| FORM 4 | • |
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

02)

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses)                                     |  |                          |   |   |  |       |   |           |  |   |  |  |
|---|--|--------------------------|---|---|--|-------|---|-----------|--|---|--|--|
| 1. Name and Address of Reporting Person –<br>Andreev Alexei A |  |                          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>HARRIS & HARRIS GROUP INC /NY/ [TINY] |   |  |       |   |           | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |   |  |  |
| 1450 BROADWAY, FL   | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/31/2015 |                          |   |   |  |       | X Officer (give title below) Other (specify below) Executive Vice President   |           |  |   |  |  |
| (Stree<br>NEW YORK, NY 10018                                  | 4. If Amendment, Date Original Filed(Month/Day/Year)           |                          |   |   |  |       | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |           |  |   |  |  |
| (City) (Sta   | ate)   | (Zip)                    | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned            |   |  |       |   |           |  |   |  |  |
| 1.Title of Security<br>(Instr. 3)                             |  | Date<br>(Month/Day/Year) | Execution Date, if  | (Instr. 8) (D) (Instr. 3, 4 and 5) (A) or |  | of    | Beneficially Owned Following<br>Reported Transaction(s)<br>(Instr. 3 and 4)   |           | Beneficial<br>Ownership  |   |  |  |
| Common Stock  |  | 12/31/2015               |   | F   |  | 3,570 | D   | \$<br>2.2 | 114,541  | D |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 1474 (9contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (e.g., puts, calls, warrants, options, convertible securities) |             |                  |                    |             |      |          |                |                  |        |          |                       |                |             |             |
|--|-------------|------------------|--------------------|-------------|------|----------|----------------|------------------|--------|----------|-----------------------|----------------|-------------|-------------|
| 1. Title of  | 2.          | 3. Transaction   | 3A. Deemed         | 4.          | 5.   | Numbe    | r 6. Date Exer | cisable          | 7. Tit | tle and  | 8. Price of           | 9. Number of   | 10.         | 11. Nature  |
| Derivative   | Conversion  | Date             | Execution Date, if | Transaction | n of |          | and Expirati   | on Date          | Amo    | unt of   | Derivative            | Derivative     | Ownership   | of Indirect |
| Security   | or Exercise | (Month/Day/Year) | any                | Code        | De   | erivativ | e (Month/Day   | (Month/Day/Year) |        | erlying  | Security              | Securities     | Form of     | Beneficial  |
| (Instr. 3)   | Price of    |                  | (Month/Day/Year)   | (Instr. 8)  | Se   | curities |                |                  |        |          | Securities (Instr. 5) |                | Derivative  | Ownership   |
|  | Derivative  |                  |                    |             | Ac   | equired  |                |                  | (Insti | r. 3 and |                       | Owned          | Security:   | (Instr. 4)  |
|  | Security    |                  |                    |             | (A   | .) or    |                |                  | 4)     |          |                       | Following      | Direct (D)  |             |
|  |             |                  |                    |             |      | sposed   |                |                  |        |          |                       | Reported       | or Indirect |             |
|  |             |                  |                    |             |      | (D)      |                |                  |        |          |                       | Transaction(s) | < / <       |             |
|  |             |                  |                    |             |      | nstr. 3, |                |                  |        |          |                       | (Instr. 4)     | (Instr. 4)  |             |
|  |             |                  |                    |             | 4,   | and 5)   |                |                  |        |          |                       |                |             |             |
|  |             |                  |                    |             |      |          |                |                  |        | Amount   |                       |                |             |             |
|  |             |                  |                    |             |      |          | Date           | Expiration       |        | or       |                       |                |             |             |
|  |             |                  |                    |             |      |          | Exercisable    | •                | Title  | Number   |                       |                |             |             |
|  |             |                  |                    |             |      |          |                | Date             |        | of       |                       |                |             |             |
|  |             |                  |                    | Code V      | ' (A | A) (D)   |                |                  |        | Shares   |                       |                |             |             |

# **Reporting Owners**

|   | Relationships |           |                          |       |  |  |  |  |  |
|---|---------------|-----------|--------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address                                      | Director      | 10% Owner | Officer                  | Other |  |  |  |  |  |
| Andreev Alexei A<br>1450 BROADWAY<br>FLOOR 24<br>NEW YORK, NY 10018 |               |           | Executive Vice President |       |  |  |  |  |  |

# Signatures

/s/ Jackie Matthews by Power of Attorney 01/19/2016 \*\*Signature of Reporting Person Date

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

## POWER OF ATTORNEY

The undersigned, being a person required to file a statement under Section 16(a) of the Securities Exchange Act of 1934 (the "1934 Act") with respect to Harris & Harris Group, Inc., a New York corporation, hereby authorizes, designates and appoints Jackie Matthews, Carmen DeForest and Patricia N. Egan to act as attorney-in-fact to execute and file statements on Form 3, Form 4 and Form 5 (including Form ID) and any successor forms adopted by the Securities Exchange Commission, as required by the 1934 Act and the Investment Company Act of 1940 and the rules thereunder, and to take such other actions as such attorney-in-fact may deem necessary or appropriate in connection with such statements, hereby confirming and ratifying all actions that such attorney-in-fact has taken or may take in reliance hereon. This power of attorney shall continue in effect until the undersigned no longer has an obligation to file statements under the section cited above, or until specifically terminated in writing by the undersigned.

IN WITNESS WHEREOF, the undersigned has duly executed this power of attorney on the 29th day of July 2014.

By: /s/ Alexei A. Andreev

Alexei A. Andreev