# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Ty	pe Response	s)																	
Name and Address of Reporting Person * BAUMAN PHILLIP A					2. Issuer Name and Ticker or Trading Symbol HARRIS & HARRIS GROUP INC /NY/ [TINY]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner						
(Last) (First) (Middle) 1450 BROADWAY, 24TH FLOOR					3. Date of Earliest Transaction (Month/Day/Year) 03/18/2016							_	Officer	(give title below	w)	Other (spe	cify bel	ow)	
(Street) NEW YORK, NY 10018				4. If									6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person _Form filed by More than One Reporting Person						
(City) (State) (Zip)					Table I - Non-Derivative Securities Acquir							quir	red, Disposed of, or Beneficially Owned						
1.Title of S (Instr. 3)	ecurity		2. Transaction Date (Month/Day/Year)	Execut any		3. Transact Code (Instr. 8)		(A) or Dispos (Instr. 3, 4 an		ispose	osed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		Ownership of Form:		7. Nature of Indirect Beneficial		
				(Mont	(Month/Day/Year)				V	Amount	(A) or (D)	Pri		(Instr. 3 and 4)			Direct ( or Indir (I) (Instr. 4	ect (	Ownership Instr. 4)
Common	Stock		03/18/2016				P(1)	)		2,861	61 A \$ 1.9101 78,839			D					
Common	Stock													5,637			I	V	Vife
Reminder: I	Report on a	separate line	for each class of sec	urities	beneficial	lly (	owned o	direc	tly o	or									
<u> </u>				(	con	tained i	n this	form	are	not req		formation spond unl itrol numb		SEC	C 1474 (9- 02)				
			Table II -		tive Secu uts, calls,									y Owned					
1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/Day	Execution D	ate, if	Code		of	tive ties red sed	6. Date Exercisab and Expiration Da (Month/Day/Year		on Date	Date Amo ar) Und Seco		ount of derlying urities str. 3 and Derivative Security (Instr. 5)		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Own Forn Der Seco Dire or In (s) (I)	n of	
					Code	V	(A)	(D)	Dat Exe	e ercisable	Expira Date	tion	Title	Amount or Number of Shares					

## **Reporting Owners**

Daniel Communication (Additional	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
BAUMAN PHILLIP A 1450 BROADWAY 24TH FLOOR NEW YORK, NY 10018	X						

### **Signatures**

/s/ Jackie Matthews by Power of Attorney	04/04/2016
Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to a Rule 10b5-1 purchase plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB nu	mber.

#### POWER OF ATTORNEY

The undersigned, being a person required to file a statement under Section 16(a) of the Securities Exchange Act of 1934 (the "1934 Act") with respect to Harris & Harris Group, Inc., a New York corporation, hereby authorizes, designates and appoints Jackie Matthews, Carmen DeForest and Patricia N. Egan to act as attorney-in-fact to execute and file statements on Form 3, Form 4 and Form 5 (including Form ID) and any successor forms adopted by the Securities Exchange Commission, as required by the 1934 Act and the Investment Company Act of 1940 and the rules thereunder, and to take such other actions as such attorney-infact may deem necessary or appropriate in connection with such statements, hereby confirming and ratifying all actions that such attorney-in-fact has taken or may take in reliance hereon. This power of attorney shall continue in effect until the undersigned no longer has an obligation to file statements under the section cited above, or until specifically terminated in writing by the undersigned.

IN WITNESS WHEREOF, the undersigned has duly executed this power of attorney on the  $29 \mathrm{th}$  day of July 2014.

By: Phillip A. Bauman

phillip A. Bauman