			Public Comparable Adjustment (Including Non-Performance Risk)	-5.4% - 0.0% (-5.0%)				
			Price Per Share	\$0.48 - \$5.61 (\$5.19)				
			Volatility	85.1% - 88.7% (85.3%)				
Preferred Stock/Units \$	3 13,218,587	Hybrid Approach	Time to Exit / Cash Flows (Years)	5.0 (5.0)				
	, ,	, ,,	Price Per Share	\$0.00 - \$0.30 (\$0.24)				
			Public Comparable Adjustment (Including Non-Performance					
			Risk)	-95.0%82.1% (-88.2%)				
			Volatility	90.0% - 102.2% (96.5%)				
Preferred Stock/Units	717,686	Income Approach	Time to Exit / Cash Flows (Years)	5.0 (5.0)				
			Public Comparable Adjustment (Including Non-Performance	52.20/ (.52.20/)				
Preferred Stock/Units	175,017	Mouleat Ammuaaah	Risk) Price Per Share	-53.3% (-53.3%)				
Fleieffed Stock/Offits	173,017	Market Approach	Public Comparable Adjustment (Including Non-Performance	\$0.32 (\$0.32)				
			Risk)	-95.0% (-95.0%)				
			Volatility	90.0% (90.0%)				
			Time to Exit (Years)	5.0 (5.0)				
Common Stock/Membership				,				
Units	3,628	Income Approach	Price Per Share	\$0.21 (\$0.21)				
			Probability of Successful SPAC Merger Transaction	75% (75%)				
			Volatility	70.0% - 75.6% (72.5%)				
			Time to Exit/Lapse of Restrictions (Years)	0.1 - 0.2 (0.1)				
			Discount for Lack of Marketability	-8.5%4.8% (-6.4%)				
Common Stock/Membership	12,504,130	Maulant Augus and	Price Per Share	eo 01 (eo 01)				
Units	12,304,130	Market Approach	Price Per Share  Price Per Share	\$0.01 (\$0.01)				
			Volatility	\$0.00 (\$0.00) 0.0% - 117.7% (66.1%)				
Warrants	161,259	Income Approach	Time to Exit (Years)	0.0 - 3.4 (3.4)				
LLC Profit Interests	225,000	Market Approach	Bid/Ask	\$150,000-\$300,000 (\$225,000)				
LLC FIGHT IIITEIESIS	223,000	магкет Арргоасп	Probability of Achieving Independent Milestones	12.5% - 100.0% (12.5%)				
			Probability of Achieving Dependent Milestones	3.1% - 18.3% (4.9%)				
Rights to Milestone Payments	3,124,588	Income Approach	Time to Cash Flows (Years)	0.2 - 10.3 (7.8)				
Total S		meome Approach	Time to Cash Flows (Teals)	0.2 - 10.3 (7.8)				

<sup>(</sup>a) Weighted average based on fair value at September 30, 2022.

#### Valuation Methodologies and Inputs for Level 3 Assets

The following sections describe the valuation techniques and significant unobservable inputs used to measure Level 3 assets.

#### Preferred Stock, Preferred Units, LLC Interests, Common Stock and Common Units

Preferred stock, preferred units, LLC interests, bridge notes, common stock and common units are valued by either a market, income or hybrid approach using internal models with inputs, most of which are not market observable. Common inputs for valuing Level 3 investments include prices from recently executed private transactions in a company's securities or unconditional firm offers, revenue multiples of comparable publicly traded companies, merger and acquisition ("M&A") transactions consummated by comparable companies, discounts for lack of marketability, rights and preferences of the class of securities we own as compared with other classes of securities the portfolio company has issued, particularly related to potential liquidity scenarios of an initial public offering ("IPO") or an acquisition transaction, estimated time to exit, volatilities of comparable publicly traded companies and management's best estimate of risk attributable to non-performance risk. Certain securities are valued using the present value of future cash flows.

We may also consider changes in market values for sets of comparable companies when recent private transaction information is not available and/or in consideration of non-performance risk. We define non-performance risk as the risk that the price per share (or implied valuation of a portfolio company) or the effective yield of a debt security of a portfolio company, as applicable, does not appropriately represent the risk that a portfolio company with negative cash flow will be: (a) unable to raise capital, will need to be shut down and will not return our invested capital; or (b) able to raise capital, but at a valuation significantly lower than the implied post-money valuation of the last round of financing. We assess non-performance risk for each private portfolio company quarterly. Our assessment of non-performance risk typically includes an evaluation of the financial condition and operating results of the company, the company's progress towards milestones, and the long-term potential of the business and technology of the company and how this potential may or may not affect the value of the shares owned by us. An increase to the non-performance risk or a decrease in the private offering price of a future round of financing from that of the most recent round would result in a lower fair value measurement and/or a change in the distribution of value among the classes of securities we own.

Option pricing models place a high weighting on liquidation preferences, which means that small differences in how the preferences are structured can have a material effect on the fair value of our securities at the time of valuation and also on future valuations should additional rounds of financing occur with senior preferences. As such, valuations calculated by option pricing models may not increase if 1) rounds of financing occur at higher prices per share, 2) liquidation preferences include multiples on investment, 3) the amount of invested capital is small and/or 4) liquidation preferences are senior to prior rounds of financing. Additionally, an increase in the volatility assumption generally increases the enterprise value calculated in an option pricing model. An increase in the time to exit assumption also generally increases the enterprise value calculated in an option pricing model. Variations in the expected time to exit or expected volatility assumptions have a significant impact on fair value.

#### Warrants

We use the Black-Scholes-Merton option-pricing model to determine the fair value of warrants held in our portfolio unless there is a publicly traded active market for such warrants or another indication of value such as a sale of the portfolio company. Option pricing models, including the Black-Scholes-Merton model, require the use of subjective input assumptions, including expected volatility, expected life, expected dividend rate, and expected risk-free rate of return. In the Black-Scholes-Merton model, variations in the expected volatility or expected term assumptions have a significant impact on fair value. Because certain securities underlying the warrants in our portfolio are not publicly traded, many of the required input assumptions are more difficult to estimate than they would be if a public market for the underlying securities existed.

An input to the Black-Scholes-Merton option-pricing model is the value per share of the type of stock for which the warrant is exercisable as of the date of valuation. This input is derived according to the methodologies discussed in "Preferred Stock, Preferred Units, LLC Interests, Bridge Notes, Common Stock and Common Units."

#### Rights to Milestone Payments

Rights to milestone payments are valued using a probability-weighted discounted cash flow model. As part of Amgen Inc.'s acquisition of our former portfolio company, BioVex Group, Inc., we are entitled to potential future milestone payments based upon the achievement of certain regulatory and sales milestones. We are also entitled to future payments from the acquisition of TARA Biosystems, Inc. by Valo Health, LLC. We assign probabilities to the achievements of the various milestones. Milestones identified as independent milestones can be achieved irrespective of the achievement of other contractual milestones. Dependent milestones are those that can only be achieved after another, or series of other, milestones are achieved. The interest rates used in these models are observable inputs from sources such as the published interest rates for corporate bonds of the acquiring or comparable companies.

### Changes in Valuation Approaches

During the period ended September 30, 2022, the following changes in valuation methodology occurred since December 31, 2021:

• We changed the valuation methodology of our securities of Nanosys, Inc., from the market approach to the hybrid approach to reflect financing and business related inputs.

# NOTE 3. INDUSTRY DIVERSIFICATION

The following table shows the percentage of our net assets invested by industry as of September 30, 2022.

Industry	Value as of September 30, 2022	% of Net Assets	Value as of September 30, 2022	% of Net Assets
Advertising Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	\$ 4,559,232 0 0	5.4% 0.0% 0.0%	\$ 4,559,232	5.4%
Apparel, Accessories & Luxury Goods Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	575,016 0 0	0.7% 0.0% 0.0%	575,016	0.7%
Application Software Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	0 5,183,131 0	0.0% 6.2% 0.0%	5,183,131	6.2%
Asset Management & Custody Banks Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	225,000 0 0	0.3% 0.0% 0.0%	225,000	0.3%
Biotechnology Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	161,695 0 0	0.2% 0.0% 0.0%	161,695	0.2%
Communications Equipment Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	2,472,975 0 0	2.9% 0.0% 0.0%	2,472,975	2.9%
Construction Machinery & Heavy Trucks Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	2,318,616 0 0	2.8% 0.0% 0.0%	2,318,616	2.8%
Electronic Manufacturing Services Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	1,934,703 0 0	2.3% 0.0% 0.0%	1,934,703	2.3%
Fertilizers & Agricultural Chemicals Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	12,143,436 0 0	14.4% 0.0% 0.0%	12,143,436	14.4%
Health Care Equipment Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	0 300,332 0	0.0% 0.4% 0.0%	300,332	0.4%
Health Care Technology Unaffiliated Portfolio Companies Non-Controlled Affiliated Portfolio Companies Controlled Affiliated Portfolio Companies	0 269,980 0	0.0% 0.3% 0.0%	269,980	0.3%

Industry	Value as of September 30, 2022	% of Net Assets	Value as of September 30, 2022	% of Net Assets
Interactive Media & Services	-		\$ 10,157,190	12.1%
Unaffiliated Portfolio Companies	\$ 0	0.0%		
Non-Controlled Affiliated Portfolio Companies	10,157,190	12.1%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Packaged Foods & Meats			472,278	0.6%
Unaffiliated Portfolio Companies	472,278	0.6%		
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Pharmaceuticals			3,130,309	3.7%
Unaffiliated Portfolio Companies	3,130,309	3.7%	.,,	
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Research & Consulting Services	U	0.070	175,017	0.2%
Unaffiliated Portfolio Companies	175,017	0.2%	173,017	0.270
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Restaurants	U	0.070	7,345,789	8.7%
	0	0.0%	7,343,769	0.770
Unaffiliated Portfolio Companies  Non Controlled Affiliated Portfolio Companies	7,345,789	8.7%		
Non-Controlled Affiliated Portfolio Companies	7,343,789			
Controlled Affiliated Portfolio Companies	Ü	0.0%	5 (52 200	C 70/
Specialized Finance	0	0.00/	5,653,288	6.7%
Unaffiliated Portfolio Companies	0	0.0%		
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	5,653,288	6.7%		
Specialty Chemicals			\$1,075,151	1.3%
Unaffiliated Portfolio Companies	1,075,151	1.3%		
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Specialty Stores			831,778	1.0%
Unaffiliated Portfolio Companies	831,778	1.0%		
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Steel			3,012,150	3.6%
Unaffiliated Portfolio Companies	3,012,150	3.6%		
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Technology Hardware, Storage & Peripherals			15,225,070	18.1%
Unaffiliated Portfolio Companies	15,225,070	18.1%		
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Trading Companies & Distributors			5,420,608	6.4%
Unaffiliated Portfolio Companies	5,420,608	6.4%	, ,,	
Non-Controlled Affiliated Portfolio Companies	0	0.0%		
Controlled Affiliated Portfolio Companies	0	0.0%		
Companie	· ·	0.070		

Industry	Valu	ie as of September 30, 2022	% of Net Assets	as of September 30, 2022	% of Net Assets
Miscellaneous Common Stocks Industries				\$ 655,962	0.8%
Unaffiliated Portfolio Companies	\$	655,962	0.8%		
Non-Controlled Affiliated Portfolio Companies		0	0.0%		
Controlled Affiliated Portfolio Companies		0	0.0%		
Total	\$	83,298,706		\$ 83,298,706	

### NOTE 4. COMMITMENTS AND CONTINGENCIES

Portfolio companies may seek additional capital in the future and any decision by the Company to not participate in the round of financing could result in outcomes that negatively impact the value of the Company's securities of those portfolio companies.

On March 23, 2017, we signed a month-to-month lease for approximately 1,250 square feet of office space located at 7 N. Willow Street, Suite 4B, Montclair, New Jersey ("Montclair Office"). On November 17, 2021, we signed a lease extension for our Montclair Office through December 31, 2024. Upon an event of default, the lease provides that the landlord may terminate the lease and require us to pay all rent that would have been payable during the remainder of the lease or until the date the landlord reenters the premises.

## NOTE 5. INVESTMENTS AND ADVANCES TO AFFILIATES - SCHEDULE 12-14 (UNAUDITED)

Name of Issuer	Title of Issue or Nature of Indebtedness (A)	Amount Dividend Interest Cro to Income	s or edited	let Realized Gain (Loss)	Value as of ecember 31, 2021	 Gı	ross Additions (C)	Re	Gross eductions (D)	Net Change in Unrealized Appreciation (Depreciation)	Value as of ptember 30, 2022
CONTROLLED AFFILIATED SECURITIES(E):											
LS180 Acquisition Holdings, LLC	Membership Units Promissory Note	\$	0	\$ (13,000) (132,391)	\$ 13,000 100,000	\$	0	\$	(13,000) (100,000)	\$ 0	\$ 0
Parabellum Acquisition Partners, LLC	Membership Units	\$	0		\$ 6,719,700	\$	0	\$	(1,066,412)	\$ (1,066,412)	\$ 5,653,288
Total Controlled Affiliated Securities		\$	0	\$ (145,391)	\$ 6,832,700	\$	0	\$	(1,179,412)	\$ (1,066,412)	\$ 5,653,288
NON-CONTROLLED AFFILIATED LEGACY PRIVATELY HELD SECURITIES(F):											
EchoPixel, Inc.	Series Seed Convertible Preferred Stock	\$	0		\$ 520,097	\$	0	\$	(362,312)	\$ (362,312)	\$ 157,785
	Series Seed-2 Convertible Preferred Stock		0		186,084		0		(129,364)	(129,364)	56,720
	Series A-2 Convertible Preferred Stock		0		238,390		0		(152,563)	(152,563)	85,827
Essential Health Solutions, Inc.	Series A Convertible Preferred Stock	\$	0	\$ (2,750,000)	\$ 0	\$	0	\$	0	\$ 2,750,000	\$ 0
	Common Stock Convertible Bridge Note		0 0	(20) (50,000)	0		0		0	20 50,000	0
HALE.life Corporation	Common Stock	\$	0	(30,000)	\$	\$	0	\$	(27,527)	\$ (27,527)	\$ 3,628
	Series Seed-1 Convertible Preferred Stock		0		561,053		0		(442,695)	(442,695)	118,358
	Series Seed-2 Convertible Preferred Stock		0		668.023		0		(520,029)	(520,029)	147,994
TARA Biosystems, Inc.	Common Stock	\$	0	\$ (20)	\$ 319,446	\$	0	\$	(319,446)	\$ (319,426)	\$ 0
	Series A Convertible Preferred Stock		0	418,181	2,670,081		0		(2,670,081)	(124,588)	0
	Series A-2 Convertible Preferred Stock		0	16,427	80,964		0		(80,964)	19,037	0
Total Non-Controlled Affiliated Legacy Privately Held Securities		\$	0	\$ (2,365,432)	\$ 5,275,293	\$	0	\$	(4,704,981)	\$ 740,553	\$ 570,312

	Title of Issue or Nature of	Amount of Dividends Interest Crea	or lited		Realized	Value as of ecember 31,		Gross	_	Gross		Net Change in Unrealized Appreciation	Value as of eptember 30,
Name of Issuer	Indebtedness (A)	to Income (	(B)	Gair	ı (Loss)	 2021	A	dditions (C)	К	eductions (D)	_	(Depreciation)	2022
NON-CONTROLLED AFFILIATED PUBLICLY TRADED SECURITIES(F):													
Arena Group Holdings, Inc.	Common Stock	\$	0	\$	24,586	\$ 9,993,959	\$	163,231	\$	0	\$	(759,818)	\$ 10,157,190
Potbelly Corporation	Common Stock	\$	0	\$	0	\$ 8,454,610	\$	0	\$	(1,270,080)	\$	(1,629,769)	\$ 7,184,530
	Warrants for Common Stock		0		0	205,313		0		(44,054)		(44,054)	161,259
Synchronoss Technologies, Inc.	Common Stock	\$	0	\$	(686,047)	\$ 9,961,490	\$	0	\$	(4,778,359)	\$	(4,735,359)	\$ 5,183,131
Total Non-Controlled Affiliated Publicly Traded Securities		\$	0	\$	(661,461)	\$ 28,615,372	\$	163,231	\$	(6,092,493)	\$	(7,169,000)	\$ 22,686,110
Total Controlled, Non- Controlled Affiliated Securities		\$	0	\$ (3	3,172,284)	\$ 40,723,365	\$	163,231	\$	(11,976,886)	\$	(7,494,859)	\$ 28,909,710

- (A) Common stock, warrants, membership units and, in some cases, preferred stock are generally non-income producing and restricted. The principal amount of debt and the number of shares of common and preferred stock and number of membership units are shown in the accompanying Consolidated Schedule of Investments as of September 30, 2022.
- (B) Represents the total amount of interest or dividends credited/(debited) to income for the portion of the period an investment was a control or affiliate investment, as appropriate. Amounts credited to preferred or common stock represent accrued bridge note interest related to conversions that occurred during the period ended September 30, 2022.
- (C) Gross additions include increases in investments resulting from new portfolio investments, paid-in-kind interest or dividends, the amortization of discounts and fees. Gross additions also include net increases in unrealized appreciation or decreases in unrealized depreciation.
- (D) Gross reductions include decreases in investments resulting from principal collections related to investment repayments or sales, the amortization of premiums and acquisition costs. Gross reductions also include net increases in unrealized depreciation or decreases in unrealized appreciation.
- (E) "Controlled Affiliated" is defined as investments in which we own 25 percent or more of the outstanding voting rights of the portfolio company or otherwise control the company, including control of a majority of the seats on the board of directors, or more than 25 percent of the seats on the board of directors, with no other entity or person in control of more director seats than us.
- (F) "Non-Controlled Affiliated" is defined as ownership of five percent or more, but less than 25 percent, of the voting shares of the portfolio company, or where we hold the right to appoint one or more members to the portfolio company's board of directors, but less than 25 percent of the members of the board of directors.

Line for Schedule of Investments	Method / Level	Primary Industry	# of Shares Purchased/Principal		Cost of TURN's Investment		Valuation
Controlled Affiliated Equity and Equity- Related Securities							
Parabellum Acquisition Partners, LLC		Specialized Finance					
Membership Units (acquired 4/22/21)	(M) (L3)		693,151	\$	2,717,646	\$	5,653,288
Total Controlled Affiliated Equity and Equity-Related Securities (6.7%)				\$	2,717,646	\$	5,653,288
Non-Controlled Affiliated Publicly Traded Equity and Equity-Related Securities							
Arena Group Holdings, Inc.		Interactive Media & Services					
Common Stock (acquired 12/18/20-1/24/22)	(M) (L1)		775,358	\$	8,923,050	\$	10,157,190
Potbelly Corporation		Restaurants					
Common Stock (acquired 6/24/19-9/30/22)	(M) (L1)		1,585,989	\$	7,062,228	\$	7,184,530
Synchronoss Technologies, Inc.		Application Software			_		_
Common Stock (acquired 7/17/20-9/19/22)	(M) (L1)		4,546,606	\$	10,804,924	\$	5,183,131
Total Non-Controlled Affiliated Publicly Traded Equity and Equity-Related Securities (26.8%)				\$	26,790,202	\$	22,524,851
Legacy Privately Held Equity and Equity- Related Securities							
EchoPixel, Inc.		Health Care Equipment					
Series Seed Convertible Preferred Stock (acquired 6/21/13-6/30/14)	(I) (L3)		4,194,630	\$	1,250,000	\$	157,785
Series Seed-2 Convertible Preferred Stock (acquired 1/22/16)	(I) (L3)		1,476,668		500,000		56,720
Series A-2 Convertible Preferred Stock	(T) (T.2)		1 451 555		250,000		05.005
(acquired 3/23/17)	(I) (L3)		1,471,577		350,000		85,827
HALFI'C C				\$	2,100,000	\$	300,332
HALE.life Corporation	(I) (I 2)	Health Care Technology	1,000,000	•	10	•	2 (20
Common Stock (acquired 3/1/16) Series Seed-1 Convertible Preferred Stock	(I) (L3)		1,000,000	\$	10	\$	3,628
(acquired 3/28/17)	(I) (L3)		11,000,000		1,896,920		118,358
Series Seed-2 Convertible Preferred Stock (acquired 12/28/18)	(I) (L3)		12,083,132		2,500,000		147,994
(40441104 12/20/10)	(1) (13)		12,005,152	\$	4,396,930	\$	269,980
Total Non-Controlled Affiliated Legacy				φ	7,550,550	φ	209,980
Privately Held Equity and Equity-Related Securities (0.7%)				\$	6,496,930	\$	570,312
,				<del></del>		<u> </u>	

Line for Schedule of Investments	Method / Level	Primary Industry	# of Shares Purchased/Principal	C	Cost of TURN's Investment		Valuation
Non-Controlled Affiliated Derivative Securities			-				
Potbelly Corporation		Restaurants					
Warrants for the Purchase of Common Stock expiring 2/12/26 (acquired 2/10/21)	(I) (L3)		80,605	\$	224,849	\$	161,259
Total Non-Controlled Affiliated Derivative Securities (0.2%)				\$	224,849	\$	161,259
Total Non-Controlled Affiliated Securities (27.7%)				\$	33,511,981	\$	23,256,422
Total Controlled Affiliated and Non- Controlled Affiliated Equity and Equity- Related Securities (34.4%)				\$	36,229,627	\$	28,909,710

## SIGNATURES

The Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Registrant: 180 Degree Capital Corp.

By: /s/ Daniel B. Wolfe
Name: Daniel B. Wolfe

Title: President and Chief Financial Officer

Date: November 7, 2022