# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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(Print or Type Perponeer)

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * HARRIS & HARRIS GROUP INC /NY/		2. Issuer Name and Ticker or Trading Symbol ADESTO TECHNOLOGIES Corp [IOTS]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner		
1450 BROADWAY, FLOOR 24		3. Date of Earliest Transaction (Month/Day/Year) 10/30/2015					Officer (give title below) Oth	her (specify belo	ow)	
(Street) NEW YORK, NY 10018	4	4. If Amendment, Date Original Filed(Month/Day/Year)					L	6. Individual or Joint/Group Filing(Check Applicable Line)  Form filed by One Reporting Person  X_ Form filed by More than One Reporting Person		
(City) (State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
(Instr. 3) Date		Execution Date, if	(Instr. 8)	v	4. Securities (A) or Dispo (Instr. 3, 4 a	osed of and 5)  (A) or	(D)	Transaction(s) (Instr. 3 and 4)	Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock 10/3	30/2015		С		473,025		\$ 0 (1)	673,025	D (2)	
Common Stock 10/3	30/2015		C		45,907		\$ 0 (3)	718,932	D (2)	
Common Stock 10/3	30/2015		С		1,050,936		\$ 0 (4)	1,769,868	D (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable 7. Title and Amount of 8. Price of 9. Number of 10. 11. Nature Derivative Conversion Execution Date, if Transaction Derivative and Expiration Date Underlying Securities Derivative Derivative Ownership of Indirect Date or Exercise Security (Month/Day/Year) Code (Month/Day/Year) (Instr. 3 and 4) any Securities Security Securities Form of Beneficial (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) (Instr. 5) Beneficially Derivative Ownership Derivative or Disposed Owned Security: (Instr. 4) Security of (D) Following Direct (D) (Instr. 3, 4, and 5) Reported or Indirect Transaction(s) (I) (Instr. 4) (Instr. 4) Amount or Date Expiration Number of Exercisable Date V (A) Code (D) Shares Series A Common \$ 0 (1) (1) <u>(1)</u> D (2) 10/30/2015 Preferred C 198,412 198,412 \$0 0 Stock Stock Series B Common Preferred \$ 0 (1) 10/30/2015 C 180,375 <u>(1)</u> <u>(1)</u> 180,375 \$0 0  $D^{(2)}$ Stock Stock Series C Common <u>(1)</u>  $D^{(2)}$ (1) \$ 0 (1) Preferred 10/30/2015 C 64,308 64,308 \$0 0 Stock Stock Series D Common \$ 0 (3) <u>(3)</u> <u>(3)</u>  $D^{(2)}$ Preferred 10/30/2015 C 44,438 45,907 \$0 0 Stock Stock Series D-Common \$ 0 (1) D (2) (1) (1) 10/30/2015 C 29,930 29,930 0 \$0 Preferred Stock Stock Series E Common \$ 0 (4) <u>(4)</u> <u>(4)</u> D (2) Preferred 10/30/2015 C 106,326 1,050,936 \$0 0 Stock Stock

#### **Reporting Owners**

Barratina Commun Nama / Addusa	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
HARRIS & HARRIS GROUP INC /NY/ 1450 BROADWAY FLOOR 24 NEW YORK, NY 10018	X	X			
Andreev Alexei A					

C/O HARRIS & HARRIS GROUP	X	X	
1450 BROADWAY, 24TH FLOOR			
NEW YORK NV 10018			

### **Signatures**

/s/ Harris & Harris Group, Inc. by Ron Shelton, Attorney-in-Fact	11/03/2015
**Signature of Reporting Person	Date
/s/ Alexei Andreev by Ron Shelton, Attorney-in-Fact	11/03/2015
-Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the consummation of the Issuer's initial public offering on October 30, 2015, each share of Series A Preferred Stock, Series B Preferred Stock, Series C Preferred (1) Stock and Series D-1 Preferred Stock automatically converted into one (1) share of Common Stock for no additional consideration, and had no expiration date. All shares of Common Stock issued upon conversion were aggregated.
- (2) The securities are held directly by Harris & Harris Group, Inc. ("Harris & Harris"). Alexei Andreev is an executive vice president and managing director of Harris & Harris and a director of the Issuer.
- (3) In connection with the consummation of the Issuer's initial public offering on October 30, 2015, each share of Series D Preferred Stock automatically converted into 1.0330576 shares of Common Stock for no additional consideration, and had no expiration date. All shares of Common Stock issued upon conversion were aggregated.
- (4) In connection with the consummation of the Issuer's initial public offering on October 30, 2015, each share of Series E Preferred Stock automatically converted into 9.8841 shares of Common Stock for no additional consideration, and had no expiration date. All shares of Common Stock issued upon conversion were aggregated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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